

Sweet MJ, Bythell JC.

[The role of viruses in coral health and disease.](#)

Journal of Invertebrate Pathology 2017, 147, 136-144.

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DOI link to article:

<https://doi.org/10.1016/j.jip.2016.12.005>

Date deposited:

18/09/2017

Embargo release date:

18 December 2017



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1 **The role of viruses in coral health and disease**

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23 **Abstract**

24 *Metagenomic and electron microscopy studies confirm that the coral microbiome contains a*
25 *rich diversity and abundance of viruses. While there have been no definitive tests of disease*
26 *causation by viruses in corals, viruses have been implicated as coral pathogens in a number of*
27 *studies. Growing evidence also indicates that latent viral infections can compromise the algal*
28 *symbionts under environmental stress and may be involved in the coral bleaching response.*
29 *Conversely, bacteriophages and archaeal phage viruses are abundant in the microbiome of*
30 *healthy corals and are likely to be involved in complex ecological networks, genetic material*
31 *transfer and selective co-evolution within the surface mucus layers and tissues. The relative*
32 *importance of viral control of bacterial and archaeal populations is unknown, but they are*
33 *almost certain to be exerting some level of control on the composition and maintenance of*
34 *the coral microbiome. While rapid leaps in the capability to detect viruses have been made*
35 *due to advances in metagenomics and bioinformatics, these approaches need now to be*
36 *integrated with in vitro culture and challenge experiments to assess the functional roles of*
37 *viruses in health and disease, and it is imperative that interactions with other members of the*
38 *coral microbiome are taken into account when assessing disease causation.*

39 **Introduction**

40 It is only relatively recently that the ecological importance of viruses in marine systems has
41 become evident (Suttle, 2007). For example viruses have been shown to be major
42 contributors to mortality and the structuring of plankton communities (Gustavsen et al.,
43 2014). Indeed, viroplankton densities in the oceans are estimated to be on the order of 10^6
44 to 10^8 ml⁻¹, which is roughly 5 to 25 times higher than that of bacterial population densities
45 (Bergh et al., 1989; Fuhrman, 1999) and new groups of marine viruses continue to be
46 discovered (Labonté and Suttle, 2013; Thurber and Correa, 2011). Along with influencing
47 planktonic communities, viruses also play important roles as both pathogens and symbionts
48 of metazoans, often with complex environmental controls on the degree of mutualism
49 between the host and the virus (Roossinck, 2015, 2011; Suttle, 2007). Although our ability to
50 describe these communities has developed rapidly with the advent of next generation
51 sequencing, their ecological roles in health and disease are typically poorly understood. In
52 reef building corals for example a significant diversity of viruses have now been described in

53 healthy and diseased states (see Wood-Charlson et al., 2015 for a list of those currently
54 described), but their roles are only beginning to be elucidated. Determining causation of coral
55 diseases in general has proven to be a highly contentious and difficult area (Lesser et al., 2007)
56 and history has shown that determining causation of viral diseases is likely to be even more
57 challenging (Halstead and Cohen, 2015). Complications associated with the evaluation of
58 cause and effect in pathogenesis arise as viral infections are known to trigger and in some
59 cases control the host's cell death mechanisms and inflammatory responses, amongst other
60 cellular process (Teodoro and Branton, 1997). Furthermore, several viruses have now been
61 implicated in carrying and controlling virulence factors of bacterial pathogens, including the
62 coral pathogen *Vibrio coralliityicus*, which demonstrates the potentially complex etiology of
63 transmissible diseases in these basal metazoans (Cohen et al., 2013).

64 The first characterization of viruses associated with corals was in a study by Wilson et al.,
65 (2005). In this study, virus-like particles (VLPs) were detected in both healthy and heat-
66 stressed colonies of the coral *Pavona danai*. VLPs of 30–40 nm and 50–60 nm in diameter
67 were described in healthy tissues, whilst in the heat-shocked coral, VLPs were 40–50 nm and
68 60–80 nm. These findings led the authors to suggest that an outbreak of latent pathogenic
69 virus had occurred. One year later, another study, using similar methodology showed a similar
70 pattern with variation in size and abundance of VLPs in heat-stressed *Acropora formosa* (Davy
71 et al., 2006). Since these early studies, a wide variety of viral types have been described in
72 association with the symbiotic algae, the coral tissue and the surface mucus layer of reef
73 corals (Wood-Charlson et al., 2015).

74 In other systems, numerous triggers have been associated with viral propagation and
75 infection. These include for example: elevated temperatures (Danovaro et al., 2011), UV
76 radiation (Jacquet and Bratbak, 2003), pH (Baumann et al., 2016) and the availability of
77 nutrients (Scanlan and Wilson, 1999). All these environmental stressors have also been
78 implicated in coral disease and bleaching outbreaks (Douglas, 2003; Bruno et al., 2007; Israely
79 et al., 2001; Lesser and Farrell, 2004). A correlation between abundance and diversity of
80 viruses and bleaching and environmental stress, is therefore not unexpected. As with any
81 potential pathogen, distinguishing primary pathogens from opportunistic pathogens and
82 secondary invaders is difficult, but the added dimension of viral latency and the potential
83 disconnect between the temporal sequence of infection and disease adds further complexity

84 to the determination of causality. The release of latent viruses due to environmental stress,
85 as proposed in the earliest studies of coral-associated viruses (Davy et al., 2006; Wilson et al.,
86 2005) and specifically demonstrated in the association of viruses and the symbiotic algae of
87 corals following environmental stress (Lohr et al., 2007; Lawrence et al., 2015), demonstrates
88 that assessing causality in relation to viral pathogens is a significant challenge.

89 In this review we will address the current knowledge of the diversity of viruses associated
90 with healthy and diseased corals, assessing where in the holobiont they have been found,
91 what types have been identified and addressing their potential roles. We will also examine
92 the methods that have been utilised to characterise the viral communities and explore other
93 potential roles specific viral types may play in the health and disease of corals by drawing on
94 studies from other organisms. We also propose a modern interpretation of Koch's postulates
95 suitable for the metagenomics era and a whole-microbiome approach.

96 **The role of viruses in coral health**

97 Viral abundance has been shown to vary across both small and large spatial scales in reef
98 systems, for example between depths and between lagoon waters and the reef crest
99 (Seymour et al., 2005). Abundance of viruses appears to increase with proximity to coral
100 colonies, with the highest abundances recorded 0-4 cm above the tissue surface (Seymour et
101 al., 2005; Thurber and Correa, 2011). On the coral itself, even higher abundances of viruses
102 occur within the surface mucus layer (SML) of the corals, with a threefold higher density being
103 observed compared to the overlying water column (Leruste et al., 2012; Nguyen-Kim et al.,
104 2014). In the SML, a diverse range of viruses have been described, encompassing bacterial,
105 archaeal and eukaryotic viruses (Davy and Patten 2007; Marhaver et al. 2008; Vega Thurber
106 et al. 2008; Wood-Charlson et al. 2015). The SML is also well known to host abundant and
107 diverse communities of bacteria (Kemp et al., 2015), and the role of viruses in the control of
108 potentially pathogenic bacteria is a rapidly emerging area (Glasl et al., 2016). The control of
109 bacterial populations is governed by two major biotic processes in the majority of systems
110 studied: predation (mainly by heterotrophic nanoflagellates and ciliates; (Sanders and
111 Wickham, 1993)) and viral lysis (Fuhrman, 1999). The abundance and diversity of phages
112 strongly suggests that viral lysis also plays a significant important role in controlling bacterial
113 populations within the coral microbiome (Wood-Charlson et al., 2015). Interaction network

114 analysis (based on metatranscriptomic data) could be a useful tool to assess such patterns and
115 directly explore the roles phages play in governing other members of the coral microbiome
116 (Daniels et al., 2015).

117 A well-described example of phage-mediated control in corals is BA3-phage (Atad et al.,
118 2012), which is able to infect *Thalassomonas loyana*, a bacterium proposed as the causal
119 agent of some forms of white plague disease (Efrony et al., 2009; Soffer et al., 2015; Daniels
120 et al., 2015). Natural variations in such associated viruses may explain why some coral
121 colonies appear more resistant to certain diseases than others. Barr et al. (2013) took this
122 idea further and proposed that viruses associated with the mucus may constitute a 'lytic
123 barrier' against bacterial pathogen colonization, suggesting that viruses should be classed as
124 an active part of the corals' innate immune system (Fig 1) (Bettarel et al., 2015; Soffer et al.,
125 2015). Enrichment of phages in other mucus-rich environments has been shown to occur via
126 interactions between mucin glycoproteins and Ig-like protein domains on phage capsids.
127 Specifically, the phage Ig-like domains bind various glycan residues that coat the mucin
128 glycoprotein component of the mucus (Barr et al., 2013). From these observations, a specific
129 model was hypothesised and named the bacteriophage-adhering-to-mucus (BAM) model.
130 The authors suggest that the surface mucus layer and phages may have coevolved, with phage
131 adherence maintained as it benefits the corals by limiting potentially pathogenic bacteria in
132 the mucus layer, and benefits the phage by enabling more frequent interactions with bacterial
133 hosts.

134 The BAM model also incorporates a mechanism to support the specific association of
135 mutualistic bacteria with the host. During stable environmental conditions, the mucus-
136 associated phages may maintain lysogenic infections of the bacterial associates, with the
137 bacteria remaining able to fulfil their normal ecological functions (Barr et al., 2013). Such
138 lysogenic infection could provide protection to bacterial symbionts by conferring
139 immunization against lytic viruses (Barr et al., 2013). Such a strategy may mean that the
140 phages ensure their proliferation on the coral surface, in a mechanism similar to that seen
141 generally in marine biofilms (Abedon, 2011). Transient, non-symbiotic bacteria entering the
142 SML from the surrounding water column which were not targeted by protective lysogenic
143 phage infection would be exposed to infection by lytic phages (Barr et al., 2013), contributing
144 to the control of their population densities. Nguyen-Kim et al., (2014) showed that the SML

145 showed lytic viral production rates 9.5 times that of the surrounding seawater, supporting
146 viral lysis as a strong controlling factor on the associated bacterial populations. Under adverse
147 environmental conditions, the normally benign lysogenic infection of mutualistic bacteria may
148 revert to lysis, impacting mutualistic bacterial populations which normally contribute to the
149 invasion resistance of the host. Additionally, the normally lytic virulent phages may be
150 inactivated by stress (Maranger et al., 2002; Noble and Fuhrman, 1997) and thus no longer
151 able to control the proliferation of non-mutualistic bacterial populations. Either or both of
152 these mechanisms could contribute to the dramatic stress-mediated increases in non-
153 mutualistic bacterial associates observed by Ritchie, (2006) and others. The BAM model and
154 the viral 'lytic barrier' of the SML therefore provides an evolutionary framework for a complex
155 process of selective co-evolution of a phage-bacterial-host associated microbiome. Wood-
156 Charlson et al. (2015) noted that viral metagenomic data often shows contamination from
157 cellular gene sequences, but the potential for horizontal gene transfer between the members
158 of the microbiome is another mechanism that might allow rapid evolution between partners,
159 and the extent to which such sequences represent contamination or mobile DNA elements
160 requires further investigation.

161 Several lines of evidence therefore support a role of viruses in the control of coral-associated
162 bacterial communities, including the well-described role of viruses in the ecology of marine
163 plankton communities, the dominance of bacteriophage sequences in the coral virome,
164 increased viral lysis rates in the SML and the complex associations proposed in the BAM
165 model. It therefore appears likely that viruses could be associated with control of potentially
166 pathogenic bacterial associates and promotion of symbiotic associations. Culture-
167 independent metagenetics studies of coral-associated bacteria routinely detect the reported
168 primary bacterial pathogens of coral diseases in non-diseased corals and other reef substrates
169 and microhabitats (reviewed by Sweet et al. 2011), and a diversity of potential pathogens,
170 typically dominated by vibrios, have been shown to proliferate under environmental stress in
171 culture-based studies (Ritchie, 2006), implying that they are widespread in the reef
172 environment. In turn, this suggests that it may be the control of populations of these non-
173 specific potential pathogens, rather than exposure of the host to a specific virulent pathogen
174 that determines disease causation (Lesser et al., 2007). Thus, viruses may be indirectly

175 involved in controlling potentially pathogenic bacterial populations and disease prevalence
176 (Fig 1).

177 **Do viruses act as primary pathogens of coral disease?**

178 Viral metagenomics studies reveal a high diversity of eukaryotic viruses in addition to the
179 dominance of bacteriophage-associated sequences (Wood-Charlson et al., 2015). Of the >20
180 described coral diseases globally, only 8 have specific pathogens ascribed to their aetiology
181 (reviewed by Sheridan et al., 2013), suggesting that either the majority of these diseases are
182 caused by complex aetiology that is not readily amenable to challenge experiments and/or
183 the primary causal agents have not yet been cultivated. Viruses represent an obvious group
184 of candidate pathogens which have been understudied with regard to their role as agents of
185 coral disease. Viral agents may act in a number of ways, including primary infection,
186 reactivation of latent infection, immune suppression (i.e. a reduction of the activation or
187 efficacy of the immune system), and/or immune senescence (i.e. the gradual deterioration of
188 the immune system brought on by natural ageing).

189 To date, a wide diversity of viruses have been shown by metagenomic analyses to be
190 associated with corals and their associated microbiome, belonging to at least 50 of the 87
191 currently-recognised viral families (see King et al., 2012; Wood-Charlson et al., 2015). These
192 include mainly double-stranded DNA (dsDNA) viruses, including bacteriophages and archaeal
193 phages, single-stranded DNA (ssDNA) and both dsRNA and ssRNA viruses, including
194 retroviruses. Some of these are likely to be environmental contaminants with no role in coral
195 ecology or viruses infecting other associated eukaryotes such as protists and other plankton,
196 including prey items consumed by the host coral. However, at least some of these viruses are
197 likely to be coral host-specific or specific to the symbiotic algae and may act as primary
198 pathogens in coral disease. In addition to these known groups, a number of viral sequences
199 have been detected that could not be assigned to any known families of viruses, indicating
200 that the coral microbiome is a rich environment for novel viral discoveries. However, only a
201 few studies have focused on describing viral communities associated with specific coral
202 diseases. In particular, only four diseases have been correlated with VLP presence that is
203 absent or rare in the healthy coral:

204 White Syndrome

205 Corals showing signs of white syndrome (WS) appear to harbour increased VLP densities
206 (~30%) in their tissues when compared to healthy corals of the same species collected in the
207 same location (Patten et al., 2008). Interestingly, this increase in abundance appears to be
208 dominated by relatively few VLP morphologies. In healthy tissues of *Acropora muricata* for
209 example, a diverse array of different sized VLPs were described, whilst the same corals
210 showing signs of WS were dominated by only two main types (Patten et al., 2008). These
211 included a VLP of icosahedral morphology with a capsid diameter of 120–150 nm and no tail
212 or envelope and another of a similar morphology but slightly larger (160–190 nm). Patten et
213 al. (2008) hypothesised that the corals had become infected with these viruses and identified
214 them as members of the *Phycodnaviridae* and/or *Iridoviridae* families. Caution must be used
215 in identifying viruses by morphology alone, since our knowledge of morphotype diversity is
216 continually being revised. Furthermore, in other systems, some VLPs have been characterised
217 as multiprotein structures that mimic the organization and conformation of viruses yet lack
218 viral genomes (Roldão et al., 2010). However, viroplasms were also observed associated with
219 coral colonies displaying signs of WS and both of these viral families have been shown to form
220 these inclusion bodies (Fauquet et al., 2005; Novoa et al., 2005; Vega Thurber and Correa
221 2011).

222 Pollock et al. (2014) also highlighted an increase in VLP abundance (by 65%) in colonies of
223 *Acropora hyacinthus* showing signs of WS. In this study, the majority (87%) of these fell in the
224 sub-100 nm size range, compared to only 7% which were found in this range in healthy tissues.
225 While the authors rightly noted that such shifts do not necessarily indicate disease causation,
226 they suggested that they may provide diagnostic criteria to discriminate between distinct, but
227 macroscopically similar WS and WS-like coral diseases (Pollock et al., 2014).

228 White plague

229 Barash et al., (2005) were the first to observe small (0.2 µm) filterable factors within white
230 plague-like infections of Red Sea *Favia* and *Goniastrea* corals. However, at the time it was not
231 confirmed whether these were viruses. Although a bacterial pathogen (*Thalassomonas*
232 *loyana*) has also been described for this WP-like disease, a recent study by (Soffer et al., 2014)
233 suggested a viral pathogen could be a possible alternative candidate. In this latter study,
234 single-stranded DNA viruses (ssDNA) were observed to dominate samples of tissue showing

235 signs of WP, whereas they were undetectable in healthy tissues. Another VLP similar in
236 morphology and sequence to a *Nanoviridae* was also reported in higher abundance in WP
237 tissues than in either bleached and/or healthy tissues. Interestingly in bleached corals present
238 at the same location, large DNA viruses (including one similar to a poxvirus) and a herpes-like
239 virus (*Herpesviridae*), were also recorded together with a reduction in the abundance of the
240 same ssDNAs observed in WP samples (Soffer et al., 2014).

241 *Porites* white patch syndrome

242 Séré et al., (2015) fulfilled Koch's postulates for this disease with the bacterial pathogen *Vibrio*
243 *tubiashii*, however the experiments did not take into account potential viral pathogens.
244 Indeed, another study, conducted at a different location highlighted two VLPs that appeared
245 to increase in abundance in *Porites* white patch syndrome (PWPS) compared to healthy
246 samples (Lawrence et al., 2015). Specifically, these included a small (<50 nm diameter)
247 icosahedral VLP associated with the host tissue and an apparent, but not statistically
248 significant, increase in abundance of filamentous viruses in the symbiotic algae (Lawrence et
249 al., 2015). The authors of this latter study also highlighted that there were no significant
250 differences in the prokaryote and eukaryote communities between healthy and diseased
251 tissues, a result in direct contrast to Séré et al., (2015) and this led them to propose that one
252 or both of these viruses were primary causal agents of PWPS.

253 Caribbean yellow band disease / Yellow blotch disease

254 Although the majority of research associated with this disease (now commonly referred to as
255 Caribbean Yellow Band Disease) has focused on bacterial communities (Cervino et al., 2008;
256 Cróquer et al., 2013) an earlier paper reported VLPs of 100–150 nm associated with the algae
257 acquired from diseased corals (Cervino et al., 2004). These cells have since been described as
258 resembling a *Phycodna*-like virus (Fauquet and Fargette, 2005). Symbiotic algae of corals with
259 CYBD exhibit a distorted morphology with reduced mitotic indices and chloroplast function.

260 Although the above studies have correlated dominance or abundance of VLPs in different
261 health states, disease causation cannot be confirmed without inoculation experiments or
262 tests of the molecular Koch's postulates (Falkow, 2004, 1988), which have not so far been
263 conducted. Profound shifts in the associated microbiome in diseased and environmentally-

264 stressed hosts are commonly observed, but Lesser et al., (2007) have questioned whether
265 coral diseases are generally caused by a primary infection (exposure of a healthy host to a
266 virulent pathogen, causing disease) or more frequently represent secondary infections by
267 non-specific opportunistic pathogens following environmental perturbation and reduced
268 immunity. As colonial animals with a relatively simple body plan, corals exhibit rather
269 indistinct disease signs (e.g. tissue lesions), and therefore the same visual disease signs might
270 be produced by different primary pathogens (Cervino et al., 2001; Rosenberg et al., 2007;
271 Sweet et al., 2011). Additionally, molecular metagenetic studies typically show co-infection
272 by a number of potential causal agents (Sweet and Bythell, 2015, 2012), such that a primary
273 infectious agent may be difficult to distinguish and studies applying challenge experiments
274 with pure cultures have not so far controlled for or monitored the effects on these other
275 members of the disease consortium (a recent exception being Séré et al., 2015). This has led
276 some to suggest that polymicrobial infection, which is widely accepted to be the case in black
277 band disease (Miller and Richardson, 2011), may be more widespread and apply to other
278 common coral diseases such as white syndrome (Sweet and Bythell, 2015). Given the diversity
279 and abundance of eukaryote viruses in corals, and the observations of their association with
280 a variety of diseases (above), it is clear that viruses may act as primary, secondary and/or co-
281 infectious agents of coral diseases. Distinguishing between these different roles to
282 understand the causes of the serious ongoing global outbreaks of coral diseases is a critical
283 challenge for coral reef science.

284 **Viruses associated with the symbiotic algae**

285 Viruses have now been described in numerous studies associated with the corals' symbiotic
286 algae. Wilson et al., (2001) were the first to propose a viral infection as a cause of cell damage
287 during bleaching in symbiotic algae of the sea anemone *Anemonia viridis*. Icosahedral VLPs
288 ~40–50 nm in diameter were observed in response to heat stress experiments, and the
289 authors proposed that latent viral infections may be involved in the cellular pathogenesis of
290 bleaching. Since then, numerous other types of viruses have been described in corals
291 symbiotic algae, including; filamentous (Lohr et al., 2007), *Phycodnavirus*-like (Davy and
292 Patten, 2007), *Paramyxoviridae*-like (Fauquet and Fargette, 2005), *Mimiviridae*-like (Claverie
293 et al., 2009), and more recently *Circoviridae* and *Nanoviridae*-like viruses (Correa et al., 2013).
294 Another recent study has shown via histology and metagenomics the presence of herpes-like

295 VLPs in corals associated with an *in situ* bleaching event, together with evidence of a
296 megavirus associated with the symbiotic algae of the coral (Correa et al., 2016).

297 Filamentous viruses associated with symbiotic algae have been shown to range from 200 nm
298 to 2 μ m in length and resemble viruses belonging to the families *Closteroviridae* (Lohr et al.,
299 2007), *Flexiviridae* and *Potyviridae* (Fauquet and Fargette, 2005). During *ex situ* UV exposure
300 experiments, the symbiotic algae have been observed to become fully lysed and their
301 abundance decrease rapidly. However, there is still uncertainty regarding how much of this
302 lysis and decrease in abundance is due to photochemical UV damage or viral lysis (Lawrence
303 et al., 2015). However, in support of the role of the viruses in the lysis, members of all three
304 of these RNA viral families are well described plant pathogens in terrestrial ecosystems
305 (Fauquet and Fargette, 2005). Other rod-like filamentous viruses have also been noted in
306 other studies resembling *Tobamoviruses*, *Tobraviruses*, *Pecluviruses*, *Pomoviruses* (all in the
307 family *Virgaviridae*) and *Benyviruses* (Fauquet and Fargette, 2005). Again, members of these
308 genera are also well described plant pathogens (Adams et al., 2009; Rush, 2003).

309 In *in situ* field studies, Correa et al. (2012) found two algae-infecting viruses associated with
310 the coral *Montastraea cavernosa*. These included a dsDNA large DNA virus (NCLDV)
311 associated with the nucleus and cytoplasm and a +ssRNA virus. Interestingly, the +ssRNA virus
312 appears to be similar to the virus HcRNAV that infects another dinoflagellate, *Heterocapsa*
313 *circularisquama* (Tomaru et al., 2004) and/or the virus HaRNAV that infects the mixotrophic
314 alga *Heterosigma akashiwo* (Lang et al., 2004). Both these well-described dinoflagellate
315 viruses (HcRNAV and HaRNAV) are well known for their ability to lyse their unicellular algal
316 hosts. Such viral lysis is considered an important factor in *H. circularisquama* and *H. akashiwo*
317 bloom terminations (Nagasaki et al., 2004).

318 In corals, the above algae-infecting viruses have been shown to increase in abundance in both
319 the algae and the coral host cells of diseased tissues. These findings suggest that they could
320 be causing cell lysis of the algae either directly and/or indirectly by compromising the coral
321 cells and causing a breakdown in the coral-algal symbiosis (Lawrence et al., 2014). To date,
322 lysis by these viruses has not been directly linked to bleaching events in the field, but their
323 ability to lyse the algal cells following thermal (Davy et al., 2006; Wilson et al., 2001) and/or
324 UV stress (Lawrence et al., 2015; Lohr et al., 2007) indicates that this possible mechanism of

325 symbiotic algal cell death needs further investigation. Indeed the algal-viral interactions
326 observed in corals resemble those seen in other algal-virus interactions, such as *Emiliana*
327 *huxleyi virus 86* (Mackinder et al., 2009) and *Phaeocystis pouchetti virus 1* (Jacobsen et al.,
328 1996). However, the coral-specific viruses appear to lack initial penetration and eclipse
329 periods in the majority of cases, although it has been argued that a latent viral infection could
330 explain the apparent lack of these steps (adsorption, penetration and uncoating).

331 **Methods used to identify viruses in corals**

332 Numerous approaches have been used to describe viruses in various organisms including:
333 electron microscopy (Davy and Patten, 2007; Wilson et al., 2001), PCR-based representational
334 difference analysis (Chang et al., 1994), DNA *in situ* hybridization (Teifke et al., 2000),
335 immuno-histochemistry (Gouda et al., 2010), flow cytometry (Sivaraman et al., 2013), and
336 PCR and next generation sequencing (NGS) (Marston et al., 2013).

337 NGS is argued to be the only way to comprehensively assess the whole virome, since viruses
338 lack a common phylogenetic marker. However, this raises considerable bioinformatics
339 challenges with regard to the detection of viral sequence in mixed environmental samples
340 and a recent study has shown that viral metagenomes exhibit significant contamination with
341 cellular sequences (Roux et al., 2013). A further complication with this approach is the low
342 representation of viruses in the sequence databases. For example, low levels of archaeal
343 viruses found in the majority of studies conducted so far may be a result of the lack of
344 representative sequences in these public databases (Marhaver et al., 2008; Thurber and
345 Correa, 2011; Vega Thurber et al., 2008). This is perhaps the major current constraint
346 associated with viral studies, however database coverage is improving rapidly and the
347 availability of tools for virome analysis of metagenomics data (e.g. METAVIR [http://metavir-
348 meb.univ-bpclermont.fr/](http://metavir-meb.univ-bpclermont.fr/)) is facilitating rapid development in this research area. The
349 efficiency of virome metagenomics analyses can be improved via methods of enrichment.
350 Enriching for total viral material within a sample can be achieved by physical methods early
351 in the sample preparation process, including reducing the amount of contaminating non-viral
352 nucleic acid, which in turn can be obtained via combinations of centrifugation, filtration and
353 nuclease treatments (reviewed by Hall et al., 2014). Further enrichment is also possible after
354 this step, either via sequence-independent enrichment using random hexamers and/or

355 targeted enrichment of specific viruses. However, it has been argued that all of these
356 approaches may be needed in combination in order to assemble whole genomes of certain
357 viruses from uncultured primary samples (Depledge et al., 2011).

358 In addition to enrichment techniques, a variety of methods are necessary to detect both DNA
359 and RNA viruses. For example, one specific technique that has recently been successfully
360 applied is Random Priming (RP)-mediated Sequence Independent Single-Primer Amplification
361 (RP-SISPA). The RP-SISPA method is based on random reverse transcription of viral RNA
362 followed by annealing of excess primers to cDNA and conversion into dsDNA by Klenow
363 polymerase and PCR amplification (for more detail see Djikeng and Spiro, 2009). However,
364 similar to other NGS approaches, RP-SISPA has limitations. Primarily, there is an apparent
365 template-dependent amplification bias, which results in uneven sequencing depth within and
366 among genomes (Rosseel et al., 2013). RP-SISPA also has a bias for amplifying the dominant
367 sequences associated with metagenomic samples (Weynberg et al. 2014), which has resulted
368 in the majority of sequences retrieved using this approach belonging to dsDNA viruses, which
369 have larger genomes relative to other viral types (Weynberg et al. 2014).

370 One shared limitation between different NGS metagenomics approaches is the inability to
371 confirm associations of certain viruses with specific compartments of the organism. In order
372 to do this, the most common approach is transmission electron microscopy (TEM). This
373 technique was first reported in the detection of VLPs within a cnidarian by Wilson and
374 Chapman, (2001) and has since been utilised to describe the presence (or absence) of
375 numerous VLPs in many more studies over recent years. However, whilst the observation of
376 VLPs in TEM images may well indicate evidence for viral infection, caution is needed when
377 attempting to interpret pathogenesis due to processing artefacts and particles of non-viral
378 origin in tissue thin-sections often associated with infection.

379 Isolation of viruses is also important to allow full characterisation and for use in infection
380 studies. Commonly used methods for virus isolation and purification share similar limitations
381 to the culture-independent techniques described above, namely they appear to exclude
382 certain viruses (for review see Thurber and Correa, 2011) and as of yet there has been no
383 successful isolation of any of the above viruses described associated with the coral holobiont.

384 **Virus types associated with corals and their roles in other organisms**

385 Of the 50 or so families of viruses that have been detected in more than one metagenomic
386 study (reviewed by Wood-Charlson et al. 2015), about 22% belong to bacterial or archaeal-
387 specific families of lytic phage viruses. Additionally, members of the non-lytic filamentous and
388 rod-shaped *Inoviridae* have commonly been detected, that are important in horizontal gene
389 transfer within microbial communities. Three families that infect unicellular algae have been
390 detected, including the *Phycodnaviridae*, *Marnaviridae* and *Alvernaviridae*. *Phycodnaviridae*
391 (PDV) are perhaps the best-characterized group of algal viruses (Clerissi et al., 2014; Wilson
392 et al., 2009) and form their own monophyletic group that contains six described genera, all of
393 which are large, icosahedral in shape and contain large DNA genomes (ranging from ~160 to
394 upwards of 560 bp). PDVs have been described associated with numerous different
395 organisms, including; the toxic raphidophyte alga *Heterosigma akashiwo* (Wilson et al., 2009)
396 and the coccolithporid *Emiliana huxleyi* (Schroeder et al., 2002). In addition to families
397 infecting unicellular algae, a number of coral-associated families are known to infect plants
398 and/or fungi and protists, including the *Geminiviridae*, *Nanoviridae*, *Tymoviridae*, *Potyviridae*,
399 *Tombusviridae*, *Caulimoviridae*, *Alphaflexiviridae*, *Endornaviridae*, *Partitiviridae* and
400 *Reoviridae* (Wood-Charlson et al. 2015). While some of these are undoubtedly contaminants
401 from plankton communities, or possible terrestrial contaminants from land run-off, several of
402 these have been detected specifically in the coral algal symbionts, reviewed above, and may
403 be important to their health and disease. *Nanoviridae* and *Geminiviridae* for example have
404 been routinely identified in almost every study of coral viruses. Interestingly viruses from
405 these families are often associated with sewage, which may highlight links between the
406 presence of certain types of viruses and environment degradation (Soffer et al., 2014). Indeed
407 studies have shown that viral abundance in corals is proportional to the concentration of local
408 inorganic nutrients and human population centres (Dinsdale et al., 2008; Thurber and Correa,
409 2011).

410 Certain 'human specific' viruses have also been shown to be present within corals, perhaps
411 due to anthropogenic pollution (Futch et al., 2010). Surprisingly, in fact, a large proportion
412 (>20%) of sequences identified in coral-associated metagenomes belong to families that have
413 only previously been isolated from vertebrates, including members of the *Herpesviridae*,
414 *Adenoviridae*, *Asfarviridae*, *Papillomaviridae*, *Coronaviridae*, *Picornaviridae*, *Astroviridae*,
415 *Caliciviridae*, *Arenaviridae* and *Retroviridae*. Some of these are likely to be environmental

416 contaminants with no roles in coral ecology, but several are routinely detected in coral
417 metagenomics studies, suggesting that the full diversity of these families has not yet been
418 described and they include coral-associated taxa. Herpesviruses for example, are some of the
419 most commonly observed viruses associated with coral studies to date (Correa et al., 2016;
420 Houldcroft and Breuer, 2015; Marhaver et al., 2008; Soffer et al., 2014; Thurber and Correa,
421 2011; Vega Thurber et al., 2008). Herpesviruses are dsDNA viruses that have been shown to
422 cause diseases in both terrestrial and aquatic organisms (Houldcroft and Breuer, 2015) and
423 typically infect large proportions of their target population, spreading through a variety of
424 horizontal and vertical routes.

425 Finally, 20% of the families detected in coral metagenomes to date (Wood-Charlson et al.
426 2015) are known to infect a wide variety of invertebrate hosts or include invertebrate-specific
427 viruses. These include the *Malacoherpesviridae*, *Ascoviridae*, *Baculoviridae*, *Hytrosaviridae*,
428 *Nimaviridae*, *Nudiviridae*, *Polydnviridae*, *Dicistroviridae*, *Iridoviridae*, *Poxviridae*,
429 *Parvoviridae* and *Flaviviridae*. These families are therefore the most likely candidates where
430 potential coral pathogens may be found (Thurber and Correa, 2011; van Oppen et al., 2009).
431 The *Malacoherpesviridae*, for example, includes the Oyster herpesvirus (OsHV-1) and is a
432 likely candidate coral pathogen. For a summary table of the current families identified in coral
433 hosts we refer readers to the meta-analysis of Wood-Charlson et al. (2015).

434 **Future direction of coral disease studies**

435 The advent of next generation sequencing and metagenomics approaches makes a
436 characterization of the entire microbiome of corals a feasible proposition. There are still
437 technical challenges to bias-free characterisation of the virome, but rapid progress is being
438 made. It is likely that viruses act both as causal agents of disease and as modifiers of bacterial
439 and other microbial diseases of corals. All these processes will be influenced directly by
440 environmental conditions and indirectly by effects on host immune defences. However, coral
441 reef science lags far behind the health sciences with regard to the concept and assessment of
442 disease causation, where some have even questioned whether disease causation is a valid
443 question (Lipton and Ødegaard, 2005).

444 Russo and Williamson (2007) revisited the nine criteria of disease causation expounded by
445 Bradford-Hill in 1986. These nine criteria, combining probabilistic and mechanistic

446 considerations are intended to be applied in sum, with a stronger argument for a causal
447 relationship being made when evidence is provided for more of these criteria. These
448 arguments indicate that in coral disease research, we should continue to undertake research
449 to strengthen (or otherwise) the assessment of causation in disease and use caution in
450 referring to 'known' and 'unknown' coral diseases, when there are none that have been
451 comprehensively studied across all of the Bradford-Hill criteria. One significant advantage
452 that coral disease research has over medical sciences is the ability to undertake controlled
453 exposure experiments to determine mortality in response to isolated agents, thereby testing
454 Koch's postulates. However we argue that to adequately test Koch's postulates in corals we
455 must combine these traditional C19th approaches with C21st technological advancements.
456 Below we have outlined 7 steps which will aid coral researchers in assessing causation. We
457 propose that to demonstrate causation studies should;

- 458 1) Show consistent up-regulation of the proposed causal agent (or sequences related to
459 it) in all cases of the disease in question (spanning different locations and where
460 possible, different host species).
- 461 2) Characterise the disease in question using multivariate methods, including field
462 observations (e.g. via tagged and monitored colonies, assessing lesion progression);
463 on a cellular level (e.g. immuno-histopathology) and comprehensive assessment of
464 the microbiome in healthy, apparently healthy and diseased colonies (e.g.
465 metagenomics). A stronger case can be made where the disease pathogenesis can be
466 both temporally and spatially (microscopically) correlated with activity of the
467 suspected pathogen
- 468 3) Isolate the suspected agent/agents in culture and expose multiple, independent
469 healthy host samples to these isolates with adequate controls (i.e. non-suspected
470 members of the microbiome). Since the lack of adequate cell culture models precludes
471 the culture of coral-associated viruses, this step may be limited at present to
472 applications of filterable fractions (e.g. $< 0.22 \mu\text{m}$) to distinguish bacterial from viral
473 infection.
- 474 4) Use whole-microbiome analyses to demonstrate that exposure (3) does not
475 upregulate any other members of the microbiome in advance of the characteristic
476 disease signs (2).

- 477 5) Where (4) cannot be met, isolate and test any upregulated members of the
478 microbiome as control inocula.
- 479 6) Assess the probabilistic risk of producing the disease signs (2) upon exposure (3 or 5),
480 under a range of environmental conditions.
- 481 7) Demonstrate that the up-regulation of the suspected agent (or agents) in
482 experimental trials is of a similar magnitude to those observed in field samples of the
483 disease.

484 Thus a significant future development for coral viral disease research will be to establish *in*
485 *vitro* culture methods to enable such experimental exposures (3.) However, the significant
486 challenges faced in assessing disease causation should not be underestimated. Assessing
487 causation must be viewed as a developing paradigm, supported by multiple lines of evidence
488 rather than a simple test, and requires significant collaborative research effort.

489 **Conclusions.**

490 Characterization of changes in VLP diversity and abundance in health and disease do not in
491 themselves elucidate their various possible ecological roles as pathogens and agents
492 controlling other potential pathogen populations associated with corals. While both
493 metagenomics and TEM-based histological studies show that viruses are highly likely to be
494 involved in coral diseases, these approaches now need to be combined to show specific roles
495 of viruses in pathogenesis and their interactions with other members of the microbiome.
496 Currently, about ~22 coral diseases have been described, however a large proportion of them
497 have no known etiological agent (Sheridan et al., 2013; Sweet et al., 2011) or have had
498 multiple potential agents described depending on the host infected and location (Bourne et
499 al., 2015). Therefore searching for potential viral pathogens should clearly be a priority, but
500 integrated studies are needed to assess causation and elucidate the no doubt highly complex
501 roles of viruses in health, environmental stress responses and disease. Rapid developments
502 in DNA sequencing technologies, virome sample preparation and availability of bioinformatics
503 tools, as well as the availability of reference sequences in the online databases, make whole-
504 microbiome analyses feasible for the first time, but the critical next step will be to integrate
505 such studies with *in vitro* culture and challenge experiments in carefully controlled conditions
506 to assess the roles of viruses in health and disease. We would still note that even such a

507 comprehensive test would fall short of meeting the Bradford-Hill criteria, and that such
508 research would support, rather than prove a hypothesis of causation (Russo and Williamson,
509 2007). As such, we propose the 7 steps above as a mechanistic test of disease causation,
510 noting that further epidemiological studies (e.g. Russo and Williamson 2007) would be
511 necessary to elucidate the complex holobiont-pathogen-environment interactions in disease
512 causation.

513

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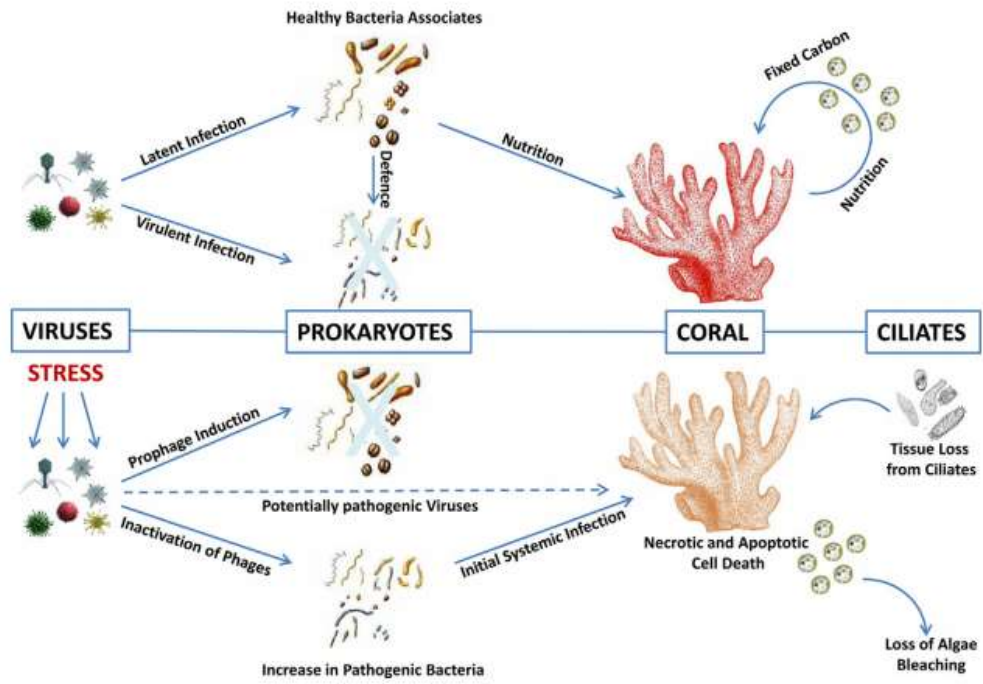
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808 Figure 1. Schematic highlighting the various roles of the coral microbial associates and potential
 809 pathogens in health and disease.