

## **Concurrent HPV-related oropharyngeal carcinoma in four couples**

Hans Prakash Sathasivam <sup>a</sup>, Ramya Bhatia <sup>b</sup>, Paula Bradley <sup>c</sup>, Andrew Robson <sup>d</sup>,  
Vinidh Paleri <sup>e</sup>, Helen Cocks <sup>f</sup>, Nashreen Oozeer <sup>f</sup>, Debra Milne <sup>g</sup>, Philip Sloan <sup>a</sup>,  
Max Robinson <sup>a</sup>

<sup>a</sup> Centre for Oral Health Research, Newcastle University, Newcastle upon Tyne, UK.

<sup>b</sup> HPV Research Group, Division of Pathology, The University of Edinburgh, The Queen's Medical Research Institute, Edinburgh, UK.

<sup>c</sup> Northumbria General Practice Training Programme, Health Education North East, Newcastle Upon Tyne, UK.

<sup>d</sup> Department of Otolaryngology, North Cumbria University Hospitals, Carlisle, UK.

<sup>e</sup> Head and Neck Unit, The Royal Marsden NHS Foundation Trust and Institute of Cancer Research, London, UK.

<sup>f</sup> Department of Otolaryngology, City Hospitals Sunderland, Sunderland, UK.

<sup>g</sup> South of Tyne and Wear Clinical Pathology Services, Queen Elizabeth Hospital, Gateshead, UK.

### **Corresponding author**

Dr Max Robinson

Centre for Oral Health Research

Newcastle University

Framlington Place

Newcastle-upon-Tyne

NE2 4BW

United Kingdom

Tel: +44 191 222 8391.

Email: [max.robinson@newcastle.ac.uk](mailto:max.robinson@newcastle.ac.uk)

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## **Concurrent HPV-related oropharyngeal carcinoma in four couples**

### **Abstract**

#### *Objectives*

Typically, HPV-related cancers are sexually transmitted, however, the natural history of HPV-related oropharyngeal squamous cell carcinoma (OPSCC) is unclear. HPV16 transmission has been reported previously between five couples with OPSCC. We report the clinico-pathological features of a further four couples with HPV-related OPSCC and compare them with the published cases.

#### *Patients and Methods*

We identified four couples in long-term heterosexual relationships that all had HPV-related OPSCC. The couples were treated at three UK hospitals and presented between 2009-2015. HPV tests included p16 immunohistochemistry, high-risk HPV DNA in-situ hybridization and Roche Cobas HPV test. DNA sequencing was used to determine the HPV variant.

#### *Results*

The four couples represented <2% of patients with HPV-related OPSCC at the three contributing hospitals (8 of 457 consecutive patients). The couples' tumours all contained HPV16. The mean age was 63 years old (range 52-72 years). The interval between the index cancer and the partner's cancer was 16, 24, 26 and 64 months respectively. The majority of patients had Stage I disease (UICC TNM8). Six of eight patients are disease free, one patient is alive with disease and there was one death from loco-regional recurrence.

#### *Conclusion*

This report highlights the occurrence of HPV-related OPSCC in heterosexual couples and raises the possibility of transmission of HPV16. Despite increasing prevalence of

HPV-related OPSCC and increased awareness of the disease, there is a paucity of couples with the disease, suggesting either under-reporting or that the development of OPSCC following HPV transmission between couples is a rare event.

## **Keywords**

Oropharynx; squamous cell carcinoma; human papillomavirus; HPV-16; couples

## **Introduction**

It is well known that human papillomavirus (HPV) causes cervical squamous cell carcinoma. The natural history of the disease has been well characterized over the last 30 years and this knowledge underpins screening programs and vaccination strategies for women [1]. More recently, an increase in oropharyngeal squamous cell carcinoma (OPSCC) in the USA and Europe has been attributed to oncogenic HPV infection [2–4], however, the natural history of the disease is unclear [5].

There are epidemiological studies showing an association of HPV-related OPSCC with sexual behaviour [6–8]. A recent systematic review has also suggested that there is a small increased risk for HPV-related cancers amongst spouses/partners of patients with HPV-driven cancers [9]. Furthermore, population studies in Sweden indicated that women with cervical cancer and their husbands both have a higher standard incidence ratio of tonsillar cancer and upper aerodigestive cancers [10,11]. These studies provide circumstantial evidence that HPV transmission between individuals can result in OPSCC, however, there is no evidence of direct transmission and causation *per se*.

Only five couples with HPV-related OPSCC have been reported to date [12–15]. For each set of couples, DNA sequencing of the tumours revealed distinct HPV16 variants shared by partners, increasing the likelihood that the virus was acquired by direct transmission and was causative.

Interestingly, D'Souza *et al* (2014) reported that oncogenic HPV could be detected in the oral cavities of around 60% of patients with HPV-related OPSCC, but their partners had a very low prevalence of oncogenic HPV DNA; around 1.1% [16]. These data suggest partners of patients with HPV-related OPSCC have a very low risk of oral HPV transmission and consequently a small or negligible risk of developing HPV-related OPSCC [17]. Here we report the clinico-pathological features of four couples with HPV-related OPSCC and compare them with the previously published cases. We use the cases to frame a discussion around infectivity, transmission and the natural history of HPV-related OPSCC.

## **Methods**

We identified patients with HPV-related OPSCC that were in long-term heterosexual relationships with a partner that also had HPV-related OPSCC from a prospective database of patients with HPV-related OPSCC from three UK hospitals (Cancer centers at City Hospitals Sunderland, Newcastle upon Tyne Hospitals and North Cumbria University Hospital) compiled from January 2009 until December 2017 (9 years). Written consent was obtained from the patients to use their anonymized clinical data for publication. Following patient consent, the clinical features were determined by review of the medical notes and the HPV tests were re-examined. p16 was detected by immunohistochemistry (CINtec Histology, Roche mtm laboratories AG, Germany)

and high-risk HPV DNA was detected by in-situ hybridisation (Inform HPV III Family 16 Probe B, Ventana Medical Systems Inc, USA), using a Benchmark Ultra autostainer (Roche Molecular Systems Inc. USA), according to the manufacturer's instructions.

Genomic DNA was extracted from formalin-fixed paraffin-embedded tissue scrolls using the Cobas DNA extraction kit (Roche Molecular Systems Inc. USA). Standard operating procedures were followed to prevent contamination of samples during preparation for downstream analysis. In addition, control samples (known positive and negative OPSCC) were prepared alongside test samples to quality assure the results. 400ng of genomic DNA was analysed using the Cobas HPV test on a Cobas 4800 unit (Roche Molecular Systems Inc. USA), according to the manufacturer's instructions. The Cobas HPV test is clinically validated and FDA approved for use in cervical screening.

The sequence of HPV was determined by PCR amplification using two sets of primers that amplify regions nucleotides 75 to 206 and 274 to 338 within E6 gene as previously published [18,19]. Sequencing was performed on purified PCR product (Source Bioscience, UK) and sequences were analysed using the reference HPV-16 sequence (K02718) [20].

## **Results**

The four couples represented <2% of patients with HPV-related OPSCC at the three contributing hospitals (8 of 457 consecutive patients, 1.75%). The clinico-pathological features of the four couples (8 patients) are summarised in Table 1. Briefly, the mean age of the individuals at diagnosis was 63 years old (range 52-72 years). The

relationships were long-standing (mean duration 36 years; range 24-50 years). The index tumour was equally likely to be the male or female in the relationship (2 males, 2 females). The interval between presentation of the index cancer and the partner cancer was 16, 24, 26, and 64 months respectively. The majority of patients were never smokers (6 of 8 patients) and where alcohol consumption was known, there were equal numbers between those who consumed alcohol and those that did not. None of the patients had undergone a tonsillectomy prior to the diagnosis of oropharyngeal cancer. None of the female patients had a history of uterine cervix dysplasia or cancer. The majority of patient had Stage I disease (UICC TNM8) and all patients were treated with curative intent. Treatment was variable and included surgery alone, surgery with adjuvant radiotherapy and chemoradiotherapy, however, the majority received chemoradiotherapy (6 of 8 patients). Six of eight patients are disease free, one patient is alive with disease and there was one death from loco-regional recurrence.

All tumours were p16 positive by immunohistochemistry using the validated clinical cut off of strong and diffuse nuclear and cytoplasmic expression in >70% of the malignant cells [21,22]. The majority of cases (7 of 8 patients) showed evidence of high risk HPV DNA by in situ hybridization; one case was negative. The HPV Cobas tests demonstrated that all the samples contained amplifiable HPV16 DNA (Table 1).

HPV sequencing results demonstrated that all the couples harboured the European A1 lineage of HPV16. Couple 1 harboured a mutation at nucleotide position 350 changing the nucleotide T to G (indicated as T350G mutation). Interestingly, in Couple

4, only the female partner had the T350G mutations whereas the corresponding male partner had the European A1 variant of HPV-16.

The clinico-pathological features of the previously published cases are presented in Table 2. Analysing all the cases (9 couples/18 patients), the mean age of the individuals at diagnosis was 62 years old (range 52-75 years old). The relationships were long-standing (mean duration 29 years; range 10-50 years). The index tumour was equally likely to be the male or female in the relationship (4 males, 4 females, 1 synchronous). Where smoking status was known, most were never smokers (10 of 16 patients) and only one was a smoker at diagnosis. Where alcohol consumption was known, the majority did not consume alcohol (8 of 14 patients). The majority of patients (13 of 18) presented with Stage I disease (UICC/AJCC TNM8). All cancers harboured HPV16. Treatment was variable and included surgery alone, surgery with adjuvant radiotherapy and chemoradiotherapy, however, the majority received some form of chemoradiotherapy (11 of 18 patients). The patients generally had a favorable outcome and for those with follow up data, 13 of 14 were survivors; one died of loco-regional recurrence.

## **Discussion**

These 18 cases of HPV-related OPSCC in nine longstanding heterosexual relationships have features that indicate a high likelihood of transmission of the aetiological agent to the partner. All 18 cases harbored HPV-16, which accounts for around 90% of HPV-related OPSCC [23].

Gene sequencing of HPV DNA from the four couples previously reported [13–15] revealed that each individual couple had highly phylogenetically similar HPV16 strains between the partners, making transmission highly likely in these instances although the possibility of a similar source of infection cannot be completely ruled out [13–15]. Some sequence variations were reported in all three reports but all had the nucleotide T at positions 350. In the four couples from our series however, we found that Couple 1 and the female of Couple 4 had the G nucleotide in this position. This nucleotide change causes an amino acid change of Leucine to Valine at position 83 (L83V). There is evidence to suggest that 350G variant is significantly associated with high grade cervical lesions and invasive cervical cancer [24]. However, an HPV variant study conducted in 2013 found that it was dependent on geographical location [25]. While 350G E6 was associated with an increased risk of developing cervical cancer in Central and South America, this was not the case in Europe or Central Asia. There is limited data on T350G mutation in OPSCC. None of the previous case studies indicated the presence of this mutation.

It is well known that HPV is a ubiquitous infective agent and around 80% of sexually active adults have acquired genital infection at some point during their lifetime, however, the majority of infections are cleared [26]. Oral HPV infection has a bimodal age distribution, with peak prevalence among individuals aged 55-64 years old [27] and the majority of the individuals in this study fitted this demographic. Partners in longstanding relationships have already likely shared their infections, which in these partners would have been shared for at least a decade and for one couple almost five decades, perhaps long enough to facilitate HPV-driven carcinogenesis [17]. Furthermore, couples tend to share other environmental risk factors for the

development of cancer, such as smoking in lung cancer and ultra-violet (UV) radiation in skin cancer [10]. However, smoking did not appear to influence the development of the cancers documented here, as the majority were never smokers or current non-smokers. Perhaps factors such as advanced age, co-morbidities and diet, with their known effects on immune competence, may have reduced the ability to combat the virus with inexorable progression to cancer; however, this is speculative.

Only 1.75% of patients with HPV-related OPSCC were couples in this cohort. Despite the obvious lack of infectivity from HPV-related OPSCC as described by D'Souza *et al* (2014) [16], it is possible that the HPV-16 variants in these couples have subtly different virulence factors, which makes persistent infection more likely, rendering the virus more oncogenic [14]. It is conceivable that virulence factors are encoded by acquired virus mutations or epigenetic changes, however, further understanding of the natural history of the disease in the oropharynx is required to determine if such factors play a role in the pathogenesis of the disease.

It is inevitable that the occurrence of the disease in these individuals is likely to be multifactorial and the cases may represent a rare constellation of factors permissive for transmission, persistent infection and transformation to cancer. In other words, they may be rare occurrences that are simply stochastic events (i.e. subject to the variation of chance), although the odds are surely very low. It will be interesting to see if more couples with HPV-related OPSCC emerge as the number of incident cases of the disease accumulate. To date, these individuals provide evidence that the disease is communicable in rare circumstances, however, there is insufficient evidence to

change the advice recommended by Fakhry and D'Souza (2013) to partners of people with HPV related OPSCC:

- 'The risk of HPV-related OPSCC may be slightly higher among spouses of HPV-related OPSCC, but this cancer remains rare among spouses'.
- 'There are no recommended screening tests for HPV-related OPSCC'.
- 'You have already likely shared whatever infections you have'.
- 'You do not need to change your sexual behavior'.
- 'Female partners should have regular cervical Pap screening'. [17]

Regarding the last point, the nine females described to date were all over 50 years-old at diagnosis and would typically be invited for cervical screening every 5 years; in fact, three of the patients were in their eighth decade and would not be eligible for screening. Consequently, there is uncertainty regarding cervical screening advice for women who have HPV-related OPSCC or whose partners have the disease.

It is important to note that the instances of couples with HPV-related oropharyngeal cancer reported to date lack detailed sexual histories and may underestimate the burden of HPV-related disease and exposure to infection over time. Future studies should attempt to address these issues and also provide accurate epidemiological data. Such information will provide a better understanding of the risks of HPV transmission and can be used to counsel individuals with HPV-related SCC and their partners.

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**Table 1** HPV related squamous cell carcinoma in four couples.

	<b>Couple 1</b>		<b>Couple 2</b>	
<b>Sex</b>	Male	Female	Male	Female
<b>Age at diagnosis</b>	53	52	71	72
<b>Year of diagnosis</b>	2010	2012	2013	2011
<b>Duration of relationship</b>	24 years		32 years	
<b>Interval between couple diagnosis</b>	24 months (male index tumour)		26 months (female index tumour)	
<b>Smoking status</b>	Former smoker (22 years ago)	Never smoker	Former smoker (28 years ago)	Never smoker
<b>Alcohol</b>	Not known	Not known	70 unit per week	Nil
<b>Site</b>	Left base of tongue	Left tonsil	Left base of tongue	Right tonsil
<b>T category<sup>a</sup></b>	T3 (T3)	T2 (T2)	T1 (T1)	T4 (T4a)
<b>N category<sup>a</sup></b>	N2 (N2c)	N1 (N2b)	N1 (N2b)	N1 (N2b)
<b>M category<sup>a</sup></b>	M0 (M0)	M0 (M0)	M0 (M0)	M0 (M0)
<b>Stage<sup>a</sup></b>	II (IVA)	I (IVA)	I (IVA)	III (IVA)
<b>p16 IHC</b>	Positive	Positive	Positive	Positive
<b>HR-HPV DNA ISH</b>	Positive	Positive	Negative	Positive
<b>Genotype</b>	HPV16	HPV16	HPV16	HPV16
<b>Species</b>	European T350G	European T350G	European	European
<b>Treatment</b>	Chemoradiotherapy	TOLS <sup>b</sup> , left neck dissection, adjuvant radiotherapy	Left neck dissection only. Declined further treatment	Chemoradiotherapy
<b>Overall survival</b>	Alive disease free at 85 months	Alive disease free at 61 months	Alive with loco-regional disease at 48 months	Dead at 9 months Loco-regional disease

<sup>a</sup> UICC TNM8 (UICC TNM7).

<sup>b</sup> TOLS = trans-oral laser surgery.

**Table 1 (continued)** HPV related squamous cell carcinoma in four couples.

	<b>Couple 3</b>		<b>Couple 4</b>	
<b>Sex</b>	Male	Female	Male	Female
<b>Age at diagnosis</b>	64	70	61	55
<b>Year of diagnosis</b>	2009	2015	2015	2014
<b>Duration of relationship</b>	50 years		39 years	
<b>Interval between couple diagnosis</b>	64 months (male index)		16 months (female index)	
<b>Smoking status</b>	Never	Never	Never	Never
<b>Alcohol</b>	Nil	Nil	8 units/week	4 units/week
<b>Site</b>	Left tonsil	Right tonsil	Left tongue base	Right tonsil
<b>T category<sup>a</sup></b>	T2 (T2)	T2 (T2)	T2 (T2)	T2 (T2)
<b>N category<sup>a</sup></b>	N1 (N2b)	N1 (N2b)	N1 (N2b)	N1 (N2b)
<b>M category<sup>a</sup></b>	M0 (M0)	M0 (M0)	M0 (M0)	M0 (M0)
<b>Stage<sup>a</sup></b>	I (IVA)	I (IVA)	I (IVA)	I (IVA)
<b>p16 IHC</b>	Positive	Positive	Positive	Positive
<b>HR-HPV DNA ISH</b>	Positive	Positive	Positive	Positive
<b>Genotype</b>	HPV16	HPV16	HPV16	HPV16
<b>Species</b>	European	European	European	European T350G
<b>Treatment</b>	Chemoradiotherapy	Chemoradiotherapy	Bilateral neck dissections, chemoradiotherapy	Chemoradiotherapy
<b>Overall survival</b>	Alive disease free 91 months	Alive disease free 32 months	Alive disease free 25 months	Alive disease free 41 months

**Table 2** HPV related squamous cell carcinoma previously reported in five couples [12–15]

	<b>Uemaetomari et al [12]</b>		<b>Haddad et al [13]</b>	
	<b>Couple 1</b>		<b>Couple 1</b>	
<b>Sex</b>	Male	Female	Male	Female
<b>Age at diagnosis</b>	67	63	75	75
<b>Year of diagnosis</b>	2004	2005	Not stated	Not stated
<b>Duration of relationship</b>	Not stated		Not stated	
<b>Interval between couple diagnosis</b>	12 months (male index tumour)		0 months (synchronous tumours)	
<b>Smoking status</b>	Not stated	Not stated	Former smoker (25 years ago)	Former smoker (10 years ago)
<b>Alcohol</b>	Not stated	Not stated	Past heavy user (25 years ago)	Non-drinker
<b>Site</b>	Right tonsil	Left tonsil	Neck Laterality not stated	Right tonsil
<b>T category<sup>a</sup></b>	T1 (T1)	T2 (T2)	TX (TX)	T1 (T1)
<b>N category<sup>a</sup></b>	N1 (N2b)	N2 (N2c)	N1 (N2a)	N1 (N1)
<b>M category<sup>a</sup></b>	M0 (M0)	M0 (M0)	M0 (M0)	M0 (M0)
<b>Stage<sup>a</sup></b>	I (IVA)	II (IVA)	I (IVA)	I (III)
<b>p16 IHC</b>	Not stated	Not stated	Not stated	Positive
<b>HR-HPV DNA ISH</b>	Not stated	Not stated	Not stated	Not stated
<b>Genotype</b>	HPV16	HPV16	HPV16	HPV16
<b>Species</b>	Not stated	Not stated	European	European
<b>Treatment</b>	Radiotherapy, surgery for local recurrence	Radiotherapy	Not stated	Tonsillectomy, neck dissection
<b>Overall survival</b>	Not stated	Not stated	Not stated	Not stated

<sup>a</sup> UICC TNM8 (UICC TNM7).

<sup>b</sup> TORS = trans-oral robotic surgery.

Table 2 continued

	Andrews et al [14]				Brobst et al [15]	
	Couple 1		Couple 2		Couple 1	
Sex	Male	Female	Male	Female	Male	Female
Age at diagnosis	56	58	57	51	60	60
Year of diagnosis	Not stated	Not stated	Not stated	Not stated	Not stated	Not stated
Duration of relationship	10 years		15 years		31 years	
Interval between couple diagnosis	12 months (female index tumour)		'few months' (male index tumour)		2 months (female index tumour)	
Smoking status	Former smoker (40 years ago)	Never smoker	Never smoker	Never smoker	Never smoker	Current smoker
Alcohol	Non-drinker	Non-drinker	Non-drinker	Non-drinker	Occasional	Occasional
Site	Right tonsil	Right tonsil	Right tonsil	Left tonsil	Left base of tongue	Right tonsil
T category <sup>a</sup>	T1 (T1)	T1 (T1)	T2 (T2)	T2 (T2)	T2 (T2)	T4 (T4b)
N category <sup>a</sup>	N1 (N2a)	N1 (N2b)	N1 (N2b)	N1 (N1)	N2 (N2c)	N2 (N2c)
M category <sup>a</sup>	M0 (M0)	M0 (M0)	M0 (M0)	M0 (M0)	M0 (M0)	M0 (M0)
Stage <sup>a</sup>	I (IVA)	I (IVA)	I (IVA)	I (III)	II (IVA)	III (IVB)
p16 IHC	Positive	Positive	Positive	Positive	Positive	Positive
HR-HPV DNA ISH	Not stated	Not stated	Not stated	Not stated	HR-HPV RNA ISH positive	HR-HPV RNA ISH positive
Genotype	HPV16	HPV16	HPV16	HPV16	HPV16	HPV16
Species	European/German	European/German	European/German	European/German	Not stated	Not stated
Treatment	Chemoradiotherapy and neck dissection	Chemoradiotherapy	Chemoradiotherapy	Left neck dissection and radiotherapy	TORS, bilateral neck dissection, chemoradiotherapy	Chemoradiotherapy
Overall survival	Alive at 24 months	Alive at 39 months	Alive at 17 months	Alive at 16 months	Alive at 12 months	Alive at 12 months