

1 **Sarcopenia**

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19 **Unstructured summary**

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21 Sarcopenia is a progressive and generalised skeletal muscle disorder involving the
22 accelerated loss of muscle mass and function that is associated with increased adverse
23 outcomes including falls, functional decline, frailty and mortality. It occurs commonly as
24 an age-related process in older people, influenced not only by contemporaneous risk
25 factors but also by genetic and lifestyle factors operating across the life course. It can also
26 occur in mid-life in association with a range of conditions. In recent years, sarcopenia has
27 become the focus of intense research aiming to translate increased understanding about
28 pathophysiology into improved diagnosis and treatment, with particular interest in the
29 development of biomarkers, nutritional interventions, and drugs to augment the
30 beneficial effects of resistance exercise. There is also interest in designing effective
31 preventive strategies across the life course. It is likely that the diagnosis, treatment and
32 prevention of sarcopenia will soon become part of routine clinical practice.

33

34 **Fast facts / One page summary**

35

- 36 • Sarcopenia is a progressive and generalised skeletal muscle disorder involving the
- 37 accelerated loss of muscle mass and function that is associated with increased
- 38 adverse outcomes including falls, functional decline, frailty and mortality.
- 39 • Diagnosis of sarcopenia relies on measuring muscle strength, muscle mass and
- 40 physical performance, although different definitions and cut-off points have been
- 41 proposed. Blood biomarkers are not yet available in clinical practice. The
- 42 EWGSOP2 definition has been endorsed by several international societies.
- 43 • Case finding in patients with relevant symptoms (falls, weakness, slowness,
- 44 functional impairments) is recommended. The SARC-F questionnaire can be used
- 45 for screening in high prevalence settings.
- 46 • A syndromic approach is recommended to ascertain the underlying causes.
- 47 • Differential diagnosis has to be made with malnutrition, caquexia and frailty.
- 48 • The prevalence of sarcopenia increases with age and is higher in some care
- 49 settings (acute hospital admission, nursing homes).
- 50 • Pathophysiology is complex and involves many pathways and systems.
- 51 • Guidelines recommend resistance exercise as the cornerstone for treatment of
- 52 sarcopenia. Evidence on nutrition interventions is less consistent.
- 53 • There are no drugs approved for sarcopenia.
- 54 • Muscle strength and physical performance can be used to assess the effect of
- 55 interventions in clinical practice.
- 56 • The prevention of sarcopenia is now a major area of research activity, using a life
- 57 course perspective.
- 58 • An ICD-10 code for sarcopenia was announced in 2016. It is likely that the
- 59 diagnosis, treatment and prevention of sarcopenia will soon become part of
- 60 routine clinical practice.

61

62 **Background**

63 Sarcopenia is a term derived from the Greek 'poverty of flesh'. It was first described in the
64 1980s as an age-related decline in lean body mass affecting mobility, nutritional status
65 and independence.¹ The definition has since evolved and there have been two recent
66 milestones. The first was the introduction of muscle function into the concept in six
67 consensus definitions since 2010.²⁻⁷ This new focus on muscle function, usually defined by
68 muscle strength but also by muscle power or physical performance, occurred because
69 function was consistently shown to be a more powerful predictor of clinically relevant
70 outcomes than muscle mass alone.⁸⁻¹¹ The second milestone was recognition of
71 sarcopenia as an independent condition with an ICD-10 code in 2016.¹² Yet most clinicians
72 remain unaware of the condition and the diagnostic tools needed to identify it.^{13,14} This
73 review describes recent progress and debate about a consensus definition, an approach to
74 diagnosis and case finding, an overview of disease burden and pathophysiology, an outline
75 of current treatment strategies, and future potential for prevention across the life course.

76

77 **Definition**

78 Sarcopenia has recently been defined as a progressive and generalised skeletal muscle
79 disorder involving the accelerated loss of muscle mass and function that is associated with
80 increased adverse outcomes including falls, functional decline, frailty and mortality.¹⁵

81 When first coined, the word sarcopenia referred to an age-related loss of muscle mass and
82 function.¹ However for decades it was used to describe muscle wasting (low muscle mass)
83 alone without reference to function, and this concept is still used in some cancer and
84 other disease-related sarcopenia research today. Nevertheless there has been some
85 progress in recent years, and published consensus definitions by a range of expert groups
86 from around the world now include muscle function in the concept of sarcopenia. Full
87 agreement on the variables to be included and cut points has yet to be reached (Table 1).
88 The most widely cited definition at this time is that proposed by the European Working
89 Group on Sarcopenia in Older People (EWGSOP),³ supported by the Asian Working Group

90 on Sarcopenia (AWGS)⁶ and recently updated as EWGSOP2.¹⁵ This is the only definition
 91 currently endorsed by a range of international scientific societies (EuGMS, ESPEN, ESCEO,
 92 IOF, IAGG-ER) for clinical practice and research.

93

94 Table 1. Definitions of sarcopenia

95

Definition	Muscle mass	Muscle strength	Physical performance	Cut-offs defined	Comment
EWGSOP (2010) ³	•	•	•		
IWGS (2011) ⁴	•		•	•	
SSCWD (2011) ⁵	•		•	•	Named “sarcopenia with limited mobility”
AWGS (2014) ⁶	•	•	•	•	Same as EWGSOP but adds cutoffs for Asia
FNIH (2014) ⁷	•	•		•	Uses physical performance as outcome
EWGSOP2 (2019) ¹⁵	•	•	•	•	Physical performance used for severity

96

97 In clinical practice, the EWGSOP2 states that a person with low muscle strength and low
 98 muscle mass or quality will be diagnosed with sarcopenia. It may be best understood as

99 organ (skeletal muscle) failure or insufficiency.¹⁶ As such, it may appear acutely (usually in
100 the setting of an acute disease or sudden immobility, as during hospital admission) or
101 have a more protracted (chronic) course. Muscle mass and strength (in parallel with bone
102 mineral density) peak in young adulthood and, after a plateau, start decreasing gradually,
103 with a faster decline in strength (figure 1).^{17,18} The World Health Organization has recently
104 shifted its paradigm from a disease-centered to a function-centered model, where
105 intrinsic capacity (defined as a composite of all physical and mental capacities of an
106 individual) interacts with the environment to determine functional ability. Muscle strength
107 is included in the construct of intrinsic capacity that may merit lifelong monitoring.^{19,20}
108
109 Clinicians may associate sarcopenia with leanness and not be aware that sarcopenia can
110 also be present in obesity, leading to increased disability and mortality.²¹ Sarcopenic
111 obesity is usually identified when both low muscle mass and increased adiposity are
112 present in an individual, but it may remain unnoticed when the focus of care is obesity,
113 leading to adverse outcomes.²² Sarcopenia and obesity share some underlying
114 pathophysiological pathways.²³ Muscle loss may also increase the risks during weight loss
115 in obese individuals.^{24,25} However a consensus definition of sarcopenic obesity to date is
116 lacking, and how muscle strength should be used to make a diagnosis in this setting
117 remains unclear.^{21,22,26} There is also a relationship between sarcopenia and dysphagia²⁷
118 (sarcopenic dysphagia) that merits a specific approach in clinical practice.²⁸

119

120 **Case finding**

121 Most cases of sarcopenia go undiagnosed. Nevertheless, at this time, there is no evidence
122 to support universal screening of sarcopenia as screening tools are not accurate^{29–32} and
123 the effect of such screening on relevant outcomes is far from proved.³³ Therefore, a case
124 finding approach is current recommended practice.¹⁵ This involves looking for sarcopenia
125 when relevant symptoms are reported. These could include falling, weakness, slowness,
126 self-reported muscle wasting, or difficulties carrying out the activities of daily living.^{5,15}
127 Case finding is particularly relevant in care settings where a higher prevalence of

128 sarcopenia may be expected such as acute hospitalisation, rehabilitation settings, or
129 nursing homes.^{34,35} The SARC-F is a commonly recommended case finding instrument with
130 evidence to support its use.^{33,36} It can be self-administered and has a low sensitivity but
131 high specificity, so may be a good way to initiate identifying cases of sarcopenia in clinical
132 practice (Table 2).^{31,37}

133

134 Table 2. Components of the SARC-F screening test

135

136 This screening instrument has five questions addressing:

- 137 • Strength
- 138 • Assistance in walking
- 139 • Rise from a chair
- 140 • Climb stairs
- 141 • Falls

142

143 **Diagnosis**

144 The diagnosis of sarcopenia using any definition of sarcopenia is relatively straightforward.
145 It requires measurement of a combination of muscle mass, muscle strength and physical
146 performance (table 1). All definitions use at least two parameters but different cut points
147 leading to lack of standardisation and poor application of these definitions in clinical
148 practice¹⁴. The updated European Working Group on Older People (EWGSOP2) has
149 proposed a stepwise approach (figure 2). It starts with a measure of muscle strength,
150 usually grip strength which has a well validated protocol.³⁸ If grip strength is below the
151 reference values for gender (table 3 or those proposed by the definitions listed in table 1),
152 sarcopenia should be suspected. However, the differential diagnosis is wide and other
153 potential causes for low muscle strength should be considered, for example hand
154 osteoarthritis and neurological disorders. Nevertheless identifying low grip strength in the
155 first instance is important because it is highly predictive of a range of adverse
156 outcomes.³⁹⁻⁴²

157 The second step is measuring muscle mass. A number of techniques have been used to
158 estimate muscle mass, but all have major limitations, including variability in the results,
159 inconsistent use of cut points, and the weak relationship between muscle mass and
160 adverse health outcomes.³⁹ Best agreement to date exists for the use of dual energy X-ray
161 absorptiometry (DXA), which estimates lean mass, whilst bioimpedance absorptiometry
162 (BIA), computerized tomography (CT) and magnetic resonance imaging (MRI) may have a
163 role in some settings.⁴³ BIA is useful as a bedside test, but as BIA equations and cut points
164 are population and device-specific there is a lack of standardisation that limits its
165 accuracy.⁴⁴ CT and MRI are mostly used in research and also when needed for the follow-
166 up of another condition, as in cancer patients.⁴⁵ Ultrasound has been recently been
167 proposed as a simple alternative to measure muscle mass in clinical practice, but it is not
168 standardised and does not have validated cut points yet.^{46,47} Usually, appendicular lean
169 mass (skeletal muscle in the extremities) is measured, and in most cases adjustment for
170 height is used to define cut-off values. Unfortunately research focused on identifying cut
171 points to define sarcopenia has led to a range of different values that are difficult to
172 reconcile and introduce systematically.^{48,49} For this reason, the EWGSOP2 definition has
173 taken a pragmatic approach and opted for simple, easy to remember cut points exploiting
174 relevant data where possible although this has sometimes been at the expense of
175 consistency in how the cut points have been chosen. The primary aim is to encourage
176 uptake using a standardised approach to identify sarcopenia in clinical practice, in much
177 the same way cardiovascular risk factors have been introduced (table 3).¹⁵
178

179 Table 3. Reference values used to diagnose sarcopenia

180

Measure	Men	Women
Grip strength	<27 kg	<16 kg
Appendicular Skeletal Muscle Mass (ASM)/height ²	<7 kg/m ²	<5.5 kg/m ²
Gait speed	≤ 0.8 m/sec	
Timed Up and Go test	≥ 20 sec	

181 *Based on those recommended by the EWGSOP2*¹⁵

182

183 Muscle quality is a term that is now used widely. However, it may refer to two different
 184 concepts: the relation between strength and mass, and observable characteristics of
 185 muscle such as inter- or intramuscular adiposity. It may well prove to be a more relevant
 186 concept to health than muscle mass but as yet is not sufficiently defined for use in clinical
 187 practice.⁵⁰

188

189 Physical performance is defined as the ability to carry out physical tasks in order to
 190 function independently in daily life. It involves function of the whole body as opposed to
 191 function of a single organ and depends not only on skeletal muscle but on an intact
 192 musculoskeletal system integrated with the central and peripheral nervous systems and
 193 involvement of a range of other body systems. It can be characterised using subjective or
 194 objective assessment of mobility, strength and balance, and commonly used single
 195 objective measures include gait speed and the 400 m timed walk. More complex
 196 composite measures such as the Short Physical Performance Battery (SPPB) and the Timed
 197 Up and Go (TUG) test) are also employed.^{51,52} In recent years there has been discussion
 198 about whether physical performance should be part of the definition of sarcopenia^{3,5} or
 199 be used as an outcome measure.⁷ The latest EWGSOP2 definition suggests that physical
 200 performance should be considered a measure of the severity of sarcopenia.¹⁵ Grading the
 201 severity of sarcopenia is important both to predict outcomes and to choose the intensity

202 of interventions. Emerging evidence for this comes from some recent clinical trials that
203 have shown that interventions may have different effects in severe sarcopenia. For
204 example it appears that a more intensive, multi-dimensional intervention that always
205 includes exercise is needed for severe sarcopenia.^{53,54}

206

207 Blood biomarkers of sarcopenia are not yet available in clinical practice. Research in this
208 area has proved complex for a number of reasons, including only recent progress towards
209 a consensus definition of sarcopenia; increasing recognition of acute and chronic
210 sarcopenia; the existence of many interacting pathways involved in the pathophysiology;
211 and the impact of related conditions.⁵⁵

212

213 To date the most promising approach to measuring skeletal muscle mass is one based on
214 the dilution of oral d3 creatine A. This is a non-invasive isotope dilution test that
215 determines methyl-d3 creatine in fasting morning urine after an oral dose to calculate
216 total skeletal mass. In a recent study, it was more strongly linked to outcomes such as
217 physical performance and mobility in men than DXA lean mass.⁵⁶⁻⁵⁸ In contrast, DXA is
218 useful for quantifying body fat, which can impact on muscle function and going forward it
219 is likely that multiple approaches rather than individual measures will be needed for the
220 required diagnostic accuracy.⁵⁹⁻⁶¹

221

222 Once sarcopenia has been confirmed, a systematic approach is recommended to ascertain
223 the underlying causes.³ The most frequent causes of sarcopenia are described in Table 4.
224 Sarcopenia can occur in association with a range of long-term conditions in mid-life hence
225 the growing interest from a range of medical and surgical specialties. However most older
226 patients will have more than one associated condition. When no evident cause of a
227 gradual onset sarcopenia is present in an older person, age-associated (primary)
228 sarcopenia is diagnosed.

229

230

231 Table 4. Frequent underlying causes of sarcopenia
 232

Nutritional	Low protein intake Low energy intake Micronutrient deficiency Malabsorption and other gastrointestinal conditions Anorexia (ageing, oral problems)
Inactivity-related	Bed rest / immobility / deconditioning Low activity / sedentary lifestyle
Disease	Bone and joint diseases Cardiorespiratory disorders including chronic heart failure and chronic obstructive pulmonary disease Metabolic disorders particularly diabetes mellitus Endocrine diseases particularly androgen deprivation Neurological disorders Cancer Liver and kidney disorders
Iatrogenic	Hospital admission Drug-related

233

234 **Differential diagnosis**

235 The three main conditions in the differential diagnosis of sarcopenia are malnutrition,
 236 cachexia and frailty.⁶²⁻⁶⁴ Malnutrition has been the focus of a recent global effort to reach
 237 a consensus definition and this is changing understanding of both malnutrition and
 238 sarcopenia. The Global Leadership Initiative on Malnutrition (GLIM) has included reduced
 239 muscle mass as one of the three phenotypic criteria of malnutrition.⁶⁵ In parallel, the new
 240 EWGSOP2 definition of sarcopenia has put the focus on muscle function.¹⁵ Thus, a finding
 241 of reduced muscle mass with normal muscle strength would be more suggestive of
 242 malnutrition than sarcopenia whereas reduced muscle mass with impaired muscle
 243 function would lead to a diagnosis of sarcopenia. Hence there has been a move away from

244 the original approach of defining sarcopenia purely in terms of low muscle mass. Studies
245 of sarcopenia in the context of other conditions such as cancer that only consider muscle
246 mass may therefore be characterising malnutrition or cachexia rather than sarcopenia, as
247 muscle function is usually not explored.^{66–68}

248
249 Cachexia is a term that has been used for decades to describe severe weight loss and
250 muscle wasting associated with cancer, HIV and AIDS, or end-stage organ failure. Cachexia
251 and sarcopenia may co-exist, and some aspects of the definition of sarcopenia, in
252 particular low muscle mass, are included in modern definitions of cachexia.^{2,69} Cachexia
253 has a complex pathophysiology including excess catabolism and inflammation, endocrine
254 and neurological changes, that are different to those described in sarcopenia.⁷⁰ The role of
255 inflammation and cytokines seems to be more relevant in cachexia than in sarcopenia.⁷¹
256 International consensus definitions of cachexia may guide clinical judgment.^{2,69}

257
258 Frailty has been defined as a state of vulnerability to poor resolution of homeostasis
259 after a stressor event as a consequence of cumulative decline in many physiological
260 systems.⁷² Physical frailty is a subset of frailty characterised by the frailty phenotype
261 involving unintentional weight loss, self-reported exhaustion, weakness (low grip
262 strength), slow walking speed and low physical activity.⁷³ Physical frailty and sarcopenia
263 are therefore closely related and sarcopenia has been described as the ‘biological
264 substrate of physical frailty’ as summarised in figure 3.^{74–79}

265

266 **Disease burden**

267

268 The disease burden from sarcopenia arises because it is relatively common and associated
269 with adverse effects on current as well as future health. Estimates of disease frequency
270 are becoming more precise with evolution of the definition. A recent systematic review
271 explored the effect of definition on the prevalence of sarcopenia in older community
272 dwelling populations. This highlighted that the original 2010 EWGSOP definition resulted
273 in one of the lowest pooled prevalence estimates (12.9%, 95% confidence interval: 9.9–

274 15.5%) whereas the highest estimates (40.4%, 95% confidence interval: 19.5–61.2%) came
275 from less current definitions that only used assessment of muscle mass.³⁵ Muscle mass cut
276 points have a stronger influence on prevalence estimates than muscle function cut
277 points.⁸⁰ Prevalence also depends on the setting, being more frequent in hospitalised
278 patients, post-acute care settings, or in care homes than in the community.^{34,81}

279

280 Studies determining the incidence of sarcopenia are relatively sparse although there is
281 emerging evidence that it rises with age. There have been reports of an incidence of 1.6 %
282 in European men and women aged 40-79 years using the EWGSOP definition;⁸² 3.4% in a
283 group of Chinese men and women mean age 72 years using the similar Asian Working
284 Group definition;⁸³ and 3.6% in English men and women aged 85 years using the EWGSOP
285 definition.⁸⁴

286

287 The link between low muscle strength and adverse health outcomes is long established. A
288 study dating back to the 1980s demonstrated a link between low grip strength before hip
289 fracture surgery and poor postoperative outcomes.⁸⁵ Two more recent linked systematic
290 reviews have identified consistent relationships between low grip strength and increased
291 mortality as well as some evidence for links between low grip strength and increased
292 morbidity across the four domains of fracture, cognitive decline, cardiovascular disease
293 and hospitalisation/ institutionalization.^{86,87} It has also been convincingly linked to frailty.⁸⁸

294

295 This literature now includes an increasing number of studies demonstrating the
296 relationship between newer consensus definitions of sarcopenia and adverse health
297 consequences including falls, functional decline, frailty, impaired quality of life, increased
298 healthcare costs and mortality. A recent systematic review and meta-analysis showed
299 consistent associations between EWGSOP defined sarcopenia and mortality with a pooled
300 OR of 3.59 (95% CI 2.96–4.27) and a larger effect size in men and women aged 79 years
301 and over.⁸⁹ The review also showed that sarcopenia was associated with functional
302 decline, a higher rate of falls and hospitalisation although the evidence for a link to
303 fractures and length of stay was less consistent. Findings from a recent systematic review

304 confirm that quality of life across domains is impaired in sarcopenia whether measured
305 using generic self-reported tools or disease-specific questionnaires.⁹⁰ Unsurprisingly, in
306 view of the link between sarcopenia and a range of adverse health outcomes, it has also
307 been associated with increased healthcare costs,⁹¹ however the full extent of this has yet
308 to be elucidated.⁹²

309

310 Pathophysiology

311 Ageing disturbs the homeostasis of skeletal muscle which requires balance between
312 hypertrophy and regeneration through complex and not yet fully understood mechanisms
313 and pathways (figure 4). Ageing appears to result in an imbalance between muscle protein
314 anabolic and catabolic pathways leading to overall loss of skeletal muscle. Cellular changes
315 in sarcopenic muscle include a reduction in the size and number of myofibres, particularly
316 affecting type II fibres. This is partly due to transition of muscle fibres from type II to type I
317 with age, together with intra- and intermuscular fat infiltration (myosteatorsis) and a
318 decreased number of type II fibre satellite cells.^{50,93–95} Recent findings suggest that
319 pathogenic inter-relationships between adipose tissue and muscle are important in
320 sarcopenia.²⁶ Mitochondrial integrity in myocytes is also altered.⁹⁶ Molecular changes in
321 sarcopenic muscle involve alterations to the complex signaling pathway that includes
322 insulin-like growth factor 1 (IGF-1), mTOR and FoxO transcription factors as well as other
323 interlinked pathways.⁹⁷ Neurologic signaling and control mechanisms also have an
324 important role in muscle function.^{8,98} Deregulation in skeletal muscle gene expression,
325 probably mediated through epigenetic changes and modulated via microRNAs, has also
326 been described.⁹⁹

327

328 Recent research suggests that there is cross-talk between muscle and bone through
329 endocrine factors such as myostatin, irisin, osteocalcin, and many others, although the
330 relevance of this communication in the pathogenesis of sarcopenia has not been well
331 established.¹⁰⁰ There is also preliminary evidence for an association between the age-
332 related decline in production of apelin, an endogenous peptide induced by muscle

333 contraction, and decreased muscle function through different pathways.¹⁰¹ A detailed
334 review of the pathophysiology of sarcopenia is beyond the scope of this seminar but a
335 number of comprehensive reviews on this rapidly developing area are available.^{102,103}

336

337 **Treatment: non-pharmacological approaches**

338 Understanding the pathophysiology of sarcopenia is key to developing effective new
339 interventions and translational research in this area is growing rapidly. Recently, evidence-
340 based clinical practice guidelines have been published that provide strong
341 recommendations for physical activity as the cornerstone for treatment of sarcopenia.³³
342 There is compelling evidence for the benefits of resistance exercise in improving skeletal
343 muscle strength¹⁰⁴ and mass¹⁰⁵ individually, and growing evidence for its benefit in
344 sarcopenia defined as a combination of both strength and mass. Two recent systematic
345 reviews of exercise interventions in older adults with sarcopenia showed evidence of
346 significantly improved strength, mass and balance although the number of trials
347 specifically recruiting participants with sarcopenia was small, and the training effect
348 inconsistent due to heterogeneity in the mode, duration and intensity of exercise
349 employed.^{106,107} A more recent systematic review confirmed the effect of exercise in
350 sarcopenic obesity.¹⁰⁸ There is an important gap in the evidence needed to recommend a
351 specific exercise programme for sarcopenia and wide variation in clinical practice is the
352 current norm.

353

354 The evidence on nutrition interventions is less consistent.³³ A number of studies have
355 investigated the effects of exercise combined with nutrition to treat sarcopenia and a
356 systematic review of non-pharmacological interventions for well characterised sarcopenia
357 and physical frailty in older patients confirmed the effectiveness of exercise with or
358 without nutritional supplementation to improve physical performance although the
359 overall quality of the evidence was low.¹⁰⁷ Other reviews report variable findings although
360 did not only include participants with sarcopenia.^{109,110} Large scale trials are now
361 underway to specifically address this area such as the European SPRINTT trial.^{111,112}

362

363 The place of nutritional interventions without exercise for the treatment of sarcopenia is
364 much less clear although overall there appears to be evidence for ‘healthier’ dietary
365 patterns that are adequate in quality in terms of intakes of protein, vitamin D, antioxidant
366 nutrients and long-chain polyunsaturated fatty acids.¹¹³ However, many of the studies are
367 observational in nature and high quality trials are less common. There remains debate
368 about what constitutes an adequate intake of key nutrients such as protein as well as how
369 they should be taken in terms of timing and distribution throughout the day.¹¹⁴ Although
370 most recent consensus documents recommend increasing protein intake in older
371 people,^{115,116} the only intervention trial comparing normal versus increased protein intake
372 in mobility impaired (albeit not sarcopenic) participants was negative.¹¹⁷

373

374 High protein oral nutritional supplements may be more effective on some outcomes in the
375 more specific context of sarcopenia with malnutrition.^{54,118} There is also considerable
376 interest in the value of individual nutrients such as the essential amino acid leucine and its
377 metabolite beta-hydroxy beta-methylbutyric acid (HMB) which have shown some effects
378 in improving muscle mass and function,^{119,120} as has fish oil-derived n-3 (omega-3)
379 polyunsaturated fatty acid (PUFA) therapy which increased muscle mass and function in
380 one study of healthy older adults.¹²¹

381

382 **Treatment: pharmacological approaches**

383 At this time, there are no drugs approved for sarcopenia. A recent umbrella review has
384 brought together systematic reviews and meta-analyses focusing on pharmacological
385 interventions to improve muscle mass, strength and physical performance in older
386 people.¹²² Interestingly very few studies identified baseline sarcopenia status, so the
387 findings could only be generalised to older people rather than to people with sarcopenia.
388 The umbrella review identified seven systematic reviews or meta-analyses involving ten
389 pharmacological interventions: vitamin D, combined oestrogen-progesterone,
390 dehydroepiandrosterone (DHEA), growth hormone, growth hormone-releasing hormone,

391 combined testosterone-growth hormone, insulin-like growth factor-1, pioglitazone,
392 testosterone, and angiotensin-converting enzyme inhibitors. There appeared to be a
393 beneficial effect of vitamin D on strength and physical performance in women with low
394 baseline levels (<25 nmol/l). An effect of testosterone on muscle mass more than strength
395 or function, in men with low serum levels (<200–300 ng/dl), was also reported although
396 findings from the high profile Testosterone Trials suggest limited benefit of testosterone
397 for physical function, particularly in those with a slow walking speed, and caution
398 regarding the cardiovascular side effect profile.¹²³

399

400 There is major research activity focused on developing new drugs for sarcopenia although
401 progress has not been straightforward. Initial interest in selective androgen receptor
402 modulators (SARMs) from mainly small phase I and II trials^{124,125} has not been followed by
403 convincing results from larger studies. There is early evidence that myostatin inhibition
404 may prove beneficial, consistent with recognition that myostatin acts as a brake on muscle
405 differentiation, hypertrophy and protein synthesis. Results to date have not always been
406 consistent but positive findings include those from a phase II proof of concept trial that
407 reported that a myostatin antibody was associated with increased muscle mass and
408 improvement in some measures of physical performance in older, weak patients with a
409 history of falling (but not diagnosed sarcopenia).¹²⁶ Another phase II randomised
410 controlled proof of concept study of bimagrumab for sarcopenia found an increase in
411 thigh muscle volume and increased gait speed in those slow at baseline.¹²⁷

412

413 **Assessing the effect of interventions in research and clinical practice**

414 Assessment of the effect of interventions in research and clinical practice is required to
415 enable them to be targeted appropriately. Unfortunately, there is still no clear consensus
416 on what intermediate measures should be used either in research settings¹²⁸ or in clinical
417 guidelines.³³ In the absence of an established regulatory pathway for the development of
418 interventions to date, the European Medicines Agency is using the SPRINTT trial to identify
419 standard outcome measures that may be used in future drug research.¹¹¹

420

421 At present, there is a case for using the same muscle strength and physical performance
422 measures as utilised at the initial assessment. Improvements in the short physical
423 performance battery (SPPB) of 1 point or gait speed over 0.1 m/s are recognised as of
424 clinical relevance.¹²⁹ In contrast minimum significant change has not been well defined for
425 grip strength. Improvement in activities of daily living or in the number of falls may be
426 even more relevant but not so straightforward to determine. However, there is increasing
427 interest in developing Patient Reported Outcome Measures (PROMs) for sarcopenia.¹³⁰
428 Additionally, the SarQoL questionnaire, a sarcopenia-specific quality of life measure, can
429 be used to understand the impact of the intervention on the global status of the
430 patient.¹³¹

431

432 **Looking ahead**

433 The prevention of sarcopenia is a major area of research activity and observational
434 epidemiological studies have identified important risk factors such as older age and low
435 socioeconomic status as well as modifiable influences including low physical activity and
436 poor diet,¹³² although the direct effects of alcohol consumption and cigarette smoking are
437 less clear.¹³³ The focus of preventive strategies to date has been to modify these risk
438 factors in later life, particularly to increase levels of physical activity,^{134,135} but more
439 recently there has been consideration of the role of influences operating much earlier in
440 the life course.

441

442 Birth cohort studies have proved invaluable in this area and findings from the
443 Hertfordshire Ageing Study¹³⁶ and Hertfordshire Cohort Study¹³⁷ provided the first
444 evidence that small size at birth was linked with lower grip strength 60 or 70 years later,
445 with confirmation in a subsequent systematic review.¹³⁸ These findings have been
446 explained by a life course approach to sarcopenia where it is proposed that muscle mass
447 and function in older people depend not only on the rate of decline in later life and the

448 influences operating then, but also on the peak reached in young adulthood which is in
449 turn determined by factors operating earlier in life.¹³⁹

450

451 Normative data for grip strength across the life course from UK studies (figure 5)¹⁸ and
452 from global grip strength data¹⁴⁰ are now available. They not only confirm the concept
453 underlying a life course approach to sarcopenia - that skeletal muscle strength rises to a
454 peak in early adulthood, then plateaus, before starting to decline - but have also provided
455 a data driven approach to deriving cut points for low grip strength. For example, it has
456 been proposed that a grip strength of 2.5 standard deviations or more below the young
457 normal mean indicates low grip strength. This approach is analogous to that used to
458 define osteoporosis in terms of low bone mineral density relative to a young normal
459 mean.

460

461 The importance of mid-life influences is also becoming increasingly apparent. For
462 example, a study using data from the British National Survey of Health and Development
463 (the 1946 birth cohort) has shown evidence of cumulative benefits of increased life time
464 physical activity (LTPA) across mid-life on grip strength at the age of 60–64 years in men
465 and women. This demonstrated that those in the upper third of LTPA score had a mean
466 grip strength 2.11 kg (95% CI: 0.88-3.35) greater than those in the lower third after
467 adjustment.¹⁴¹

468

469 The life course approach to prevention is an important area going forward because it
470 extends the opportunity for intervention from late old age to young old age, mid-life and
471 before, when lifestyle changes such as regular physical activity and optimising diet may be
472 easier to put into place.¹⁴² This also has the potential to enable public health messages to
473 younger people encouraging lifestyle modification such as increasing levels of physical
474 activity, to refer not only to immediate but also to lifelong benefits for skeletal muscle
475 health. However the evidence to date supporting this approach is largely observational
476 and trials of life course interventions are needed using efficient methodologies such as

477 trials within birth cohorts.¹⁴³ Linking a life course approach to understanding the
478 underlying cellular and molecular mechanisms of sarcopenia has the potential to become
479 a particularly powerful way to develop targeted treatment and preventive strategies.¹⁴⁴

480

481 **Conclusions**

482 Sarcopenia is a progressive and generalised skeletal muscle disorder involving the
483 accelerated loss of muscle mass and function that is associated with adverse health
484 outcomes. It is increasingly recognised not only as an age-related problem, but one
485 associated with a range of long-term conditions. Several recent new consensus definitions
486 have advanced the field in the last decade. Experimental medicine is focusing on
487 translating understanding of pathophysiology into advances in the diagnosis, treatment
488 and prevention of sarcopenia and a life course approach may provide a useful framework.
489 Important research areas to be addressed include increasing understanding of underlying
490 cellular and molecular mechanisms, the development of biomarkers, improved accuracy
491 of diagnostic tests, and the design of effective strategies for sarcopenia across the life
492 course.

493 **Search strategy and selection criteria**

494 We developed a structured search strategy in Pubmed for publications in English using the
495 search term “sarcopenia” in combination with one of the following keywords: “definition”,
496 “screening”, “diagnosis”, “muscle mass”, “strength”, “frailty”, “malnutrition”, “cachexia”,
497 “outcomes”, “disability”, “mortality”, “pathophysiology”, “life course”, “treatment”, and
498 “exercise”. We focused on clinical trials, meta-analysis and review articles. Only articles
499 published after 2010 (when most definitions of sarcopenia were published) were
500 searched, but we did not exclude major contributions published before. We referenced
501 articles judged to be relevant to this Seminar. When many similar articles were available,
502 the most recent were used. Additional papers were identified from personal libraries and
503 the reference lists of retrieved articles. Review articles are used to provide useful details
504 and references on specific areas that cannot be covered in depth in this Seminar.

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512

513 **Contributors**

514 Both authors planned the manuscript, did the literature search, contributed to the tables
515 and figures, and wrote, edited, and approved the manuscript.

516

517 **Conflicts of interest**

518 ACJ has received speaker fees from Abbott Nutrition, Fresenius, Nestlé, Nutricia, Sanofi-
519 Aventis; is a member of advisory boards for Abbott Nutrition, Nestlé and Pfizer; and has
520 worked on research projects with Abbott Nutrition and Nutricia.

521

522 AAS received speaker fees from Abbott Nutrition 2011 and 2012.

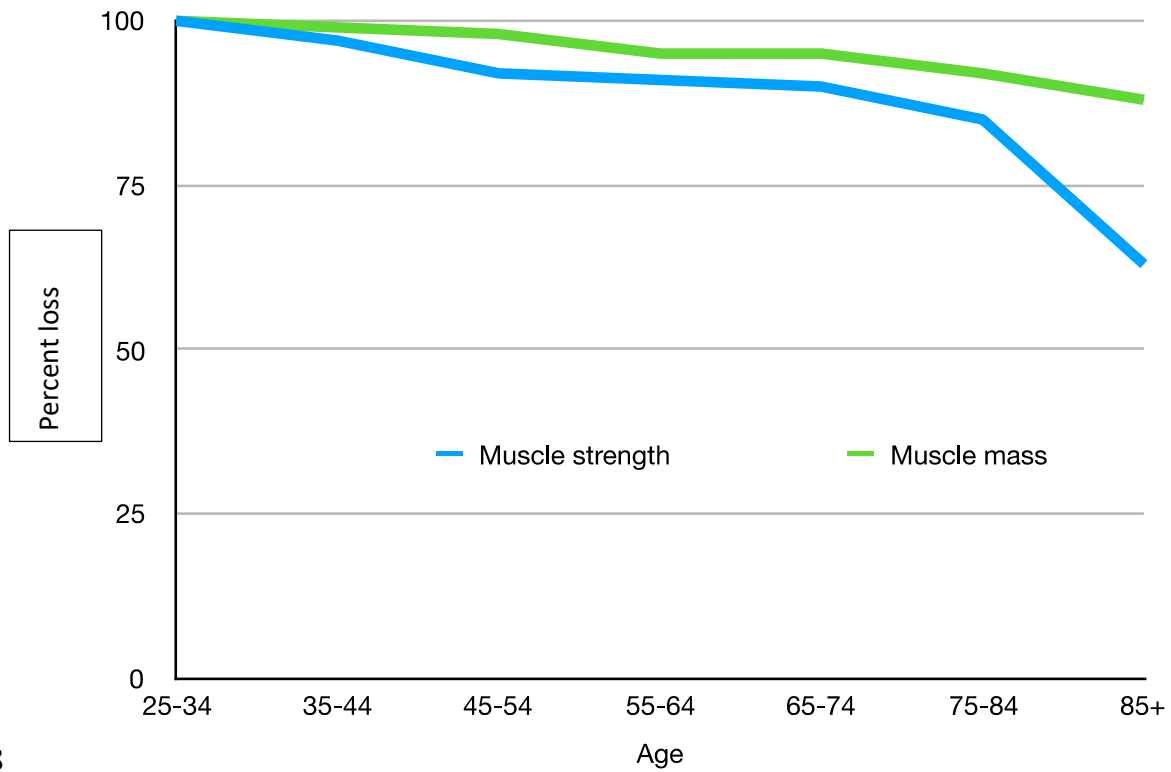
523

524 **Figures:**

525

526 Figure 1. Percent loss of muscle mass and muscle strength with age in men.

527

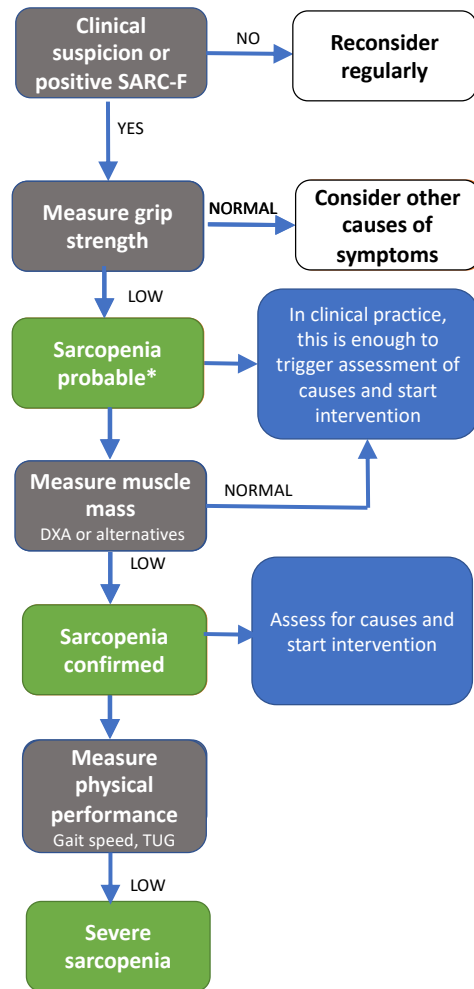


528

529 Adapted from Ferrucci L et al.¹⁷

530

531 Figure 2. A simple algorithm to diagnose sarcopenia in clinical practice



*Always consider other reasons for low muscle strength (e.g., depression, stroke, balance disorders, peripheral vascular disorders)

532

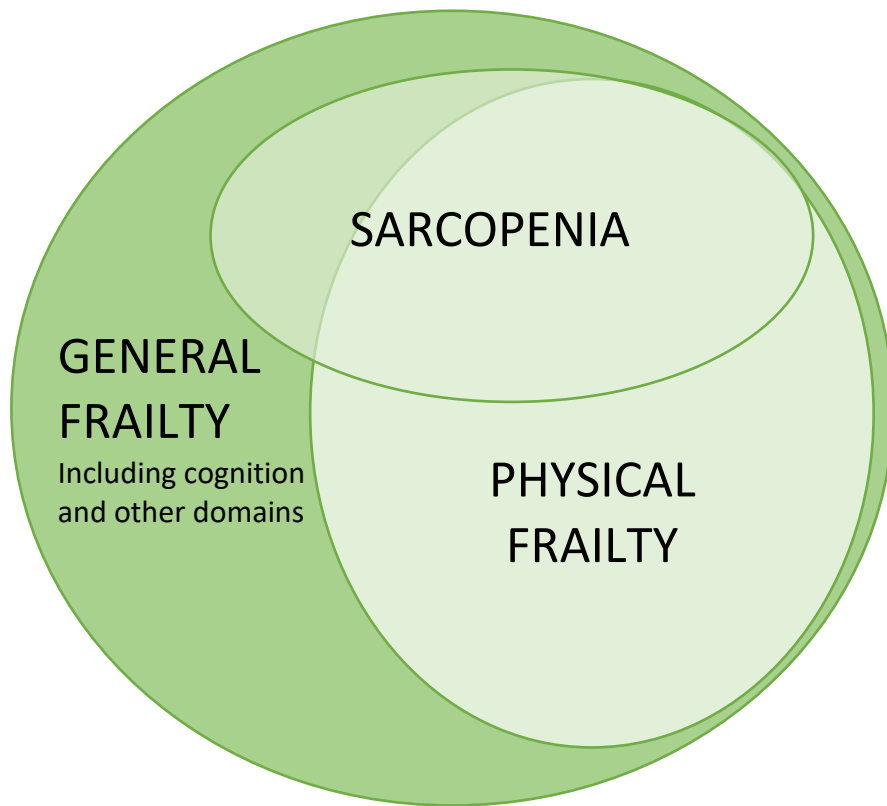
533 Adapted from EWGSOP2.¹⁵

534

535 Figure 3. Relationship between sarcopenia, physical frailty and general frailty

536

537



538 Figure 4. The multifactorial aetiology of sarcopenia

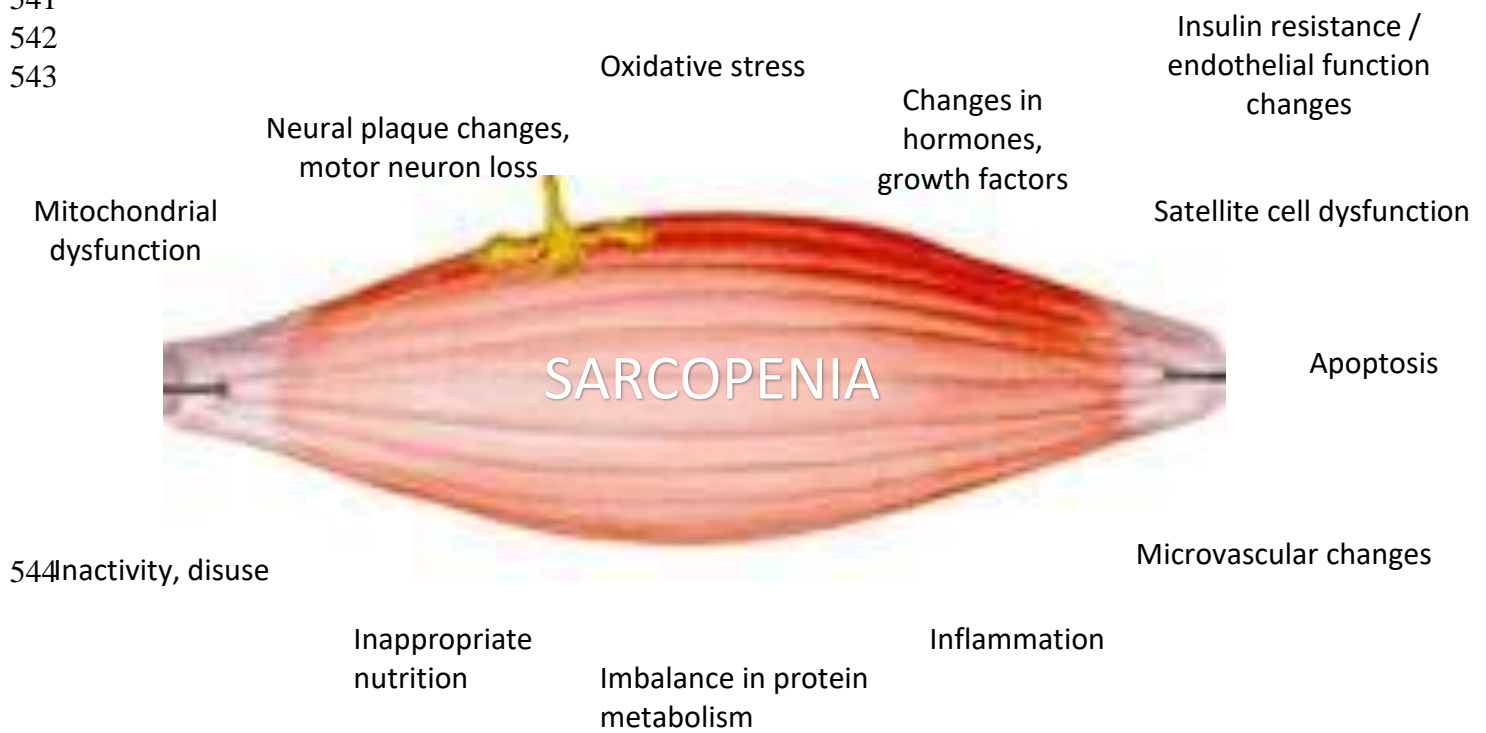
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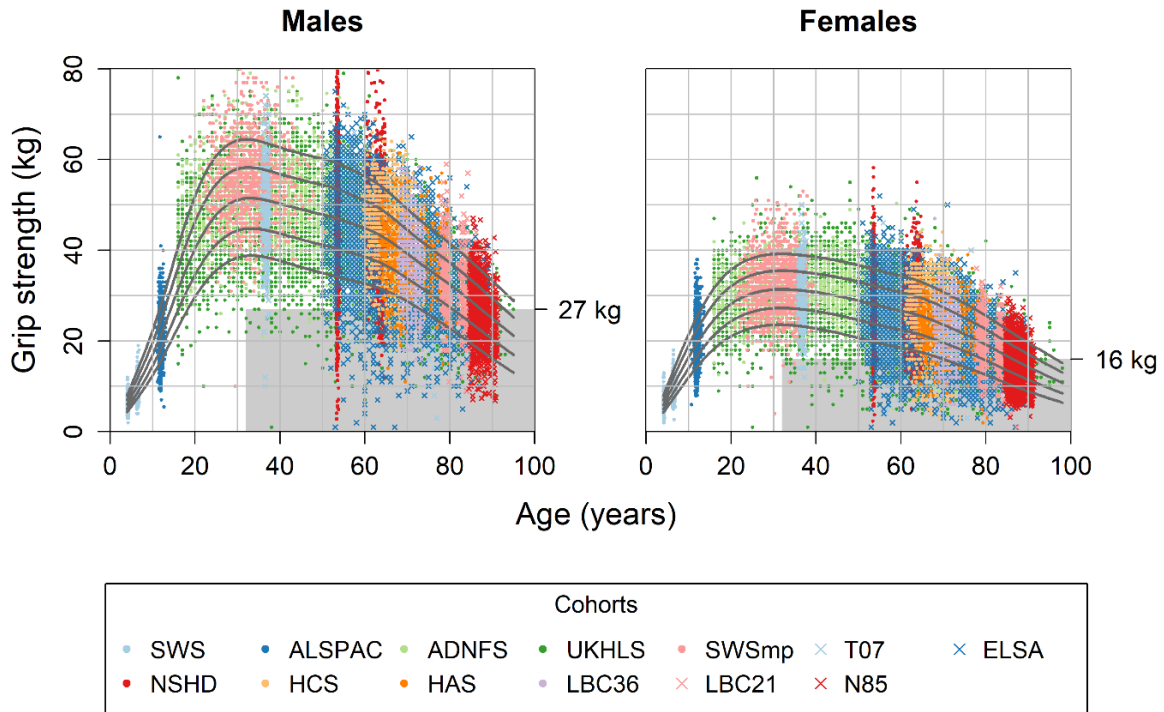
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545 Figure 5. UK Normative data for grip strength across the life course
 546
 547



548
 549 Centiles shown are 10th, 25th, 50th, 75th and 90th. Cut-points based on T-score of ≤ -2.5
 550 are shown for males and females (≤ 27 and 16 kg, respectively).
 551

552 ADNFS Allied Dunbar National Fitness Survey, ALSPAC Avon Longitudinal Study of Parents
 553 and Children, ELSA English Longitudinal Study of Ageing, HAS Hertfordshire Ageing Study,
 554 HCS Hertfordshire Cohort Study, LBC1921 and LBC1936 Lothian Birth Cohorts of 1921 and
 555 1936, N85 Newcastle 85+ Study, NSHD Medical Research Council National Survey of
 556 Health and Development, SWS Southampton Women’s Survey, SWSmp mothers and their
 557 partners from the SWS, T-07 West of Scotland Twenty-07 Study, UKHLS Understanding
 558 Society: the UK Household Panel Study.
 559

560 Adapted from Dodds R et al.¹¹

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