

1 **Sites of fractures in explanted NeuFlex metacarpophalangeal silicone prostheses**

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21 Abstract

22 Single-piece silicone implants dominate metacarpophalangeal (MP) joint arthroplasty. The NeuFlex
23 implant was introduced to improve on the clinical performance of other silicone implants by having a
24 pre-flexed hinge. By visually examining a cohort of 30 explanted NeuFlex MP joint prostheses we
25 sought to identify failure modes of these implants. Seven were not fractured, 11 had fractured across
26 the hinge, nine had fractured at the junction of the distal stem and the hinge, and three showed
27 fractures at both the hinge and at the junction of the distal stem and the hinge. This data may prove
28 helpful with identifying how the performance of single-piece silicone implant designs can be improved.

29 Level IV

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INTRODUCTION

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35 Single-piece silicone implants dominate finger arthroplasty of the metacarpophalangeal (MP) joint.
36 The Swanson (Wright Medical, Memphis, USA) implant is the market leader
37 (Norwegian_Arthroplasty_Register, 2016). Known 'problems' include fracture (Kimani et al., 2009),
38 primarily at the junction of the distal stem and hinge (Joyce and Unsworth, 2002). This position of
39 fracture is also seen with the Avanta/Sutter (Sutter Corporation/Avanta, San Diego, USA) single-piece
40 silicone finger implant (Joyce et al., 2003). It is thought to be particularly related to the dominance of
41 subluxing forces in the rheumatoid MP joint (Drayton et al., 2016; Joyce, 2009). In 1998 the pre-flexed
42 NeuFlex implant was introduced. The three designs, Swanson, Sutter and NeuFlex, are shown in Fig
43 1.

44 It is recognised that fracture of silicone MP implants is common. Trail et al. reported that two-thirds of
45 1336 Swanson implants had fractured at 17 years follow-up (Trail et al., 2004). Goldfarb and Stern
46 reported that 67% of their Swanson implants and 52% of their Sutter implants had fractured at 14
47 years follow-up (Goldfarb and Stern, 2003). A fractured implant does not necessarily mean a clinical
48 failure but recurrent symptoms are more likely with fractured implants (Trail et al., 2004).

49 Clinically, it has been reported that the NeuFlex MP joint has produced an increased range of motion
50 compared to use of the Swanson implant (Delaney et al., 2005). This finding was also reported by
51 Escott et al, although their patients implanted with a Swanson implant had better self-reported function
52 and aesthetics (Escott et al., 2010). While Namdari and Weiss reported 1/29 fractures in their cohort
53 of NeuFlex implants (Namdari and Weiss, 2009), similar fracture rates between the NeuFlex and
54 Swanson designs have been reported (Kimani et al., 2009). When NeuFlex and Sutter implants were
55 compared in the MP joint, two out of 78 NeuFlex and five out of 78 Sutter implants broke (Pettersson
56 et al., 2006).

57 The aim of this study was to assess the failure of explanted NeuFlex implants and compare the results
58 with established patterns for other one-piece silicone implants.

59

60 **METHODS AND MATERIALS**

61 NeuFlex MP joint explants were gathered as part of an ongoing retrieval programme. Following
62 removal the implants were cleaned with a brief immersion in Chlorhexidine for 30 mins and then
63 washed in tap water. The explants were photographed using a digital camera. The position of
64 fracture, if any, was noted. We recorded patient demographics including the patient age, gender,
65 underlying diagnosis, site of surgery and time in situ. The implants were part of a consecutive series
66 of explants for which patient demographic data were available.

67 Based on the explant results (q.v.), we compared the thickness of the central hinge section of NeuFlex
68 and Sutter/Avanta implants as a thinner section would probably fail quicker, as crack growth
69 resistance is probably key to the longevity of these single-piece, silicone prostheses (ASTM-F1781-15,
70 2015; Hutchinson et al., 1997). Size 20 and size 40 implants of the NeuFlex and Sutter/Avanta were
71 measured using a Vernier calliper (Mitutoyo, Huddersfield, UK).

72

73 **RESULTS**

74 Thirty NeuFlex MP joint explants were available for study. The position of the complete implant
75 fracture, if any, is shown in table 1, alongside patient and other information. All revision procedures
76 were undertaken for clinical problems i.e. symptomatic joint replacement failure requiring revision
77 surgery after failure of non-operative treatment.

78 Seven explants were not fractured (Fig 2A). Eleven explants had completely fractured at the hinge
79 (Fig 2B). A further nine explants had completely fractured at the junction of the distal stem and hinge
80 (Fig 2C). Three explants had completely fractured at both the hinge and at the distal stem (Fig 2D).

81 We saw a mix of fractured and intact implants in some patients, for example explants 8-11 came from
82 the hand of one patient. One explant was intact, two had fractured at the distal stem and one had
83 fractured at the hinge. Separate to this we saw an explant (no. 19) with an incomplete fracture.

84 NeuFlex MP explants ranged in size from 0 to 40. As might be expected, smaller sizes were retrieved
85 from the smaller fingers of hands, while larger implants tended to come from the index and middle
86 fingers. The age at revision ranged from 43 to 81 (median 58) years. The time in situ ranged from 6
87 to 120 (median 58.5) months. In all but two cases the diagnosis was rheumatoid arthritis.

88 Discolouration of some explants had occurred (Fig 3). The significance of this is unclear but it could
89 represent some change in material properties of the implant.

90 The size 20 NeuFlex and Sutter/Avanta implants were each measured to have a hinge thickness of
91 1.9 mm and the size 40 implants were each measured to have a hinge thickness of 2.3 mm.

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DISCUSSION

94 As shown in table 1, 11 NeuFlex explants had fractured across the hinge (Fig 2B); this has not
95 previously been reported in vivo. Fracture at the hinge occurred when three NeuFlex implants were
96 tested in vitro in a finger function simulator (Joyce and Unsworth, 2005). Nine NeuFlex explants had
97 fractured at the junction of the distal stem and hinge (Fig 2C). This is similar to the site of implant
98 fracture seen with Swanson implant fractures (Gellman et al., 1997; Trail et al., 2004) and
99 Sutter/Avanta (Joyce et al., 2003) implant fractures. In vitro tests have shown that Swanson (Joyce
100 and Unsworth, 2000) and Sutter (Joyce et al., 2003) implants fracture at the junction of the distal hinge
101 and stem, in both cases matching clinical experience.

102 Intriguingly, 10% of the cohort fractured at the hinge and at the junction of the distal stem and hinge
103 (Fig 2D). The authors are not aware of such a failure mode having been reported previously. That it
104 happened in three explants, and that one of the un-fractured NeuFlex explants (no. 19) also showed
105 substantial damage at both the hinge and the junction of the distal stem and hinge indicates that the

106 failure mode was not an anomaly; of greater note 11 (37%) fractured just at the hinge. Overall 14
107 (47%) suffered fractures of the implant hinge. Silicone implant hinge fractures have not previously
108 been reported. It is unclear whether this occurs before, after or separate to stem fractures.

109 The one incomplete fracture (no. 19) showed that fracture began dorsally. This matches with the
110 fracture initiation site of silicone MP joint implants seen previously (Joyce, 2009).

111 Discolouration of most explants occurred (Fig 3) likely indicating that the silicone material can change
112 when in the body. This may affect the material properties of the implants and could therefore be a
113 useful area for future research.

114 We appreciate that fractures would likely have occurred sometime before removal of the implants and
115 therefore time in situ does not equate with time to fracture.

116 Ex vivo analysis of finger implants potentially highlight key areas of failure, thus providing information
117 that could be used to reduce failures by improving future designs. For example, based on the
118 empirical evidence provided in this paper and by other previous publications (Drayton et al., 2016;
119 Joyce, 2009; Joyce et al., 2003), subluxing forces need to be minimised, to reduce the shear stresses
120 on the implant at both the junction of the distal stem and hinge and probably at the hinge. In addition,
121 consideration could be given to increasing the thickness of the hinge to reduce the time taken to
122 fracture, although there may be a concomitant increase in stiffness of the implant.

123 With improved designs, patients could achieve more with their replaced joints while increased
124 prosthesis longevity should lead to fewer revision operations. The latest Norwegian Arthroplasty
125 Register shows that revision MP joint arthroplasties accounted for 42% of all MP joint replacement
126 operations in 2015 (Norwegian_Arthroplasty_Register, 2016). Therefore revision MP joint arthroplasty
127 is common and opportunities to reduce such operations are substantial.

128 There are limitations on this research. There is no denominator to show the rate of implant failure.
129 The details of the original operations are unknown; there may have been factors leading to implant
130 failure. There is no correlation with the biomechanics of the failed joints.

131 This study provides new data on the failure of NeuFlex implants raising questions about their design
132 and its possible link to mechanical failure.

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135 **CONFLICT OF INTERESTS**

136 None declared

137

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185 **Figure legends**

186 Figure 1 – different designs of silicone single-piece finger implants. Top NeuFlex, middle
187 Sutter/Avanta, bottom Swanson. In all cases the distal stem is to the right.

188 Figure 2 A (top left) – An un-fractured NeuFlex explant (explant 15); B (top right) – A NeuFlex explant
189 (explant 28) showing fracture at the hinge; C (bottom left) – A NeuFlex explant (explant 24) showing
190 fracture at the junction of the distal stem and hinge; D (bottom right) – A NeuFlex explant (explant 13)
191 showing fracture at both the hinge and at the junction of the hinge and the distal stem

192 Figure 3 – Two NeuFlex explants (explant 18 above and explant 13 below) showing discolouration.
193 Note that discolouration of explant 13 has occurred to the entire material and not just the outer
194 surfaces.

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Explant	Finger	Explant Size	Patient age at revision	Time in situ (months)	Diagnosis	Position of fracture
1	Index	30	43	36	RA	Hinge and distal stem
2	Little	10	43	36	RA	Hinge
3	Middle	30	43	36	RA	Hinge
4	Index	40	58	63	RA	Distal stem
5	Middle	40	58	63	RA	Distal stem
6	Ring	30	58	63	RA	Distal stem
7	Little	20	58	63	RA	Distal stem
8	Index	30	78	54	RA	Distal stem
9	Middle	40	78	54	RA	Distal stem
10	Ring	20	78	54	RA	No fracture
11	Little	10	78	54	RA	Hinge
12	Ring	20	57	78	RA	Hinge and distal stem
13	Little	10	57	78	RA	Hinge and distal stem
14	Index	30	58	63	RA	No fracture
15	Ring	20	58	63	RA	No fracture
16	Little	20	58	63	RA	No fracture
17	Middle	40	58	63	RA	Hinge
18	Middle	40	84	18	OA	Distal stem
19	Index	40	84	18	OA	No fracture
20	Little	10	73	24	RA	No fracture
21	---	40	70	120	RA	Hinge

22	Little	10	62	18	RA	Hinge
23	Index	40	81	31	RA	Distal stem
24	Middle	40	81	31	RA	Distal stem
25	Little	20	81	31	RA	No fracture
26	Index	30	56	84	RA	Hinge
27	Middle	30	56	84	RA	Hinge
28	Ring	20	56	84	RA	Hinge
29	Little	0	56	84	RA	Hinge
30	Index	30	49	6	RA	Hinge

202 **Table 1 – table of data regarding NeuFlex MP joint explants. RA = rheumatoid arthritis; OA =**
203 **osteoarthritis.**

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