

1 Informed conservation management of rare tree species needs
2 knowledge of species composition, their genetic characteristics and
3 ecological niche

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25 **Abstract**

26 Woodland nature reserves must be scientifically assessed so that subsequent management leads to
27 optimal conservation of biodiversity. This entails knowledge of the species composition, the genetics
28 of the local populations and their ecology. Here we assess *Tilia* species in the Bavarian Forest
29 National Park (BFNP), a large mixed coniferous and deciduous forest in South-Eastern Germany. *Tilia*
30 occurs here at low density, as in many other mixed forests in Central and Northern Europe.
31 Therefore, results are not only relevant to BFNP but also to other areas.

32 Exhaustive sampling resulted in the collection of 113 mature trees that were genotyped
33 using 20 microsatellite markers, derived from both *T. cordata* and *T. platyphyllos*. For the first time,
34 size and aspect of trees, and their community association were contrasted between the species.
35 Genotyping confirmed that *T. platyphyllos*, *T. cordata* and their hybrid (*T. x europaea*) were present
36 in the BFNP and both species deserve conservation. *T. platyphyllos* has a higher genetic diversity for
37 both sets of markers than *T. cordata*, confirming earlier work. Both species showed genetic diversity
38 comparable to other populations in Central Europe, which is likely to be sufficient for the
39 maintenance of the species in the short term. However, increasing the number of trees, ensuring
40 local sources are used, and gene flow from surrounding forests over the next decennia may be
41 crucial for long-term survival.

42 Further, within the *T. platyphyllos* group there was a set of 11 trees that were distinct from
43 the others: they had a lower genetic diversity and were shorter. We hypothesise that these were
44 planted and should not be used for propagation and augmentation. Most saplings analysed
45 appeared to derive from asexual propagation (36 out of 41), although a few (five out of 41) were
46 novel genotypes. This means that, currently, there is some, but rather limited, regeneration.

47 *T. cordata* was found at a lower altitude and less steep terrain than *T. platyphyllos* and the
48 hybrid. The hybrid was taller than the two species, while the diameter at breast height was smallest
49 in *T. cordata*. *T. cordata* shows a preference for mixed and coniferous forests, while *T. platyphyllos*
50 occurs mostly in deciduous forests.

51 Our results indicate that biodiversity at the species and genetic level as well as species'
52 ecology have to be considered in order to guide informed conservation management. These results
53 form the basis to recommend conservation management improving the long-term viability of *Tilia* in
54 the BFNP and other mixed forests.

55

56 Keywords: Forestry, *Tilia*, genetic diversity, habitat preference, protected areas, hybridisation,
57 silviculture

58

59

60 1. Introduction

61 A broad range of biological and ecological knowledge is needed to understand the
62 functioning and sustainability of forest ecosystem (Aerts and Honnay, 2011). This includes specific
63 knowledge of genetic diversity of forest trees, which aids conservation management at the species
64 and the within-species level and supports forest genetic monitoring (Fussi et al., 2016). Assessment
65 of population genetic diversity and genetic patterns help to determine difficult to distinguish species
66 and their hybrids and to assess neutral diversity of local stock, as compared to other populations.
67 These patterns can highlight whether separate genetic clades exist within a location, for example to
68 find or exclude trees for regeneration or augmentations. For this reason and to track traded timber
69 and wood products genetic markers are developed for many species (Finkeldey et al., 2010).
70 However, differentiation of forest tree populations is often weak and may, in some cases, limit
71 detection of within species genetic patterns (Finkeldey et al., 2010; Phuekvilai, 2014). Assessment of
72 ecological and adaptive diversity and suitability for augmentation cannot be directly determined
73 with neutral markers, instead quantitative genetic studies, e.g. QTL analyses, would be needed
74 (Holderegger et al., 2006).

75 Forests are among the most diverse ecosystems in the world and harbour a major part of
76 total biodiversity (e.g. FAO, 2015; Fady et al., 2016). Within the forest ecosystem trees are keystone
77 species, providing many ecosystem services and contribute to human wellbeing (Fady et al., 2016).
78 National Parks have been set up in various countries as a measure to protect forest from an
79 unprecedented forest loss and forest degradation worldwide (Curtis et al., 2018) due to
80 overharvesting, land use change and human population growth (Dudley, 2008). This protection may
81 require active management, while considering the natural species and within species diversity.

82 Under-researched and (currently) non-commercial tree taxa, such as *Tilia* species are lagging
83 behind in knowledge and detailed studies. The two most common and endemic *Tilia* species in North
84 West Europe are *T. cordata* (Mill.) (small leaved lime) and *T. platyphyllos* (Scopp.) (large leaved lime).
85 Where the two species co-occur, they can produce the hybrid called *T. x europaea* L. [*syn: T. vulgaris*

86 Hayne], also called common lime or in the past Dutch lime. This hybrid tree is the lime that is often
87 planted in parks and along streets (Wolff et al., 2019). *Tilia* are insect pollinated species, flowering
88 relatively late in the season after the leaves have fully expanded. In Central Europe this is late June
89 for *T. platyphyllos* and for *T. cordata* about 10 days later, early July (Pigott, 2012, 2020). They take at
90 least 20 years to flower and reproduce sexually, but in dense woodland this may be much later
91 (Pigott, 2012). The species are highly outcrossing, with inbreeding coefficients (F_{IS}) close to zero and
92 medium to high genetic diversity (Logan et al., 2015, 2019). In some regions, asexual reproduction
93 through root collar growth, epicormic shoots or rooting of branches that touch the ground (Pigott,
94 2012; Logan et al., 2018; Erichsen et al., 2019) is common. Generally, clones of the same genotype
95 are only found at short distances from each other (average 5m for *T. platyphyllos* and 22m for *T.*
96 *cordata*, Logan et al., 2019).

97 The current distribution of *T. cordata* ranges from Italy and Greece in the south to Finland in
98 the north and from Siberia in the east to the United Kingdom in the west. *T. platyphyllos* has a more
99 limited distribution, ranging from Italy and Greece in the south to Denmark in the north, and
100 Romania in the east to the United Kingdom in the west (Logan et al., 2019; www.euforgen.org). *Tilia*
101 is host for many species, such as birds, insects and lichen (Pigott, 2020). Tree stems become hollow
102 after about 200 – 300 years and form suitable nesting sites for e.g. birds and wild bees (Pigott, 2012)
103 and the hermit beetle (*Osmoderma eremita*), protected as high priority species by the European
104 Union Habitats Directive.

105 *Tilia* has played important roles in mixed deciduous forests for a long time. After the last Ice
106 Age it was, along with *Quercus*, the most abundant tree in Western Europe (Huntley and Birks, 1983;
107 Pigott, 2012). The Bavarian Forest National Park (BFNP) is in the central range of both *Tilia* species.
108 Pollen records show that *Tilia* was prominent in the BFNP region from about 10.000 years ago until
109 about 4000 years ago, when its frequency declined in the region (Huntley and Birks, 1983; Van der
110 Knaap et al., 2019). Van der Knaap et al. (2019) were able to distinguish the *Tilia* species in the BFNP
111 pollen record: only 7% of pollen was *T. platyphyllos*, while the majority (93%) was *T. cordata*.

112 However, only *T. platyphyllos* has been reported as currently present in the BFNP (Walentowski et
113 al., 2004; Nationalparkverwaltung BW 2008).

114 Lime trees have lost their wide abundance since their maximum after the Ice Age due to
115 climatic change, changed landscape use by humans and forestry practices (Huntley and Birks, 1983;
116 Pigott, 2012). *Tilia* is shade tolerant and can survive for a long time in dense forests as shrubs
117 (Belostokov, 1980 as cited in Radoglou et al., 2009). However, dense forests also hamper sexual
118 reproduction as trees generally only flower when their crowns reach sunlight. On the one hand
119 grazers limit the survival of seedlings and saplings (Pigott, 2020), but on the other side they can
120 create the space for saplings to become large trees that can flower. Therefore, we do not know what
121 effect past or current grazing has on *Tilia* abundance.

122 Cultural and local uses of *Tilia*, as food, fodder, and for rope making and woodcarving, are
123 well known, especially in Central and Eastern Europe. *Tilia* has rarely been planted in woodland and
124 forests (Pigott, 2012; Coello et al., 2013; Hemery et al., 2008). Therefore, those currently present in
125 woodlands across Europe (as opposed to gardens and streets) are considered endemic. In modern
126 silviculture *Tilia* is added as a minority species due to its high environmental and social values
127 (Hemery et al., 2010; Coello et al., 2013), and also because it is shade and drought tolerant (De
128 Jaegere et al, 2016), and its leaf litter improves the soil (Coello et al., 2013; Hommel and de Waal,
129 2003; Maes and Van Vuure, 1989).

130 Little is known about the ecology of vulnerable or rare forest tree species, such as *Tilia*, in
131 their natural environment (Myking, 2002). Radoglou et al. (2009) report on the silviculture of three
132 European *Tilia* species, but do not comment on comparisons of the species in their natural
133 environment. Coello et al. (2013) consider that the two species have similar site requirements, with
134 *T. cordata* being better at withstanding stagnant water. There are no studies, as far as we know, that
135 statistically compare *T. cordata* and *T. platyphyllos* in their natural environment for their size, their
136 aspect and communities. This information would aid conservation of genetic resources in the
137 species, in the BFNP as well as in many similar woodlands in Central Europe (Myking, 2002).

138

139 It is important for informed conservation management to know what species of *Tilia* trees are
140 represented in a given area, whether they have genetic diversity that is normal for the species and
141 whether they are most likely endemic. We can build on earlier studies of *Tilia* throughout Europe.
142 Logan et al (2015) tested microsatellite markers in mixed woodlands in Britain and showed that the
143 two species are distinctly different and possess some species-specific alleles. They showed that the
144 hybrid is also distinct from the two species. Using these markers Logan et al. (2019) described
145 genetic diversity, clonal reproduction and effective population size in a large number of range-edge
146 as well as Central European populations. To understand genetic diversity and species composition in
147 the BFNP we will use the same markers developed for *T. platyphyllos* (Phuekvilai and Wolff, 2013)
148 and, in addition, markers developed for *T. cordata* (Mylet, 2016).

149 The main questions from a conservation management perspective are 1) Which *Tilia* species
150 occur in the BFNP and are there hybrids?; 2) Is the genetic diversity in the species as expected and
151 are there genetic structures that have to be considered; 3) are there ecological considerations that
152 can aid the augmentation of the *Tilia* species. We aim to answer these questions through genotypic
153 and ecological analyses of all *Tilia* trees in the national park, revealing their genetic diversity,
154 relatedness, effective population size and reproduction.

155

156 **2. Materials and methods**

157 *2.1 Study area*

158 The Bavarian Forest National Park (BFNP) harbours a large diversity of endemic trees, with
159 continuous forest cover since the last Ice Age (Van der Knaap et al., 2019). During times of
160 unregulated forestry, trees were used as firewood for glass production and for the generation of
161 potassium. Since the first half of the 17th century the Royal Bavarian Forest Administration managed
162 the forests in a regulated manner. Also, livestock grazing was common until after WWII (Heurich and
163 Englmaier, 2010). The Bavarian Forest National Park (24,250 ha) is now a strictly protected area in

164 southeast Germany, adjacent to the border with the Czech Republic (48.9595 °N, 13.3949 °E). It
165 covers an area ranging in elevation from 600m to 1,450m above sea level (a.s.l.). A buffer zone,
166 situated along the national park border, aims to conserve and protect areas adjacent to the national
167 park from potential damages caused by the non-intervention strategy implemented within the core
168 zone of the national park. No management takes place within the core zone of the park, which
169 comprises about 72% of the total area.

170 Norway spruce (*Picea abies*), European beech (*Fagus sylvatica*) and Silver fir (*Abies alba*) are
171 the main tree species in the BFNP, mixed with other tree species, such as ash (*Fraxinus excelsior*),
172 sycamore maple (*Acer pseudoplatanus*) and lime (or linden, *Tilia*) depending on the specific location
173 and microclimate (Van der Knaap et al., 2019). Across the elevation gradient, mean annual
174 temperatures vary from 3°C to 6.5°C, and mean annual precipitation ranges from 830mm to
175 2,230mm, much of which falls as snow. Snow cover persists for 5–8 months each year depending on
176 elevation (Heurich et al., 2010). This elevation gradient maintains a variety of forest types, which can
177 be split into three broad categories. Above 1,100m a.s.l., sub-alpine Norway spruce forests
178 dominate, with rowan as a minor component. At 600–1,100m a.s.l., mixed forests of Norway spruce,
179 silver fir (*Abies alba*) and European beech (*Fagus sylvatica*) dominate with interspersed sycamore
180 (*Acer pseudoplatanus* and *A. platanooides*) and lime (*Tilia cordata* and *T. platyphyllos*). In cold and
181 wet depressions at the bottom of valleys, Norway spruce (*P. abies*), rowan (or Mountain ash *Sorbus*
182 *aucuparia*) and birch sp. (*Betula pendula* and *Betula pubescens*) dominate (Cailleret et al., 2014).

183

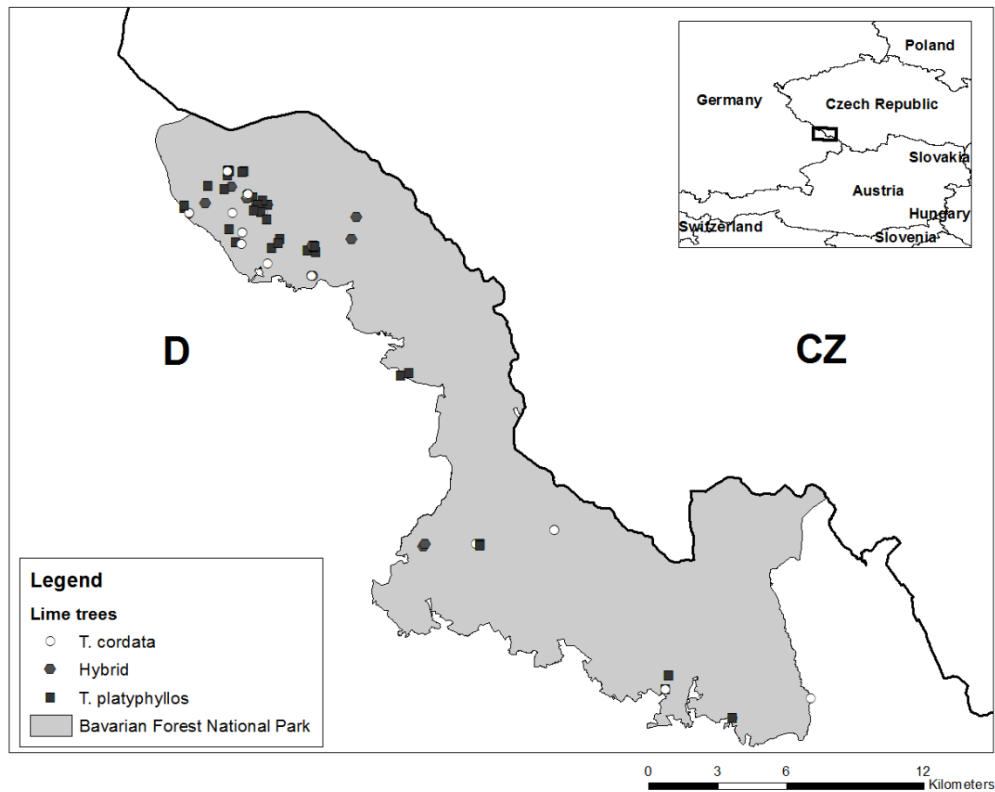
184 2.2 Sampling and ecological measurements.

185 *Tilia* trees within the Bavarian Forest were exhaustively sampled (Fig. 1). The main source of
186 information was the Natura 2000 survey, which took place in 2004 and 2005 when all habitat types
187 were mapped throughout the park (Nationalparkverwaltung BW, 2008). During this process, all *Tilia*
188 trees were mapped. In addition, all existing databases were searched, and the local forest managers
189 and rangers asked for known *Tilia* trees. We also searched for regeneration one tree length around

190 each tree. This survey resulted in 113 adult trees distributed throughout the park. From each tree,
191 we sampled two leaves with the help of a slingshot (similar to the method used in Ali et al., 2016)
192 and then leaves were dried in paper bags.

193 Every tree sampled received a unique tree number. Its location was represented by X- and Y-
194 coordinates (GK system) using a Leica Zeno 5 GPS. Height of the trees was measured using a Haglöf
195 Vertex clinometer (Haglöf Sweden AB, Långsele, Sweden) while the diameter at breast height (DBH)
196 was measured with a measuring tape. The wood volume was a calculated value V , calculated as
197 $V=G*H*f$, with G being basal area and H being height. A diametral quotient (f) is not known for *Tilia*.
198 Therefore, we used the f value for beech, namely $f = 0.49$ (Kennel, 1969).

199 The altitude was expressed in meters above sea level (a.s.l.), while slope was expressed in
200 degrees. These were obtained from the survey administration of Bavaria. Vitality was estimated in
201 situ using the system of Roloff (1985). The habitat type was determined following Elling et al. (1987)
202 and the Potential Natural Vegetation (PNV) following Fisher et al. (2013), using the GIS database of
203 the BFNP administration. The PNV is the community of forest plant species you would expect
204 without human interference at that site (Tüxen, 1956).



205

206 **Fig 1.** Location of sampled *Tilia* trees in the Bavarian Forest National Park, and the location of the
 207 BFNP in Europe. Symbols indicate the *Tilia* species, confirmed by genotyping, with open circles
 208 indicating *T. cordata*, filled hexagons are the hybrid *T. x europaea* and the filled squares are *T.*
 209 *platyphyllos*.

210

211 2.3 DNA extraction and microsatellite genotyping

212 Leaves of 113 adult trees and 41 saplings were dried directly after sampling and then stored at -20°C.

213 Genomic DNA extraction was performed using the CTAB method (Morgan-Richards and Wolff,

214 1999). A Polymerase Chain Reaction (PCR) was performed as a multiplex procedure for 20 nuclear

215 microsatellite regions following Phuekvilai and Wolff (2013). Thirteen of those were originally

216 derived from *T. platyphyllos* genomic DNA (Tc6, Tc937, Tc920, Tc8, Tc943, Tc4, Tc927, Tc915, Tc963,

217 Tc11, Tc5, Tc951 and Tc7, Phuekvilai and Wolff, 2013) and seven markers were developed from *T.*

218 *cordata* (tc1-42, tc2-69, tc2-16, tc3-57, tc1-19, tc3-74 and tc2-86, Mylett, 2016). Microsatellites were

219 genotyped using an ABI 3130XL Genetic Analyser, visualised using Genemapper (Applied Biosystems)
220 and binned and scored manually. Every run a small number of samples were repeated and scored
221 identical across runs. There were no missing data.

222

223 2.4 Genetic data analyses

224 Deviation from Hardy-Weinberg equilibrium was tested in *T. cordata* and *T. platyphyllos* separately,
225 using Genalex 6.5 (Peakall and Smouse, 2012), with the notion that with small sample sizes and small
226 expected values in some genotypic classes the test is not reliable (Hedrick, 2005). Tests with and
227 without loci that differed substantially for H_o and H_e (Tc11, tc1-42 and tc2-69) and loci with a large
228 number of alleles (>17, namely, Tc915, Tc963 and Tc927) did not yield different results after
229 analyses, so all 20 loci were retained. Further, Genalex 6.5 was used to calculate various measures of
230 genetic diversity (N_a , the number of alleles, N_e , effective number of alleles, H_o , observed
231 heterozygosity, H_e , expected heterozygosity and uH_e , unbiased expected heterozygosity). Genalex
232 was also used to calculate genetic differentiation between groups of samples, with F_{ST} , based on
233 allele frequencies, G''_{ST} , which is corrected for small population size and $Dest$ (Jost, 2008; Meirmans
234 and Hedrick, 2011). FSTAT 2.9.4 (Goudet, 1995) was used to calculate allelic richness (R_s) as the
235 number of alleles per sample group independent of sample size using a rarefaction index. FSTAT was
236 also used to calculate F_{IS} values (per sample group, per locus and for the total) and their significance
237 of being more or less than zero with 1600 randomisations.

238 Population genetic structure was analysed using two methods, a mathematical visualisation
239 and a population assignment, both without prior specification of population structure. Genetic
240 diversity within and between species was visualised with a Principal Coordinate Analysis (PCoA) in
241 Genalex 6.5, based on individual pairwise genetic distance. Samples were assigned to a set number
242 of clades using a Bayesian clustering method, namely STRUCTURE v2.3.4 (Pritchard *et al.*, 2000;
243 Falush *et al.*, 2003). The PCoA methods excels in visualising diversity, while the Bayesian clustering
244 highlights genetic cohesion. This last analysis is thought to be less sensitive with limited genetic

245 structure than the PCoA (Reeves and Richards, 2009), but enlightens more clearly distinct genetic
246 clusters, e.g. recent genetic mixing or hybridisation.

247 In the STRUCTURE analysis K was set to range from 1 to 6 when analysing all samples
248 together, and 1 to 5 when analysing within species structure. STRUCTURE parameters were kept at
249 the default settings, with a burn-in of 10^4 MCMC iterations, 10^5 runs and 20 replications of each run.
250 Model selection relied on the Evanno ΔK statistic (Evanno *et al.*, 2005) estimated in STRUCTURE
251 HARVESTER (Earl and vonHoldt, 2012). Assignment probabilities for the optimum K were averaged
252 across runs using CLUMPP v1.1.2 (Jakobsson and Rosenberg, 2007). To visualise the data we used
253 the program DISTRUCT v1.1 (Rosenberg, 2004). NEWHYBRIDS 1.1 was used to classify samples into
254 genealogical classes, using default settings, a burn-in of 150.000 repetitions and 500.000 MCMC
255 sweeps and no prior allele frequency information (Anderson and Thompson, 2002).

256 Genotypic richness was calculated as $R = (G-1)/(N-1)$, where N is the number of samples and
257 G the number of genotypes. The value will be '0' when stands consist of a single clone and '1' when
258 all sampled trees are separate genets (Dorken and Eckert, 2001). Unpaired t -tests to test for
259 differences in number of alleles, allelic richness, observed and expected heterozygosity and
260 ecological characters between groups within *T. platyphyllos* were performed in Minitab 17.

261

262 2.5 Relatedness of individuals and effective population size

263 Individuals that are related will share more alleles at microsatellite loci than randomly. Using the
264 genotype data a quantitative measure of relatedness was obtained using ML Relate (Kalinowski *et*
265 *al.*, 2006) and calculated as the average maximum likelihood estimate of relatedness for all pairs of
266 trees in the population (r , ML-Relate). This programme also presents, using simulations with 10,000
267 randomisations, whether pairs of individuals were most likely unrelated, half-sib, full sib or parent-
268 offspring. The number of pairs that have a first-degree relationship and those that have a second-
269 degree relationship were counted. Pairs have a first-degree relationship (50% related) if the most
270 likely relationship of the pair is full sib or parent-offspring. Pairs have a second-degree relationship

271 (25% related) if they are most likely half sibs. The majority of pairs have a relatedness that is
272 significantly less than 25% and are deemed unrelated. The number of first-degree related pairs as
273 well as the sum of the number of first- and second-degree related pairs were then expressed as a
274 proportion of all possible pairs.

275 To understand the viability of the current population the contemporary (or recent) effective
276 population size (N_e) was calculated using the molecular co-ancestry method of Nomura (2008) and
277 the Linkage Disequilibrium (LD) method as implemented in NeEstimator V2.1 (Do *et al*, 2014). The
278 co-ancestry method is based on frequencies of sibs and half-sibs in the population occurring more
279 often than expected, while the LD method is based on alleles at loci occurring more often together
280 than expected (Wang *et al.*, 2016). The Waples (2006) bias correction was applied in the LD method
281 based on Waples and Do (2008). This corrects for bias that could be introduced when the actual
282 effective population size is larger than the sample size. In the LD method only alleles with a
283 frequency >0.05 were used.

284

285 *2.6. Ecological and tree traits*

286

287 Statistical analyses and visualisation were performed in R (Version 3.6.0) (R core Team, 2019). Single
288 variables were tested for normal distribution (*shapiro.test*) and for variance equality (*levene.test*).
289 Subsequently an Anova and TukeyHSD were performed. The factorial analyses to understand habitat
290 preferences of the two species were modelled using Generalized Additive Models (“gam” from
291 package *mgcv*) (Kienast *et al.*, 2012; Dormann *et al.*, 2013). Genetical and ecological data are
292 available through Mendeley (<http://dx.doi.org/10.17632/9ztmw296jh.1>).

293

294 **3. Results**

295 In total, 113 adult *Tilia* samples were successfully genotyped for 20 microsatellite loci. The
296 discriminatory power of the markers was high, with an average probability of identity of 1×10^{-16} .

297

298 3.1 Clones

299 Out of the 113 adult trees, five had identical genotypes to other trees and are considered clones (for
300 details Appendix A). All of the clone pairs were collected close together (same coordinates), i.e.
301 there were no identical genotypes at larger distances. For further analyses, only one of each of the
302 clone sets was maintained in the data set. This left 108 adult trees for analysis.

303

304 3.2 Recruitment

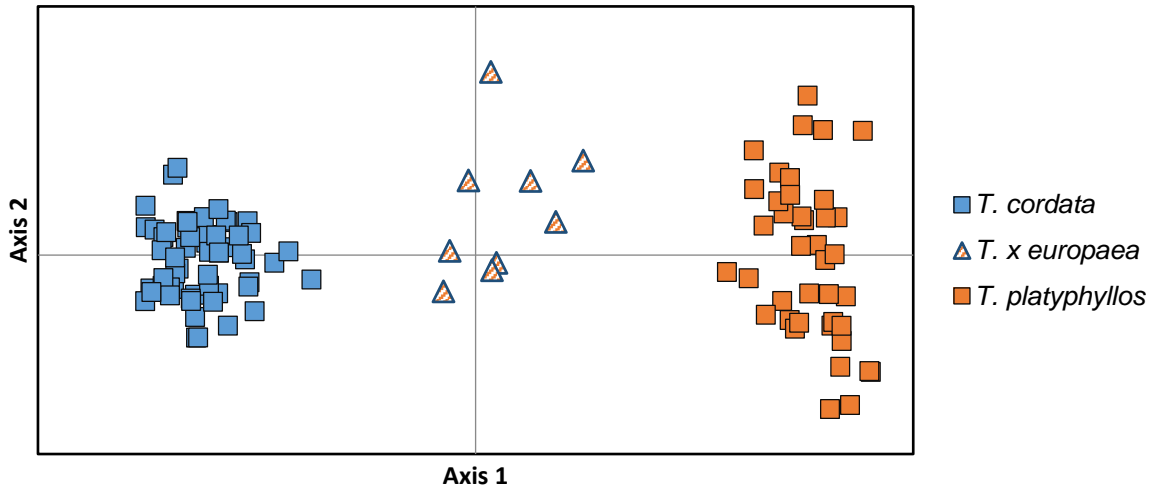
305 Three sets of seemingly newly recruited individuals were genotyped to test whether they were
306 unique genotypes (new recruits through sexual reproduction) or whether they were identical to a
307 nearby tree (clones, asexual reproduction) (for details see Appendix A). One set of six saplings were
308 all clones of a single nearby tree. The second set of 25 saplings appeared all to be clones: 24 were
309 identical to a single mature tree and one sapling was identical to a different mature tree, nearby.
310 Out of the third set of 10 saplings, four were identical to one mature tree, while another one was
311 identical to another tree. The last five of this set of ten were unique, and therefore must have been
312 derived through sexual reproduction. Overall, out of the 41 saplings tested 36 were clones of mature
313 trees and five were derived through sexual reproduction.

314

315 3.3 Species identification and substructure within species

316 A visualisation using PCoA of all samples showed three loose groups (Fig. 2). Following the species-
317 specific alleles reported in Logan et al. (2015, 2019), 59 individuals (blue squares) were deemed to
318 be *T. cordata*, 40 (orange squares) were *T. platyphyllos* and nine (orange hashed triangles) were *T. x*
319 *europaea* (the hybrid).

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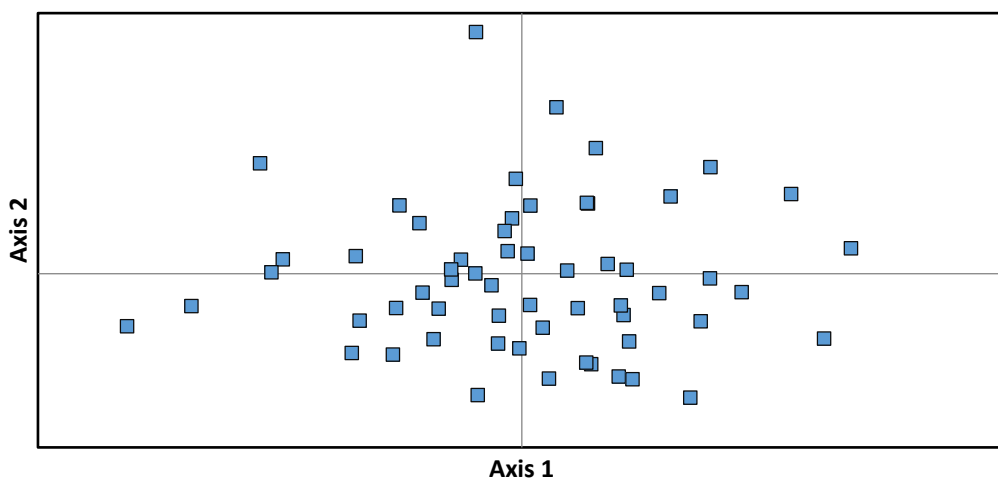


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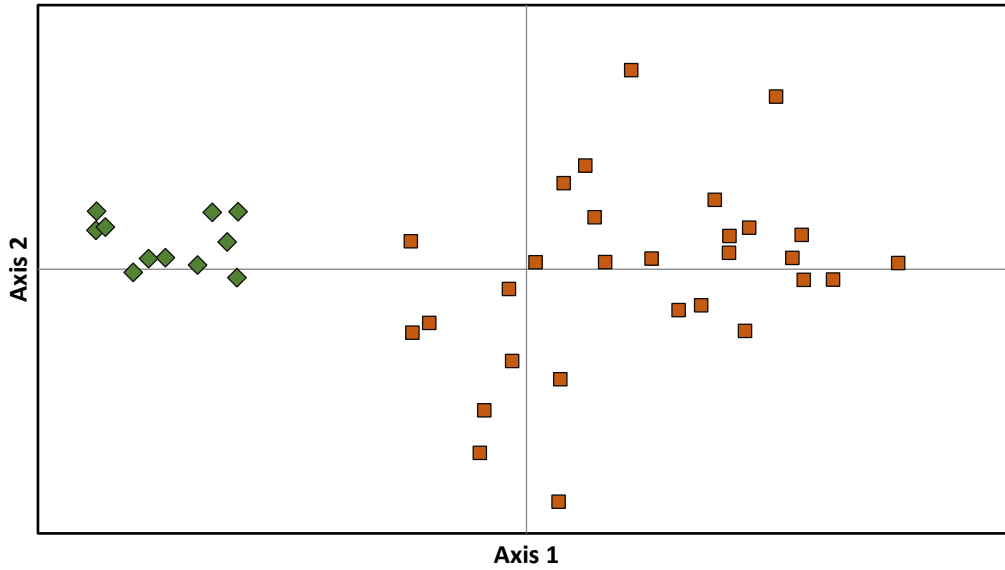
322 **Fig. 2.** Principal coordinate analysis of 108 BFNP *Tilia* trees, using 20 microsatellite
 323 markers. The first two axes explain 28% and 4%, respectively, of the variation.

324

325 Following on from this, a PCoA was performed within each species. Within *T. cordata* there is no
 326 substructure to be detected (Fig. 3a). However, in *T. platyphyllos* there is a clear separate and small
 327 grouping of eleven individuals (Fig. 3b), from here onward called the ‘small group’, while the other is
 328 called the ‘large group’ (green and red symbols, respectively in Fig. 3). Some further analyses were
 329 performed separately on the ‘small’ and ‘large’ group of *T. platyphyllos*.



330



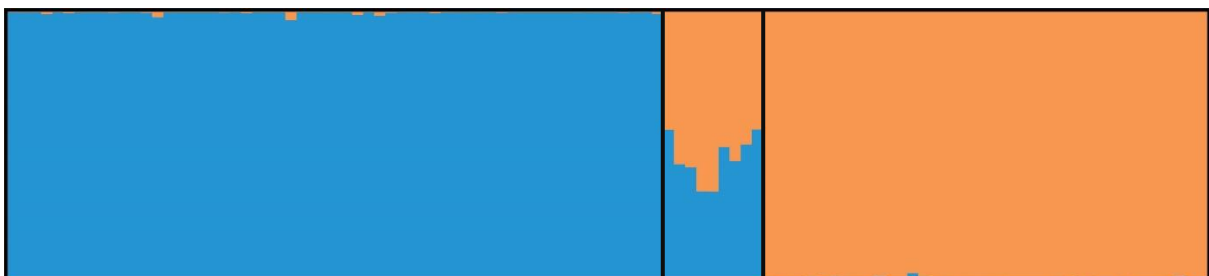
331

332 **Fig. 3.** Principal coordinate analysis of a) Top: *T. cordata* and b) Bottom: *T. platyphyllos* (see
 333 text) with red squares being 'large group' and the green diamonds 'small group', using 20
 334 markers. The first two axes explain 11% and 9.7% and 16.2% and 11%, respectively.

335

336 The Bayesian STRUCTURE analysis confirms the PCoA results. Using all samples the K (data not
 337 shown) and ΔK values indicate an optimal $K = 2$ or 3 (Fig.4). It clearly separates the two species and
 338 indicates that nine samples are hybrids, with roughly equal contributions of both species. The graph
 339 showing $K = 3$ suggests that *T. platyphyllos* trees are clearly separated in two clusters, one with 11
 340 and one with 29 individuals, indicating two different genetic units.

341



342

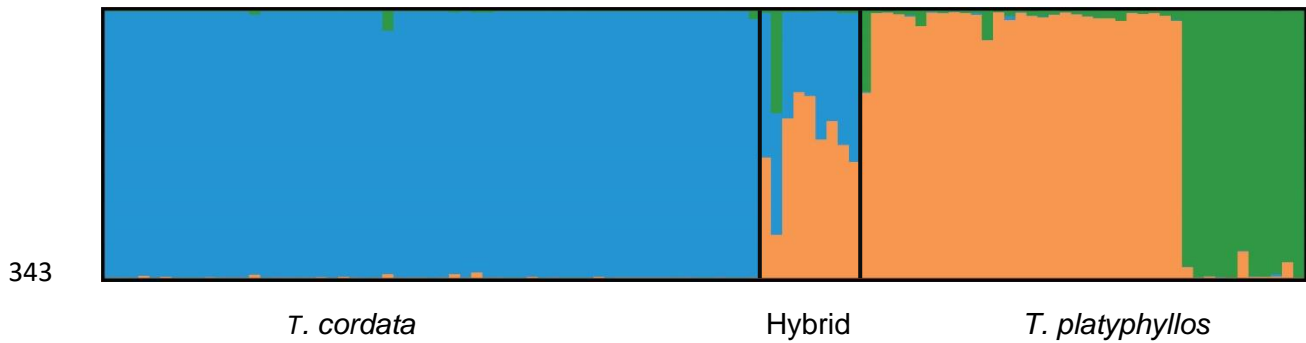


Fig 4. STRUCTURE analysis of all 108 adult Bavarian Forest *Tilia* trees, using 20 markers. Top: K = 2, Blue is *T. cordata*, while orange is *T. platyphyllos*, bottom: K = 3. Blue is *T. cordata*, while orange and green is *T. platyphyllos*, 'large' and 'small' group, respectively.

A STRUCTURE analysis within species revealed no substructure in *T. cordata*, while in *T. platyphyllos* there is substructure, with optimal $K = 2$, separating 11 trees of the 'small' group from the remainder (Suppl. Information Fig. 1A).

Nine hybrids, with close to 50 – 50 contribution of both species were detected across BFNP, which is 8.3% of all 108 trees analysed, and 9.3% when excluding the 11 'small group' *T. platyphyllos* (Fig. 2 and 4). The *T. platyphyllos* contribution to the hybrid was from the 'large group' in eight out of the nine hybrid individuals (Fig. 4). The NEWHYBRID analysis showed similar results (data not shown), with no indication of a hybrid swarm or introgression, albeit that one of the non-pure species individuals could be an F_2 instead of a first-generation hybrid.

3.4 Genetic diversity

Genetic diversity analyses were performed separately in the two species. The 11 *T. platyphyllos* 'small group' trees were treated separately. The number of alleles per locus ranged from 1 - 20. Within *T. cordata* locus tc2-16 and in the 'small group' *T. platyphyllos* locus tc1-42 were monomorphic. Several loci showed a significant deviation from Hardy Weinberg (HW) equilibrium (Table A1), although only three were deemed to be caused by the presence of null alleles, as

364 indicated by a large difference between H_e and H_o for these loci. Hence, our analyses were done with
365 and without those loci, 20 and 17 loci, respectively (see Materials and Methods 2.4).

366 *T. cordata* has a lower genetic diversity than *T. platyphyllos*, whichever diversity estimate is
367 used (Table 1 and A2). For example, the unbiased expected heterozygosity was lower in *T. cordata*
368 (0.57) than in *T. platyphyllos* (0.74). To test for ascertainment bias, diversity in both species was
369 compared for markers derived from *T. platyphyllos* with those derived from *T. cordata*. Both sets of
370 markers showed that *T. platyphyllos* was more diverse than *T. cordata*, whichever statistic and
371 whichever set of markers was used (Table 1). In addition, in both species the *T. cordata* markers
372 showed less diversity than the *T. platyphyllos* markers.

373 The inbreeding coefficient (F_{IS} values) indicate that the species are both outcrossing species
374 (Table 1). More specifically, *T. cordata* and the ‘large’ group *T. platyphyllos* have significantly positive
375 F_{IS} values, for both sets and one set of markers, respectively. However, the ‘small’ group *T.*
376 *platyphyllos* has significantly negative F_{IS} values.

377 Remarkably the group of *T. platyphyllos* trees that formed a separate unit in the PCoA and
378 Bayesian analysis (‘small group’) has a lower genetic diversity than the ‘large group’ of *T. platyphyllos*
379 trees, including for the allelic richness corrected for different sample sizes. This is also distinctly
380 lower than for earlier studies (Logan et al . 2019). The number of alleles, effective number of alleles,
381 expected heterozygosity and allelic richness of the ‘small group’ trees is significantly lower than in
382 the ‘large group’ *T. platyphyllos* ($P = 0.000$, $P = 0.004$, $P = 0.027$ and $P = 0.0019$, respectively, Table 1
383 and A3).

384

385 **Table 1.** Diversity summary averaged across loci of *T. cordata* and *T. platyphyllos* (‘small group’ and
386 ‘large group’), for the *T. platyphyllos* (Plat, 13 loci) and the *T. cordata* (Cor, 7 loci) separately. N is the
387 number of samples, R is the genotypic richness, an indicator of non-clonal reproduction. N_a is the
388 number of alleles, N_e the effective number of alleles, H_o observed heterozygosity, H_e expected
389 heterozygosity and uH_e unbiased expected heterozygosity. R_s is the allelic richness, based on sample

390 size of 11 in each sample group. F_{IS} values indicated with # are significantly larger than 0 and those
 391 with ## are significantly smaller than 0. Data indicated with * are from Logan et al (2019) (*T.*
 392 *platyphyllos* loci only) as comparison, 'na' means not assessed.

	Marker	N	R	N_a	N_e	H_o	H_e	uH_e	R_s	F_{IS}
	source									
<i>T. cordata</i>	Plat	59	1.0	7.8	3.94	0.52	0.59	0.60	5.20	0.19#
	Cor	59		6.1	3.23	0.43	0.51	0.51	4.12	0.12#
<i>T. platyphyllos</i>	Plat	29	0.848	12.2	6.31	0.77	0.79	0.80	8.34	0.05
'large group'	Cor	29		7.0	4.12	0.55	0.63	0.64	5.36	0.14#
<i>T. platyphyllos</i>	Plat	11	na	5.1	3.54	0.81	0.65	0.68	5.08	-0.13##
'small group'	Cor	11		3.3	2.06	0.45	0.38	0.40	3.29	-0.11##
<i>T. cordata</i> *	Plat	23	1.0	na	na	na	0.573	na	na	0.013
<i>T. platyphyllos</i> *	Plat	21	0.981	na	na	na	0.745	na	na	-0.024

393

394 3.5 Genetic divergence between genetic units

395 Genetic divergence, expressed as F_{ST} , G''_{ST} and $Dest$ between the 'large group' and 'small group' *T.*
 396 *platyphyllos* was moderate (0.092, 0.296 and 0.218, respectively) and significantly different from
 397 zero for all three measures. The hybrid had a smaller genetic difference from the 'large group' *T.*
 398 *platyphyllos* than from the 'small group, with F_{ST} , G''_{ST} and $Dest$ being, 0.078 vs. 0.183, 0.319 vs 0.557
 399 and 0.263 vs 0.482, respectively.

400

401 3.6 Relatedness and effective population size.

402 The average relatedness ($r - ML$) of trees within species was low and shows that on average pairs of
 403 trees only share between 2.2% and 4.7% of their alleles (Table 2). $R - ML$ within *T. cordata* was
 404 higher (0.045) than within the 'large group' of *T. platyphyllos* (0.022), but the 'small group' of *T.*
 405 *platyphyllos* trees had the highest relatedness (0.047), particularly larger than the trees in the 'large

406 group' *T. platyphyllos*. The proportion of pairs with a first-degree and the first- plus second-degree
 407 relatedness was smallest in the 'large group' of *T. platyphyllos* (0.042 and 0.002, respectively). The
 408 proportion of first-degree related pairs was highest in the 'small group' of *T. platyphyllos* (0.054 and
 409 0.073, respectively). The effective population sizes (N_e) of *T. cordata* was larger than the 'large
 410 group' *T. platyphyllos* for both estimation methods (Table 2). However, the 'small group' *T.*
 411 *platyphyllos* had the lowest effective population size (Table 2).

412

413 **Table 2.** Relatedness, expressed as the average relatedness r ($r - ML$) and the proportion of first-
 414 plus second-degree and first-degree related pairs of individuals, as well as the effective population
 415 size (N_e) and number of samples (n), based on Co-ancestry and Linkage Disequilibrium (LD). Data
 416 presented here were based on the set of 17 markers.

	$r - ML$ Relate	1 st and 2 nd degree relatedness	1 st degree relatedness	N_e Co- ancestry	N_e LD	n
<i>T. cordata</i>	0.045	0.103	0.005	87.2	1639.3	59
<i>T. platyphyllos</i> 'large group'	0.022	0.042	0.002	28.7	254.1	29
<i>T. platyphyllos</i> 'small group'	0.047	0.073	0.054	11.1	68.4	9
<i>T. cordata</i> , Central*)	0.046	0.048	0.009	Infinite	494.5	-
<i>T. platyphyllos</i> , Central*)	0.038	0.042	0.013	48.1	44.5	-

417 * From Logan et al. 2019: average for populations from Central Europe.

418

419 3.7 Traits and habitat

420 We compared growth and ecological characteristics between *T. cordata*, the hybrid and the 'large
 421 group' *T. platyphyllos*. Hybrids were significantly taller and had a significantly larger DBH than both
 422 *T. cordata* and 'large group' *T. platyphyllos* and *T. cordata* has a significantly smaller DBH than *T.*
 423 *platyphyllos* (Table 3). Analysing their location within the BFNP, both the hybrid and 'large group' *T.*

424 *platyphyllos* were located at significantly higher altitudes and steeper slopes than *T. cordata*. There
 425 is no significant difference in altitude or slope aspect between *T. platyphyllos* and the hybrid.

426

427 **Table 3.** Averages of ecological and size measurements of *T. cordata*, ‘large group’ *T. platyphyllos*
 428 and their hybrid, with number of individuals (n), standard deviation in brackets, and *P* values
 429 representing the results of differences between the species (Tukey test)

	n	Height (m)	DBH (cm)	Altitude (m a.s.l.)	Slope (degrees)
<i>T. cordata</i>	59	20.8 (6.21)	30.8 (15.2)	701.0 (56.4)	4.1 (3.6)
<i>T. platyphyllos</i>	29	21.6 (6.84)	56.2 (28.2)	746.5 (74.4)	9.6 (3.9)
Hybrid	9	30.3 (4.79)	75.1 (28.2)	774.2 (100.5)	8.6 (7.0)
<i>P</i> - values					
<i>T. cordata</i> vs hybrid		0.0003	0.0000	0.0072	0.0076
<i>T. platyphyllos</i> vs <i>T. cordata</i>		0.9084	0.0001	0.0009	0.0000
<i>T. platyphyllos</i> vs hybrid		0.0002	0.0138	0.7148	0.6359

430

431 In a separate comparison of ‘large group’ and ‘small group’ *T. platyphyllos*, the ‘small group’ have
 432 less stem volume, lower vitality and DBH than the ‘large group’ *T. platyphyllos*, while the height was
 433 not significantly different ($P = 0.00$, $P = 0.014$, $P = 0.001$ and $P = 0.232$, respectively, see also Table
 434 A3).

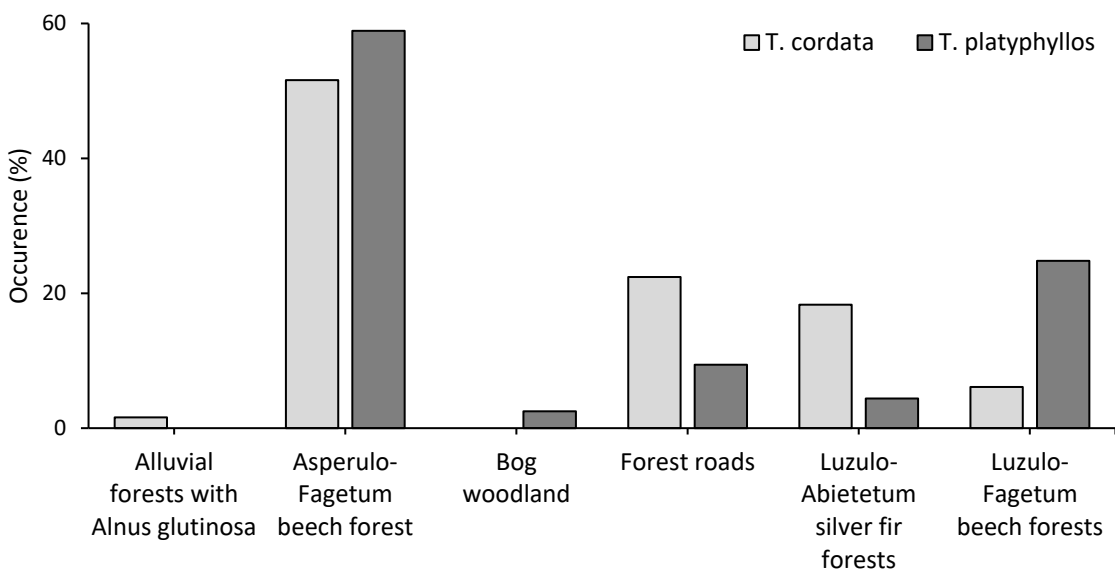
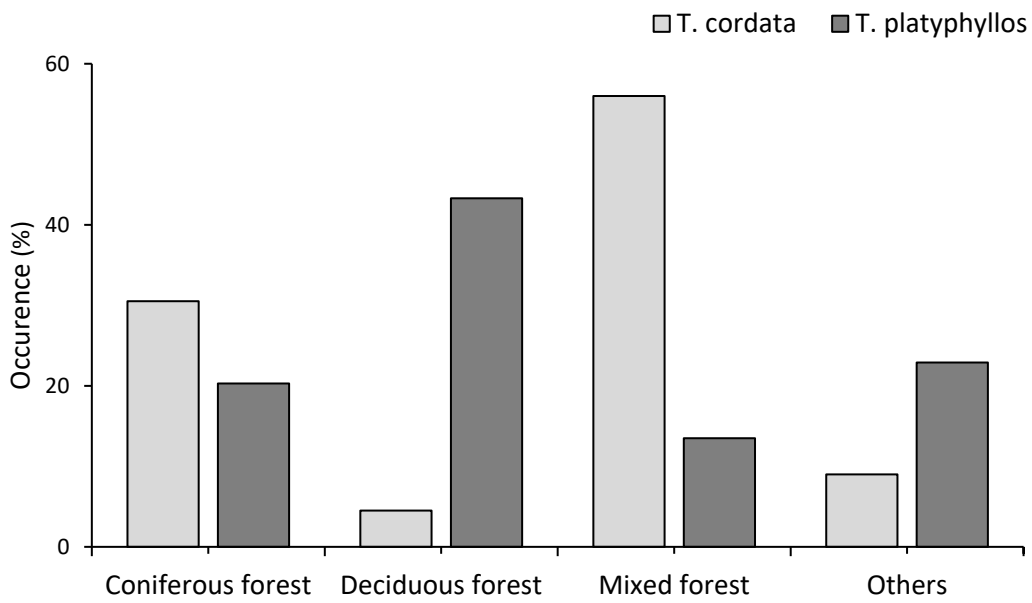
435

436 The species occur in different forest types and in different Potential Natural Vegetation (PNV) types.

437 Hybrids and ‘small group’ *T. platyphyllos* were not included in this analysis as data were limited. *T.*

438 *cordata* is mostly found in mixed and coniferous forests, while the ‘large group’ *T. platyphyllos* is

439 found across all forest types, although having the highest preference for deciduous forests (Fig. 5
 440 and Table A4). Analysing their PNV both *T. cordata* and *T. platyphyllos* were most often found in
 441 nutrient rich Asperulo-Fagetum beech forest, with *T. cordata* also present in mixed coniferous and
 442 'other' areas in the forest, and *T. platyphyllos* additionally in nutrient poor Luzulo-Fagetum beech
 443 forest. For *T. cordata* the model explained 50.3% of the variation, while for *T. platyphyllos* 37.3% was
 444 explained (Table A4).



447

448 **Fig. 5.** Habitat (a) Top: forest types (b) Bottom: Potential Natural Vegetation of *T. cordata*
449 (light grey) and 'large group' *T. platyphyllos* (dark grey) in the BFNP.

450

451 4. Discussion

452 Uniquely, we report here on a combined ecological and genetic survey of an ecologically important
453 broadleaf species, *Tilia*, in a mixed woodland forest in Central Europe. Confirming early presence in
454 the pollen record (Van der Knaap et al., 2019), our findings indicated that both *T. cordata* and *T.*
455 *platyphyllos* are present in the BFNP, and likely remnants of a once more widespread *Tilia*
456 population within the forests. This is because the genetic diversity for both species is medium to
457 high, in line with other populations central to the distribution of the species. Also, N_e , relatedness,
458 frequency of hybrids and clonal reproduction is comparable to other Central European populations
459 (Logan et al., 2019). Moreover, when analysed within a larger genotype data set the BFNP
460 individuals fit in well with other nearby populations, i.e. they did not form a separate clade in
461 STRUCTURE (data not shown). The diversity observed indicates opportunities for survival of both
462 species, but the current actual census size and isolation of the individuals from one another across
463 the large BFNP are a cause for concern. Several of the forests sampled by Logan et al. (2019) and
464 Phuekvilai (2014) across Europe have larger census size and higher concentrations of *Tilia*.

465

466 4.1 Species identification

467 We are confident that the molecular markers have clearly identified the presence of both *Tilia*
468 species (Logan et al., 2015, 2019; Phuekvilai, 2014). Moreover, we confirm the pollen representation
469 data of Van der Knaap et al. (2019) that *T. platyphyllos* is less common in the BFNP than *T. cordata*,
470 which is a contradiction to the Natura 2000 monitoring in the national park that did not mention the
471 occurrence of *T. cordata* in the park (Nationalparkverwaltung BW, 2008). Pollen analysis (van der
472 Knaap et al., 2019) and the study presented here indicate that *T. platyphyllos* is less numerous than
473 *T. cordata*, both in the past and at present. It is common that surveys do not correctly identify the

474 species in the genus *Tilia*, in particular for these two closely related species that also generate
475 hybrids with intermediate characteristics. This is because in *Tilia*, it is relatively easy to distinguish
476 the two species and its hybrid if flowers or seeds are available at ground height, but to accurately
477 identify species from leaves benefits from an 'expert eye' (Pigott, 2012, 2020). The leaf
478 characteristics of the species show overlap, and, combined with considerable plasticity in leaf shape,
479 this means that they are often mixed up (Phuekvilai, 2014). In addition, the species only flower
480 for a short period (two weeks) and many trees, especially in the understory, do not flower at all.
481 Surveys that do not include the flowers will therefore struggle to identify the species and the hybrid
482 correctly. In these cases, molecular markers are needed for accurate species determination.

483

484 4.2 Planted trees

485 Often the most substantial proportion of genetic variation in outcrossing species is within
486 populations and not between, making it hard to use markers, such as microsatellites, for forensic
487 applications, e.g. finding the source of trees, timber or wood products. Earlier work showed that
488 using chloroplast markers in *Tilia* would not improve the ability to detect population differences due
489 to the large proportion of shared haplotypes between the two species and limited geographic
490 structure within species (Fineschi et al., 2003; Phuekvilai, 2014).

491 Similarly, it is challenging to detect planted trees from those that are endemic, especially if
492 planted trees are from local and diverse sources. Phuekvilai (2014) reports low differentiation
493 between populations across Europe, for *T. cordata* F_{ST} values range from 0.021 to 0.181 and for *T.*
494 *platyphyllos* from 0.020 to 0.171. Therefore, even if some trees were planted in the past, this may
495 often go undetected in a genetic survey if they originated from another natural and nearby stock
496 and no records of planting exist. There are no specific records of planting *Tilia* in the BFNP. Despite
497 that here we detected a small set of trees ('small group') in *T. platyphyllos*, genetically dissimilar to
498 the 'large group' both in the PCoA and STRUCTURE analysis. They have a genetic distance (F_{ST}) with
499 the 'large group' (0.092), that is greater than the distance observed between geographically more

500 distant central *T. platyphyllos* populations (0.055, Logan et al., 2019). However, we note that an F_{ST}
501 estimation between two populations with different sample sizes and different diversity may be
502 misleading. The hybrids have a smaller genetic distance (F_{ST}) from the 'large group' (0.078) than from
503 the 'small group' (0.183), indicating that the hybrids have an ancestry similar to the 'large group' and
504 not to the 'small group'. The 'small group' also have a remarkably low genetic diversity, and a higher
505 relatedness amongst themselves than the endemic trees of the same species (Logan et al., 2019) and
506 the 'large group' of trees in the BFNP. The leaves of 'the small group' of trees do not stand out with
507 regard to their leaf morphology, i.e. they are clearly *T. platyphyllos* (personal observation, Wolff).
508 This 'small group' of trees must have been planted, and the uniqueness of each individual tree in this
509 group leads to the hypothesis that they were derived from seed from a limited number of parental
510 trees, which in turn were genetically distant to the local trees. The negative F_{IS} in this group, unusual
511 for *Tilia*, may indicate that by chance the seed source(s) of the small group happen to be rather
512 heterozygous trees. From here on the 'large group' of trees will be considered wild and endemic and
513 the 'small group' as being planted. In addition, the presumably planted trees in the 'small group'
514 have a significantly lower volume, vitality and DBH than the endemic *T. platyphyllos* trees. This may
515 be due to relatively recent planting (e.g. 50 – 100 years ago), unfavourable location or
516 maladaptation to the BFNP.

517

518 4.3 Genetic diversity and differentiation

519 In addition to analyses with 20 markers, we also did analyses on a set of 17 markers, excluding the
520 three loci with a large difference between H_o and H_e . Loci with a large number of alleles have a high
521 number of genotypes per locus, and even with reasonable sample sizes, this can cause deviation
522 between expected and observed genotype numbers for those loci, explaining deviations from HW
523 for the other markers. To ensure the outcome was robust we also analysed a set of 14 markers,
524 additionally excluding three markers with many alleles (Tc915, Tc963 and Tc927) because
525 genotyping errors are more likely to occur with many alleles. This is particularly important for

526 relatedness and N_e analyses as small differences in allele size can change the outcome of the
527 analysis. We note that conclusions from the set of 20, 17 or 14 markers were very similar.

528 Both species have a moderate to high genetic diversity, despite their low current abundance.
529 This is likely to be because of their high abundance during warmer periods of the post-glacial
530 warming, with the outcrossing mating system and high longevity retaining diversity for a long time
531 (Myking, 2002). Whichever diversity statistic is used, *T. cordata* is less diverse than *T. platyphyllos*.
532 There is always a concern that ascertainment bias due to the source of the markers (being target or
533 non-target species) skews results. It is sometimes found that applying markers across species leads
534 to higher diversity in the species from which the markers are derived than in non-source species
535 (e.g. Li and Kimmel, 2013). In earlier *Tilia* studies Logan et al. (2015, 2019) only used markers
536 developed for *T. platyphyllos* in both *T. platyphyllos* and in *T. cordata* and discussed the fact that *T.*
537 *cordata* had a lower genetic diversity, potentially caused by ascertainment bias. Here we tested, for
538 the first time in *Tilia*, for presence of ascertainment bias by using two sets of markers derived from
539 contrasting focal species, namely of *T. cordata* and *T. platyphyllos*. In both species the *T. cordata*
540 markers showed less diversity than the *T. platyphyllos* markers. It is unclear why this is the case.
541 More importantly, both sets of markers show the same difference between the two species, *T.*
542 *platyphyllos* always being more diverse than *T. cordata*, whichever statistics and whichever set of
543 markers is used. Therefore, we conclude that ascertainment bias can be discounted as a reason for
544 lower diversity in *T. cordata*, as was suggested as a potential reason in earlier papers.

545 It is difficult to explain why *T. platyphyllos* is more genetically diverse than *T. cordata* in the
546 BFNP, but also across all European populations (Logan et al., 2015 & 2019; Phuekvilai, 2014). Past
547 demographic and life history can have a substantial effect on genetic diversity for microsatellites (Li
548 and Kimmel, 2013). In general, species with larger effective population size, shorter generation times
549 (more turnover) and higher gene flow have a higher genetic diversity (Hague and Routman, 2016).
550 Geographic range, breeding system and mode of seed dispersal also partly determine diversity in
551 species (Hamrick et al., 1992). However, the biology and life history of the two species is very similar

552 and they most likely migrated more or less simultaneously after the Ice Age (Pigott, 2012). Here the
553 effective population size (N_e) is higher in *T. cordata* than in *T. platyphyllos*, similar to a Europe wide
554 study by Logan et al (2019). Both census size and geographic range would predict a lower genetic
555 diversity in *T. platyphyllos* (being lower and more restricted, respectively), not higher as observed.
556 Hamrick et al. (1992) reviewed genetic diversity in trees and conclude that within species genetic
557 diversity differs between species and often remains unexplained.

558

559 4.4 Hybrids

560 The frequency of the hybrids (approximately 9%) is similar to that found in other mixed forests
561 (Phuekvilai, 2014; Logan et al. 2015; unpublished data K Wolff). No introgression has been observed
562 in BFNP or in other mixed forests studied so far and there is no conservation concern if *T. x europaea*
563 is simply maintained in the park (Phuekvilai, 2014; Logan et al., 2015; unpublished data K Wolff).

564 For the first time the size of hybrids and their parental species was measured in their natural
565 environment. Because the hybrids are taller and had a larger DBH than the parental species it
566 indicates that hybrids did not originate recently and *T. cordata* and *T. platyphyllos* must have shared
567 their location in the BFNP for several centuries. The reason for the significantly greater height and
568 DBH of the hybrids is likely to be 'hybrid vigour', a term used for the phenotypic superiority of
569 hybrids as compared to its parental species. Zanewich et al. (2018) found that in poplar (*Populus* sp.)
570 the combined (small) effects of multiple traits and metabolic diversity gave hybrids an advantage in
571 several environments. Here the height and DBH superiority of the hybrids could be because they live
572 longer than the parental species, allowing them to grow bigger before the main stem deteriorates,
573 and/or they have a higher growth rate. Whether it is higher longevity or faster growth remains to be
574 tested, e.g. in a growth trial or by counting rings in a core, with the proviso this only indicates the
575 age of the stem, not the genotype.

576

577 4.5 Relatedness and effective population size.

578 It is important to understand whether a population is large and diverse enough, to allow its
579 conservation as well as adaptation to new environments and changing climate. This cannot be
580 determined directly from genetic diversity for neutral markers, such as microsatellites, yet these can
581 help us understand population size. Population size can be expressed in how related random pairs of
582 individuals are or as the effective population size. Although both cannot be regarded as hard and
583 accurate measures, comparable studies can be used to draw conclusions. Data presented here are
584 based on the set of 17 markers, avoiding those with potential null alleles as this would particularly
585 affect outcomes of these types of analyses. However, we note that outcomes using 20, 17 or 14
586 markers led to very similar results and the same conclusions. Here we can compare this with an
587 earlier study (Logan et al., 2019). In line with their Central European populations, the relatedness
588 and effective population size (N_e) of *T. cordata* is higher than that of *T. platyphyllos*. Analogue to the
589 lower genetic diversity in the presumably planted *T. platyphyllos* trees they seem to be closer
590 related to each other than to the endemic *T. platyphyllos* trees, as also reflected in the smaller
591 effective population size in the presumably planted trees.

592 The estimates for N_e at BFNP were as high as or higher than the actual census size of the two
593 species. It is well known that the genetic diversity generally reflects 'recent' population sizes, not
594 current population sizes (Hare et al., 2011). Due to high longevity of the trees 'recent' may be a
595 period of tens or several hundred years. Therefore, it is likely the current situation is the result of an
596 ongoing bottleneck, e.g. over the last several 100 years.

597 Rules have been suggested for the minimum number of individuals and source populations
598 to conserve. However, there are no agreements on this and it seems impossible to have a hard
599 standard rule for species with very diverse life history characteristics. Some have discussed the
600 minimum viable population (MVP) size as being the number of individuals to conserve to ensure that
601 95% of the variation is maintained and random genetic drift minimised. A 50/500 rule was
602 suggested, with 50 ensuring inbreeding was avoided in the short term, and 500 individuals needed
603 to avoid random genetic drift and opportunities for adaptation and evolution in the longer term

604 (LehmKuhl, 1984; Jamieson and Allendorf, 2012). Flather et al. (2011) and Jamieson and Allendorf
605 (2012) remark that it is impossible to give exact MVP numbers that would guarantee survival of
606 species and therefore conservation decisions cannot be made whether a species has reached certain
607 threshold or not.

608 Myking (2002) discusses the concept of Multiple Population Breeding Strategy (MPBS), first
609 coined by Namkoong (1984) designed for vulnerable tree species with large between population
610 diversity. The most economic way is *in situ* conservation combined with silvicultural management
611 (Myking, 2002). It asks for an effective population size (N_e) of 50 individuals from 20 populations,
612 ensuring low loss of diversity through genetic drift. In *Tilia*, the majority of the variation is within
613 populations, and therefore fewer populations probably suffice.

614 Here N_e for *T. cordata* is estimated as 87 – 1639, with a census size of 59 and for *T.*
615 *platyphyllos* N_e is between 29 and 254, with a census size of 29. With $N_e > 50$ short-term risks of
616 inbreeding are limited. However, for *T. platyphyllos* current numbers are smaller than the minimum
617 of 50, albeit that genetic diversity in the 29 individuals is not diminished as compared to other
618 populations. Also, 29 is the minimum census size as it is possible that saplings of the species have
619 been missed in the collection and survey. However, long-term risks must be addressed, especially
620 since the remaining trees are spread over a large area, limiting gene flow between trees, and gene
621 flow with surrounding forests is limited due to limited pollen transport by its pollinators in a patchy
622 environment (Osborne et al., 2008).

623

624 4.6 Ecology

625 While a limited number of previous studies have focused on ecological traits such as DBH and height
626 of *Tilia* stands, the two species are often treated as one taxon (e.g. Jacob et al., 2010) and rarely do
627 any consider the hybrid (*T. x europaea*) in natural *Tilia* populations. Here we have investigated the
628 growth and site preference of these two closely related *Tilia* species along with (where applicable)
629 their hybrid, growing intermixed in their natural environment. Most results seem to confirm

630 descriptions of earlier work, allowing quantification in the current study. For growth results of the
631 hybrid we refer to section 4.4. In *T. cordata* the DBH was much smaller than in *T. platyphyllos*, which
632 confirms Pigott (2012, 2020) who indicated that DBH of 1.5m can be reached at 200 years in *T.*
633 *platyphyllos*, while this would take 400 years in *T. cordata*. In the BFNP the two species reach similar
634 heights, while Pigott (2012, 2020) describes that *T. cordata* grows up to 20m and *T. platyphyllos* up
635 to 40m. The reason why we find similar heights for both species in BFNP may be partially explained
636 by the fact that *T. platyphyllos* in BFNP occurs here at higher altitude than *T. cordata* and the colder
637 climate at higher elevations may hamper length growth in trees in general or in *T. platyphyllos*
638 specifically.

639 Both the hybrid and 'large group' *T. platyphyllos* were located at higher altitude than *T.*
640 *cordata*. Pigott (2012) describes that *T. cordata* occurs on flat areas, but also on steep slopes, while
641 *T. platyphyllos* is additionally found on unstable screes. This confirms our finding that *T. platyphyllos*
642 occurs on steeper slopes. Personal observation (K. Wolff) similarly showed *T. cordata* at the foot of
643 mountains/hills and *T. platyphyllos* at steep and unstable screes higher up the mountain/hill at
644 several locations in Europe, e.g. at Leopolds berg in Vienna, Austria.

645 In the BFNP *T. cordata* is mostly found in mixed and coniferous forests, while *T. platyphyllos*
646 was found across all forest types, although having the highest preference for deciduous forests (Fig.
647 5 and Table A4). The slightly higher light intensity in deciduous forest may allow *T. platyphyllos* to
648 have more radial growth (higher DBH, Table 4) than *T. cordata*. Pigott (2012) describes that both
649 species mostly occur in broadleaved forests, but also writes that *T. platyphyllos* is not found in *F.*
650 *sylvatica* with *Abies alba* communities, confirming our low frequency of this species in mixed and
651 coniferous forests. Radaglou et al. (2009) also describes that both occur in deciduous broadleaved
652 forests, but that *T. cordata* can also occur mixed in coniferous forests, confirming our result.

653 Analysing their Potential Natural Vegetation communities both *T. cordata* and *T. platyphyllos*
654 were most often found in Asperulo-Fagetum beech forests with *T. cordata* also present in Luzulo-
655 Abietum silver fir forests and along forest roads. *T. platyphyllos* can additionally be found in Luzulo-

656 Fagetum beech forests. It has been suggested by others that *Tilia* prefers nutrient rich alkaline soils
657 with higher calcium content (Jaworski, 1995; Pigott, 2012). Here we have shown that in the BFNP,
658 *Tilia* prefer mixed beech stands of Asperulo-Fagetum type, generally indicating alkaline soils with
659 calcium, with more than half of all BFNP *Tilia* trees (approximately 50% of *T. cordata* and 60% of *T.*
660 *platyphyllos*), while this forest type just covered 5% of the park and Luzulo fagetum covered 41 %
661 (Fig. 5b).

662

663 4.7 Conservation management

664 Before human intervention *Tilia* played a dominant role in natural forests and is well known for its
665 beneficial effects on biodiversity and its ecosystem services. *Tilia* still present are minor remnants of
666 once vast forests. Nowadays, climate is warming and species, such as *Tilia*, can potentially increase
667 their range and density (Myking, 2002; Hemery et al., 2010). However, *Tilia* generally struggles to
668 increase its numbers and to re-establish once it has disappeared and may not have the ability to
669 follow the potential range shift due to slow migration rates and low current contribution to mixed
670 forests (Myking, 2002; Logan et al., 2019). Therefore, to maintain *Tilia* in reserves and national parks
671 they may need active management to maintain and increase their presence, i.e. assisted migration,
672 augmentation and protection of seedlings and saplings. In the BFNP with its non-intervention core
673 zone this mostly needs to take place in the surrounding buffer zone.

674

675 Considerations for management are:

676 (1) We have to conserve the two *Tilia* species, but do not have to consider genetic structure within
677 the BFNP. For biodiversity management it is important to have accurate species inventories, and in
678 some cases also to detect hybrids. We showed that in this case molecular markers were needed for
679 accurate species determination. The abundance of both species in the BFNP is low compared to
680 other species in the NP, which is a matter of concern. Therefore, management may be needed to
681 ensure the two endemic species are maintained in the reserve. Vulnerable or rare species, such as

682 *Tilia*, could benefit from clone archives from populations (Myking, 2002) representing the various
683 habitats that the species occupy.

684

685 (2) Hybrids in general can pose problems if substantial introgression leads to the loss of one or both
686 species and the hybrid swarm could be more invasive than the parental species (Gaskin, 2017).

687 However, no introgression has been observed in *Tilia* in BFNP or other mixed forests studied so far
688 (Phuekvilai, 2014; Logan et al. 2015). This means that the hybrids will not contribute to the next
689 generation but can simply be left in the park.

690

691 (3) Trees that are planted from unknown origin need to be removed to prevent contamination of the
692 gene pool. The trees that appear planted from sources outside the BFNP and that appear from a
693 rather limited seed source should be avoided for augmentation and potentially should be felled. If
694 more detailed genetic knowledge, e.g. SNP or QTL analyses, of *Tilia* was available the 'planted' trees
695 should be further analysed in that framework to assess whether they are indeed foreign and do not
696 hold valuable genetic variants. In future care must be taken to use cuttings or seeds of local endemic
697 trees or from a source suited to the habitat and latitude to ensure the success of the active
698 management (Fady et al 2016; Lobo et al. 2018).

699

700 (4) Genetic diversity needs to be maintained for survival and future adaptation.

701 This means management could consist of protection of those present and potentially planting of
702 trees. As discussed in section 4.5 the N_e of the two *Tilia* species in BFNP seems sufficient for the
703 requirement of 50, but current census size points in the direction of a lower N_e in future. To reach a
704 future N_e of 500 gene flow with forests from outside the BFNP also may need improving. Therefore,
705 augmentation, using local or other suitable trees (see (3) above), in currently low-density areas to
706 ensure improved sexual reproduction, i.e. planting *Tilia* in the vicinity of existing trees, as well as in
707 the buffer zone of the BFNP.

708 For future augmentation local trees should be tested with molecular tools to see whether
709 they are likely to be endemic, i.e. whether they represent the local diversity. Trees can be obtained
710 through clonal reproduction (cuttings and layering) or through sexual reproduction, by harvesting
711 and germinating seeds from local trees. Both strategies have problems and advantages. Ingvarson
712 and Dahlberg (2019) suggest that clones can be used, as long as a reasonable number of trees
713 (representing a reasonable proportion of the total diversity) are used. One could suggest, for
714 example, to make clones of 20 genotypically different individuals from the local environment. This
715 would combine capturing a large proportion of local diversity and speed up the process of obtaining
716 saplings. From a genetic perspective a better option would be to collect seed from a similar number
717 of trees (20, bulked seeds), and after germination saplings could be planted in the buffer zone
718 (Ingvarson and Dahlberg, 2019). This would capture more of the genetic diversity of the population,
719 but although germinating *Tilia* seed is possible, it is time consuming and not always successful (pers.
720 experience Wolff). Therefore, generating clonal saplings seems more secure and faster.

721

722 (5) Regeneration can potentially be improved through various silvicultural measures. Mixed stands
723 are ideal, giving shade for *Tilia* saplings while they are young (Coello et al., 2013). Young trees can
724 also be used to fill in gaps, e.g. when another tree dies. For forest diversification, in pure stands,
725 small numbers of *Tilia* could be planted in the understory of woodlands to improve diversity and
726 environmental value of the forest. Once saplings reach a certain height, for example four meters,
727 removal of competing trees in the neighbourhood may expedite further growth and flowering.
728 Protection against wildlife browsing, e.g. through fencing or measures to protect single seedlings,
729 may limit the loss of seedlings and saplings. For example, *T. cordata* could benefit from less browsing
730 in *Picea abies* ecosystems (Cailleret et al., 2014).

731

732 Further work is needed, at both the genetic and the ecological level. In economically important
733 species there has been much advance in understanding functional genes and their variation across

734 species range, understanding climate change adaptation, insect and disease resistance. For many
735 less common and less commercially important species this is not yet within reach. Large field trials at
736 multiple locations are essential to understand to what extent there are adaptive traits and how they
737 can benefit silviculture and biodiversity conservation in forest ecosystems.

738

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745

746 **7. References**

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941

942 **Appendix:**

943 **A. Details regarding clonal growth and sexual reproduction**

944 Numbers of trees starting with GEBW are numbers as used in the genetic analysis, while numbers
945 with four or five digits are codes used in the BFNP.

946 Clones:

947 Three genotypes were present with multiple copies: two pairs (GEBW005=GEBW006 (9501=9502) (*T.*
948 *platyphyllos*) and GEBW096=GEBW097 (1537=1538) (*T. cordata*) and one with four copies
949 (GEBW034 to GEBW037 (5503-5506) (*T. platyphyllos*).

950 Recruitment:

951 Six saplings found near GEBW014 (8506) (a hybrid) were identical to each other, but not to
952 GEBW014. However, they were all identical to GEBW020 = 5606, a nearby tree with the same
953 coordinates. Twenty -ive saplings near GEBW007 (10501) (*T. cordata*) were analysed. All bar one
954 were identical (i.e. clones) and indeed identical to GEBW007. The other genotype (a single
955 individual) in this offspring set was identical to GEBW020 (5606, *T. cordata*). Near GEBW118 (1565)
956 (*T. cordata*) ten samples of young trees analysed. This revealed a total of six genotypes. Four of the
957 young saplings are identical and identical to GEBW091=1532 (*T. cordata*) at 38m distance to
958 GEBW118 (asexual reproduction) (*T. cordata*), while another one was identical to GEBW108=1555
959 (*T. cordata*) with the same coordinates as GEBW118 (also asexual reproduction). However, the other
960 five genotypes were unique, and, therefore, resulted from sexual reproduction. Using MLRelate we
961 analysed potential parents of these genotypically unique saplings. All potential parents appeared to
962 be close to the location of the sapling. One sapling was potentially the offspring of GEBW098 (1539)
963 (at 24m distance), GEBW103 (1544) (at 24 m distance) or GEBW108 (1555) (same coordinates) (all *T.*
964 *cordata*). One sapling was potential offspring of GEBW109 (1556) (same coordinates) and GEBW115
965 (1562) (same coordinates), and one sapling of GEBW103 (1544) (at 24m distance) and GEBW108

966 (1555) (same coordinates) (all *T. cordata*). Two juvenile offspring genotypes were not related to any
967 tree analysed in our set but may derive from a tree no longer alive.

968

969 **Table A1:** Results of tests for Hardy Weinberg equilibrium for each locus and the three *Tilia* clades
 970 detected in the BFNP, showing the Chi square values, the P-values indicating probability of the
 971 presence of HW equilibrium and the level of significance (ns=not significant, * P<0.05, ** P<0.01 and
 972 *** P<0.001).

Locus	<i>T. cordata</i>			<i>T. platyphyllos</i> 'large group'			<i>T. platyphyllos</i> 'small group'		
	ChiSq	Prob	Signif	ChiSq	Prob	Signif	ChiSq	Prob	Signif
Tc6	14.935	0.826	ns	51.620	0.903	ns	19.556	0.550	ns
Tc937	2.331	0.993	ns	69.928	0.731	ns	7.586	0.669	ns
Tc920	50.460	0.006	**	73.856	0.004	**	7.639	0.937	ns
Tc8	59.000	0.000	***	62.036	0.240	ns	11.770	0.301	ns
Tc943	8.204	0.609	ns	0.616	0.893	ns	13.020	0.005	**
Tc4	96.576	0.000	***	103.535	0.522	ns	9.503	0.850	ns
Tc927	0.179	0.981	ns	193.434	0.417	ns	20.900	0.829	ns
Tc915	98.963	0.648	ns	169.848	0.026	*	1.873	0.599	ns
Tc963	214.450	0.108	ns	202.583	0.000	***	21.169	0.449	ns
Tc11	77.139	0.000	***	27.647	0.483	ns	7.639	0.054	ns
Tc5	90.254	0.025	*	135.423	0.002	**	17.539	0.678	ns
Tc951	2.112	0.909	ns	27.188	0.508	ns	11.000	0.001	***
Tc7	7.781	0.650	ns	31.842	0.930	ns	3.592	0.732	ns
tc1-42	15.227	0.811	ns	25.307	0.000	***	monomorphic		

tc2-69	129.501	0.000	***	138.717	0.015	*	10.993	0.753	ns
tc2-16	monomorphic			5.134	0.882	ns	0.543	0.909	ns
tc3-57	70.095	0.083	ns	60.869	0.924	ns	10.321	0.112	ns
tc1_19	2.790	0.425	ns	1.496	0.683	ns	0.274	0.601	ns
tc3-74	0.235	0.628	ns	0.009	0.925	ns	0.025	0.875	ns
tc2-86	19.248	0.203	ns	44.391	0.002	**	16.701	0.081	ns

Table A2 Diversity expressed as the number of alleles (N_a) effective number of allele (N_e), observed, expected and unbiased expected heterozygosity heterozygosity (H_o , H_e and uH_e), allelic richness (R_s) as well as the inbreeding coefficient F_{IS} . *T. cordata* (n = 59) is indicated as Tcor, *T. platyphyllos* 'large group' (n = 29) is indicated as Tp_l and the 'small group' *T. platyphyllos* (n = 11) as Tp_s. Na indicates not assessed. Loci derived from *T. platyphyllos* are indicated with 'Plat', and those from *T. cordata* with 'Cor'. Also indicated are means for the 'Plat' and the 'Cor' loci separately, as well as across all loci.

Locus	N_a			N_e			H_o			H_e			R_s			F		
	Tcor	Tp_l	Tp_s	Tcor	Tp_l	Tp_s	Tcor	Tp_l	Tp_s	Tcor	Tp_l	Tp_s	Tcor	Tp_l	Tp_s	Tcor	Tp_l	Tp_s
Tc6	7	12	7	3.84	7.86	5.26	0.69	0.90	1.00	0.74	0.87	0.81	5.06	8.89	7	0.07	-0.01	-0.219
Tc937	5	13	5	1.80	6.98	3.27	0.49	0.83	0.82	0.44	0.86	0.69	3.37	9.19	5	-0.10	0.05	-0.13
Tc920	8	10	6	4.64	3.57	2.88	0.66	0.76	0.91	0.78	0.72	0.65	6.244	6.89	6	0.17	-0.04	-0.35
Tc8	2	11	5	1.07	6.65	4.57	0.00	0.93	1.00	0.07	0.85	0.78	1.57	8.28	5	1.00	-0.08	-0.24
Tc943	5	3	3	2.59	1.94	2.05	0.54	0.48	0.55	0.61	0.48	0.51	3.82	2.38	3	0.12	0.02	-0.02
Tc4	11	15	6	5.96	6.65	4.17	0.59	0.83	0.91	0.83	0.85	0.76	8.05	9.35	6	0.29	0.04	-0.15
Tc927	3	20	8	1.33	12.65	5.50	0.25	0.97	1.00	0.25	0.92	0.82	2.16	12.79	8	-0.01	-0.03	-0.18
Tc915	15	17	3	7.72	7.51	2.26	0.81	0.90	0.73	0.87	0.87	0.56	9.50	10.69	3	0.07	-0.02	-0.26

Tc963	20	17	7	12.77	11.14	4.94	0.83	0.69	1.00	0.92	0.91	0.80	11.84	11.90	7	0.11	0.26	-0.21
Tc11	4	8	3	1.64	3.92	2.47	0.17	0.66	0.91	0.39	0.74	0.60	2.75	5.76	3	0.57	0.14	-0.49
Tc5	12	14	7	3.58	6.65	5.26	0.68	0.86	1.00	0.72	0.85	0.81	6.71	9.81	7	0.07	-0.00	-0.19
Tc951	4	8	2	2.35	2.02	1.20	0.61	0.38	0.00	0.57	0.50	0.17	3.42	5.36	2	-0.06	0.26	1.00
Tc7	5	10	4	1.96	4.49	2.20	0.39	0.79	0.73	0.49	0.78	0.55	3.15	7.1	4	0.21	-0.00	-0.29
Mean Plat	7.8	12.2	5.1	3.94	6.31	3.54	0.52	0.77	0.81	0.59	0.79	0.65	5.20	8.34	5.08	0.19	0.05	-0.13

Cor

tc1-42	7	4	1	1.73	2.36	1.00	0.42	0.34	0.00	0.42	0.58	0.00	3.87	3.24	1.00	0.00	0.42	na
tc2-69	13	15	6	5.25	10.32	3.78	0.44	0.97	0.91	0.81	0.90	0.74	6.94	10.87	6	0.46	-0.05	-0.19
tc2-16	1	5	3	1.00	4.61	1.45	0.00	0.83	0.36	0.00	0.78	0.31	1	4.98	3	na	-0.04	-0.13
tc3-57	11	13	4	7.05	4.34	3.06	0.81	0.72	0.91	0.86	0.77	0.67	7.96	8.53	4	0.06	0.08	-0.31
tc1_19	3	3	2	2.83	2.60	1.31	0.61	0.52	0.27	0.65	0.62	0.24	3.00	3.00	2.00	0.06	0.18	-0.11
tc3-74	2	2	2	1.13	1.04	1.10	0.12	0.03	0.09	0.11	0.03	0.09	1.77	1.38	2	-0.06	-0.02	-0.00

tc2-86	6	7	5	3.59	3.57	2.75	0.61	0.45	0.64	0.72	0.72	0.64	4.26	5.51	5	0.16	0.39	0.05
Mean Cor	6	7	3	3.23	4.12	2.06	0.43	0.55	0.45	0.51	0.63	0.38	4.12	5.36	3.29	0.12	0.14	-0.11
Mean all	7.2	10.4	4.5	3.69	5.54	3.02	0.49	0.69	0.69	0.56	0.73	0.56	4.82	7.30	4.45	0.14	0.07	-0.18
loci																		
SE	1.118	1.175	0.456	0.655	0.722	0.338	0.058	0.056	0.079	0.063	0.047	0.058	na	na	na	0.059	0.033	0.069
	N_a			N_e			H_o			H_e			R_S			F		
Mean all loci and	7.24			4.24			0.66			0.65			7.58			0.00		
all individuals																		
SE	0.498			0.302			0.034			0.027			na			0.036		

Table A3. Means of genetic and ecological characters of ‘large group’ (n=29) and ‘small group’ (n=11) *T. platyphyllos*, with outcome of t-test

	‘Large group’ <i>T. platyphyllos</i>	‘Small group’ <i>T. platyphyllos</i>	t-value	P Value
Number of alleles, N_a	10.35	4.45	4.68	0.000
Effective nr of alleles, N_e	5.54	3.02	3.16	0.004
Observed heterozygosity, H_o	0.69	0.69	0.05	0.959 ns
Expected heterozygosity, H_e	0.73	0.56	2.30	0.027
Allelic richness, R_s	7.30	4.45	3.30	0.002
Volume	3.67	1.10	3.73	0.001
Vitality	2.00	0.91	2.73	0.014
Height	21.56	19.40	1.22	0.232 ns
DBH	56.2	35.2	3.47	0.001

ns means non-significant

Table A4. Parametric regression analysis of habitat and Potential Natural Vegetation characteristics of *T. cordata* (n=59) and *T. platyphyllos* (n=29). An estimate of each effect of actual habitat as well as the Potential Natural Vegetation (PNV), with t-value and probability, are indicated.

Forest/PNV	<i>T. cordata</i>				<i>T. platyphyllos</i>			
	Estimate	Std. Error	t-value	Pr(> t)	Estimate	Std. Error	t-value	Pr(> t)
Intercept	0.990	1.130	0.876	0.383	-1.560	1.126	-1.387	0.169
Deciduous forest	-0.144	1.678	-0.086	0.932	0.989	1.274	0.774	0.441
Mixed forest	4.656	1.734	2.685	0.009 **	-2.552	1.266	-2.015	0.047 *
Coniferous forest	1.73	1.710	1.012	0.314	-0.524	1.256	-0.417	0.678
PNV Asperulo-Fagetum beech forests	-4.123	1.387	-2.973	0.004 **	2.192	1.008	2.175	0.032 *
PNV Alluvial forests with <i>Alnus glutinosa</i>	16.720	4145.175	0.004	0.997	-16.992	4145.175	-0.004	0.997
PNV Tilio-Acerion forests of slopes, screes and ravines	-18.412	4145.175	-0.004	0.0996	-16.992	4145.175	-0.004	0.997

PNV Luzulo-Fagetum beech forests	-4.847	1.604	-3.022	0.003 **	3.135	1.239	2.53	0.0131 *
PNV Luzulo-Abietetum silver fir forests	14.846	1196.610	0.012	0.990	-15.482	1196.609	-0.013	0.990
R- squared (Adjusted)	0.542				0.362			

Note: ** means $P < 0.001$, * means $P < 0.01$



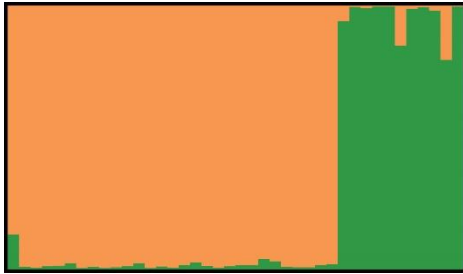


Fig 1A. Substructure within species, STRUCTURE result using 20 markers and $K = 2$, a) Top: *T. cordata* with no substructure; b) Bottom: *T. platyphyllos*, with green representing the 'small group' and orange the 'large group'.