

1 Sewage treatment at 4 °C in anaerobic upflow reactors with and without a 2 membrane – performance, function and microbial diversity

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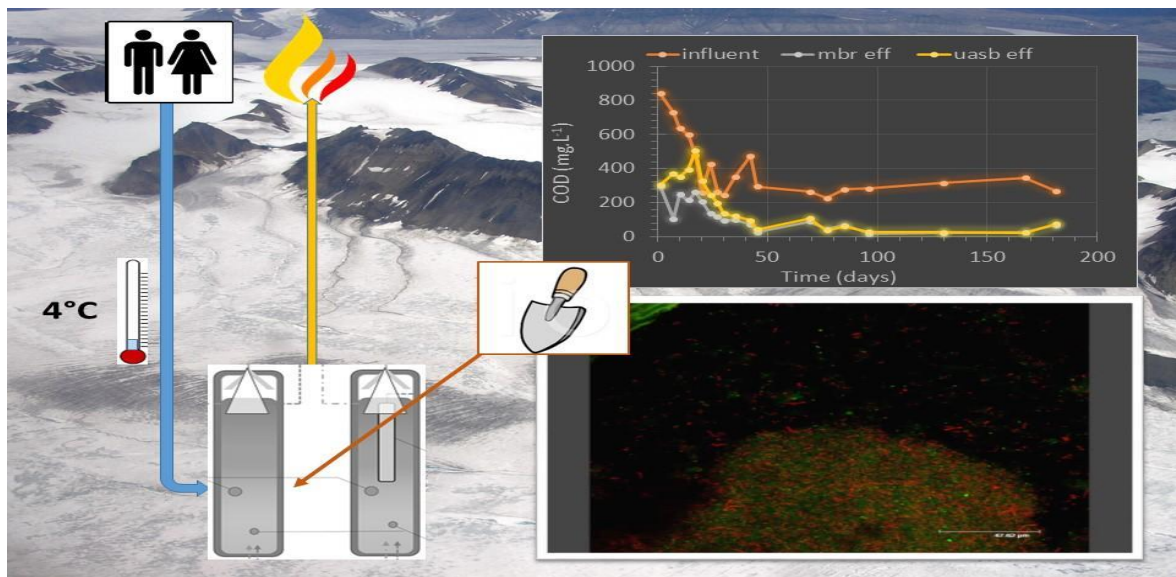
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8 **Abstract:** In this study, we investigated the feasibility of anaerobic sewage treatment at extremely low
9 temperatures (4°C) using two reactor setups: Upflow anaerobic sludge blanket reactors (UASB) without and
10 with (AnMBR_{UASB(UF)}) a membrane. Both reactors were inoculated with seeds derived from sediments that were
11 putatively acclimatized to low temperatures. A preliminary batch trial showed that treatment is feasible with the
12 removal of carbon coupled to methane and sulphide production. The reactors operated for 180 days at a
13 hydraulic retention time of 3 days. After 40 days acclimation, both systems met the EU chemical oxygen
14 demand (COD) effluent standard (<125.0 mg.L⁻¹). Initially, the removal efficiency and methane production rate
15 of the AnMBR were slightly higher than those of the UASB. However, over time, both the performance (COD
16 removal and methane production) and the intrinsic capability of the biomass (expressed as cell specific activity)
17 became similar. The wastewater-fed biomass produced <7.0 fmol_{CH₄}.cell_{methanogen}⁻¹.day⁻¹ at cell densities of
18 observation <1.4×10⁶ methanogens.mL⁻¹. Acetate/formate-fed specific methanogenic activities at 4°C (<18 fmol
19 CH₄.cell_{methanogen}⁻¹.day⁻¹) confirmed that acetoclastic methanogenesis is important in both setups and
20 hydrogenotrophic methanogenesis was only unequivocally observed in the UASB reactors. The microbial
21 diversity of the two systems was similar, and interestingly revealed several putatively hydrogenotrophic
22 methanogens (i.e., *Methanospirillum*, *Methanobrevibacter* and unassigned *Methanomassilococeae*).
23 *Methanosaeta*; the archetypal acetoclastic methanogen was present but not abundant and largely confined to the
24 biofilm. These observations suggest that at 4°C methane can be produced not only through direct acetoclastic
25 methanogenesis, but also through acetate oxidation coupled with hydrogenotrophic methanogenesis.

26 **Keywords:** *Low temperature; anaerobic treatment; anaerobic digestion; psychrophile; cold-adapted*



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29 1. Introduction

30 Water is a valuable resource,¹ wastewater, doubly so, as the waste in wastewater (just 1% by mass)
31 contains energy and other valuable resources.² In particular, the organic matter in a typical
32 wastewater has 16.1 kJ/g of chemical oxygen demand or COD.^{3,4} If this stored energy is not reused it
33 will be 'lost'.

34 Successful implementation of a "circular economy", in which all natural resources are used
35 sustainably and regenerated, relies on us solving the problem of that 1%.⁵

36 Wastewater, inevitably, requires treatment, before it can be reused (as per UWWTD 91/271/EC).⁶ In
37 temperate climates, domestic wastewater is mainly treated aerobically using technologies that not
38 only require energy (0.21 kWh.m⁻³ in Northumbrian Water Ltd, UK) but also tend to increase the
39 greenhouse gas (GHG) footprint of the water industry, rendering treatment a major environmental
40 polluter.⁷

41 Anaerobic treatment might be more sustainable.⁸ Using this technology, the organic fraction (usually
42 expressed either as COD or BOD (chemical or biochemical oxygen demand) is converted to methane-
43 biogas, which can then be used to generate energy.⁹ The treated effluent can be either discharged or
44 further polished depending on the prevailing standards (for example, COD <125mg.L⁻¹; UWWTD,
45 91/271/EC).⁶ Thus, anaerobic technologies can turn 'pollution' into a useful by-product with a
46 market value - a resource.

47 Although these anaerobic systems operate well in warm climate (>20°C),¹⁰ performance at lower
48 temperatures is regarded as problematic. Numerous studies have tried to adapt mesophilic
49 biomasses to low temperatures to tackle this issue, but many of them had issues, especially when
50 using real wastewater.¹¹

51 Other studies though had some success, especially after prolonged (>2 years) acclimation periods
52 using artificial wastewaters.^{12, 13}

53 The use of cold-adapted inocula has been reported to address the issue of prolonged acclimation
54 and unsatisfactory hydrolysis/methanogenesis at low temperatures.^{11, 14, 15} Assessing the biomass
55 using cell-specific activity as criterium showed that both hydrolysis and methanogenesis are feasible
56 at temperatures as low as 4 to 15°C. Indeed, although hydrolysis can be the rate limiting step,
57 especially at temperatures below 8°C¹⁶, operation is feasible at 'fairly' cold temperatures (15°C).¹⁷

58 This raises the question of which reactor format to use for the treatment of wastewaters at
59 extremely low temperatures. The AnMBR (Anaerobic Membrane Bio-Reactor) has been promoted in
60 numerous studies of low temperature anaerobic wastewater treatment.^{18, 19} The operational costs of
61 this technology²⁰ though make AnMBR's utility questionable for domestic wastewater applications;
62 there is simply not enough energy in domestic wastewater to run a membrane bioreactor.²¹

63 UASB reactors are a proven low-cost technology for the treatment of wastewaters: albeit so far only
64 in tropical climates.¹⁰ We wished to know if UASB will treat wastewater at 4°C. In so doing we were
65 mindful that the lower the temperature, the more solidified/crystallized the organic compounds
66 become.^{22, 23} Moreover, early work may have sidestepped this important practical issue by working
67 with synthetic wastewaters, lacking sulphate and indigenous (non-acclimated) bacteria.^{13, 19, 24, 25}

68 We therefore elected to compare UASB with and without a membrane, fed with really wastewater
69 at 4°C. We characterized the microbial community developed in the reactor(s) to understand which
70 consortia developed in each phase (MBR, mixed liquor). Cell specific methanogenic activities were
71 obtained *in situ* (wastewater-fuelled) to determine the intrinsic treatment properties of the biomass.

72 2. Methodology

73 2.1. Reactor setup and operation

74 *Reactor setup:* Two 1 L UASB reactors (Figure S1) identical to those described in Petropoulos et al.,
75 (2019)¹⁷ (height : diameter ratio: 1 : 6; height: 600 mm; upflow velocity: 0.6 m.h⁻¹) were seeded with
76 a cold-adapted inoculum (16.8 ± 3.0 gTSS L⁻¹ and 1.0 ± 0.1 gVSS L⁻¹ mixed liquor); the low VSS : TSS
77 (Volatile and Total Suspended Solids) reflects the origin of the biomass (soils/sediments rich in silt
78 and gravel) collected from Lake Geneva (N 46 o 23'04'', E 6 o 25'07''; (average temperature -11–17
79 °C)). The inoculum has been previously subjected to low temperature wastewater treatment trials
80 (published and un-published).^{16, 17, 24, 26} After a preliminary batch trial, one of the two UASBs, was
81 equipped with a polyvinylidene fluoride (PVDF) hollow fibre membrane (hydrophobic, pore size 0.1
82 µm) unit. Both reactors were equipped with a gasbag (Sigma Aldrich, UK) for gas storage, fitted with
83 a sample port. The hoses of both reactors were frequently cleansed to prevent biofilm formation. A
84 syringe was incorporated downstream of the membrane to allow evaluation of the resistance of the
85 membrane to safeguard the membrane against over-pressure and damage.

86 *Substrate:* Primary settled domestic wastewater was collected from Tudhoe Mill wastewater
87 treatment plant (WWTP) in County Durham, UK. This substrate was the same as that used in
88 previous studies by our group.^{16, 17, 24, 26, 27} The COD concentrations varied considerably (300 - 600 mg
89 L⁻¹), and the particulate fraction was rich in lipids. The substrate's volatile suspended solids (VSS)
90 heavily fluctuated, from 30 to up to 450 mg.L⁻¹.

91 *Operation:* At the batch-fed trial hydrolysis–fermentation, sulphate reduction, methanogenesis and
92 overall COD removal rates were estimated (as per Petropoulos et al., 2017)²⁴. The batch period
93 lasted for 19 days; then one of the UASBs received a membrane unit and converted to an AnMBR
94 reactor. The two reactors were fed continuously with the flow adjusted to deliver the required
95 hydraulic retention time (HRT) of 3.5 days. The upflow velocity was kept at 0.8 m h⁻¹. In the AnMBR,
96 the membrane flux (LMH) was set as 0.4 L.m⁻².h⁻¹. The increased HRT and the low LMH were selected
97 to keep clogging minimal and treatment relatively good, considering the low population present in
98 the inoculum. This operational regime reduced membrane backwashing and cleansing (30 mins per
99 day relaxation; backwash for 30 minutes every 2 HRTs for 30 minutes).

100 The starting sludge loading rate (SLR) was initially 0.2 kgCOD kgVSS⁻¹ per day (conc. of VSS in the
101 mixed liquor during start-up of 1.0 g.m⁻³); however, since the inocula were initially soils and
102 sediments rich in plant materials not all this VSS encompasses bacteria. From enumeration
103 (Petropoulos et al., 2019)¹⁷, we expect a population of ≈5 × 10⁷ cells per ml inoculum. Using a
104 bacterial mass of 10⁻¹² gVSS per cell this would correspond to a start up at an excessive SLR of 46 ±
105 1.5 kgCOD kg VS_{bacterial}⁻¹ per day. This operational variable may be far too increased for satisfactory
106 operation, but is expected due to the biomass' nature (sediment rather than anaerobic sludge).

2.2. Chemical analysis

Gas analysis: CH₄ in the headspace (gasbag) was monitored as % by volume using gas chromatography (GC). Gas samples (50 µl) withdrawn from the bag using a gas-tight syringe (SGE-Europe) were injected to a Carlo Erba HRGC S160 GC fitted with an FID detector and a HP-PLOTQ column (0.32 mm diameter, 30 m length and 20 µm film). The dissolved methane in both the mixed liquor and the effluent was also measured by quantifying (%) the formed methane from a 20 ml sample in a closed Wheaton vial (60 ml) after vigorous shaking at 25 °C. The conversion of the methane to energy calculation was based on the methane produced during the most steady operational phase (days: 85-181) as per Petropoulos et al., 2019.¹⁷

Solids: The VSS content of the biomass was estimated gravimetrically as per APHA, 2006.²⁸

Samples from the liquid phase were removed from reactors using sterile syringes and transferred to sterile 2 ml microcentrifuge tubes and then centrifuged (3 min at 13 000 × g) to obtain a supernatant for analysis. The supernatant was analysed by ion exchange chromatography.

Anions: SO₄²⁻ was measured after filtration (0.45 µm) in a Dionex, ICS-1000 ion chromatograph fitted with an AS40 automated sampler.

Flux: The membrane flux was estimated from the volume of the effluent that passed through the membrane in a 24 h period.

Carbon content: Total COD and soluble COD (sCOD) in the influent, effluent and mixed liquor were measured based on APHA, 2006.²⁸

2.3. Molecular analysis

Detailed procedure for microbial analysis including DNA extraction, qPCR and Illumina HiSeq sequencing analysis was similar to that previously described in Shamurad et al., (2019, 2019b).²⁹⁻³¹

DNA extraction: Biomass samples were obtained from the pellets formed after centrifugation of a mixture of mixed liquor and biofilm samples (3 minutes, 14 000 rpm of total vol. of 1 ml). This DNA extract was used for quantification. The mixture was generated after scraping the biofilm from the membrane, allowing it to drop into the mixed liquor. For sequencing, separate biofilm and mixed liquor masses were used for extraction. Total genomic DNA was extracted using a protocol based on CTAB and C₆H₆O:CHCl₃:C₅H₁₂O in which the addition of CHCl₃:C₅H₁₂O was carried out twice to minimize the presence of C₆H₆O in the sample; 2 ml Eppendorf tubes with phase lock gel® (VWR, UK) were also used to separate the generated phases (described at Petropoulos et al., 2019)¹⁷. The DNA extractions for qPCR enumeration were carried out on samples collected on days 6, 39, 50, 60, 68, 130 and 181, DNA for sequencing was only abstracted on the final experimental date after relatively stable operation with regard to feeding and microbial counts (as per cell enumeration (qPCR – see below). The quality of the DNA, prior to further analysis were found within 1.8 to 2.1 for the 260 : 280 and 230 : 260 ratios (Nanodrop (ThermoFisher, UK)). The quality control of each batch of DNA extraction was ensured by preparing blank DNA samples following the same sample-preparation and DNA extraction methods.

Sequencing: Sequencing of the extracted DNA was implemented at Earlham Institute (Norwich, UK) at an Illumina Hi-Seq. as per Kozich et al., (2013).³² Specifically, an Illumina HiSeq 16S rRNA (V4

146 region) gene sequence library (Earlham Institute, UK) was prepared as per the protocol provided by
147 Kozich et al., (2013).³² The amplification primers used in this protocol (F515/R806,³³) were
148 reappraised using the Silva database Test Prime tool³⁴ and were found to target 87% of all bacterial
149 sequences in the SILVA Ref NR database, a finding consistent with their wide use in 16S rRNA
150 community analysis. With respect to the coverage of the archaeal domain the primer pair was found
151 to target only 53% of total archaeal sequences, however, with respect to the Euryarchaeota which
152 encompass the lineages recognised to be methanogens in anaerobic environments and particularly
153 those responsible for biomethane production in AD reactors^{35, 36} the coverage was 88%, including
154 the orders *Methanobacteriales* (93%), *Methanococcales* (85%), *Methanomassiliicoccales* (82%),
155 *Methanomicrobiales* (92%), *Methanosarcinales* (90%), *Methanocellales* (90%) and the recently
156 described candidate order *Methanofastidiosales* (76%). The 16s rRNA gene data was processed via
157 'Quantitative Insights Into Microbial Ecology' (QIIME 1.9.1 pipeline (Caporaso et al., 2010)³⁷) as
158 described in Shamurad et al., (2019).²⁹⁻³¹ In QIIME2 a table of representative sequences (taxa) in the
159 samples was produced. Then, a feature table containing the frequencies of each taxon per samples
160 was produced by comparing the representative sequences with the taxon in the SILVA119 reference
161 database. There were more than 150000 sequences per sample, covering $\geq 90\%$ of the diversity.³⁸

162 The feature table data was used to visualise microbial diversity (alpha and beta diversity) and non-
163 metric multidimensional scaling (NMDS) on Unifrac distances (PCoA) using the phyloseq³⁹ (and
164 MicrobiomSeq⁴⁰ packages in R.⁴¹ Most of the bacterial sequences were not taxonomically assignable
165 below genus level. Therefore, in this manuscript, the discussion of bacterial composition is mainly
166 based on family and genus level with references to taxonomic levels at species levels where
167 appropriate.

168 *Enumeration:* for the preparation of the qPCR standards (*mcrA* gene), *Methanosarcina barkeri*
169 cultures were used as a point of reference organism (standards). DNA was extracted from cultures
170 using the MP-bio 'for soil DNA' extraction kit (UK) following the manufacturer's instructions. The
171 *mcrA* gene was amplified using the *mlas-f* primer.⁴² Amplifications, cloning, yielding, enumeration
172 and dilution were all carried out as per Petropoulos et al., (2019)¹⁷.

173 Quantitative PCR (qPCR) was used for the quantitation of methanogens and total bacteria in the
174 reactors. The methanogenic groups were quantified using functional gene primers (*mlas-f*, *mcrA-rev*)
175 for methanogens by using a previously described method by Steinberg and Regan (2008)⁴². The qPCR
176 took place on a CFX96 real-time PCR system (Biorad, UK) using 39 cycles. Reaction conditions and
177 reagents are given at Petropoulos et al., (2019)¹⁷. All qPCR reactions were performed in triplicates,
178 efficiency was calculated based on the standards' trend. Starting quantity (SQ) from the qPCR as per
179 gene copies per ml was converted to cells per ml.⁴³ For quality control, the blank genomic DNA
180 samples (see above) were analysed with each batch of Real-time PCR and Illumina sequencing
181 analyses.

182 2.4. Methanogenic activity assays

183 At the end of experimentation, the methanogenic activity of the biomass developed in the reactors
184 was evaluated in 100 mL glass vials (with a rubber borosilicate seal) using two direct methanogenic
185 substrates, acetate and formate, at concentrations of 1000 mgCOD L⁻¹. The biomass added was
186 adequate to achieve an assay F:M of ≈ 0.50 (gCOD:gVSS). The operational temperature of the assay
187 was selected as 4 and 37 °C as per the operational and the common assay temperature. Controls

188 with unamended biomass were also included (fed with distilled water). All treatments were
189 prepared in duplicate, prior to incubation, the pH was set to 7.0 ± 0.1 . Methane was measured twice
190 per day at 12 h intervals.

191 The results are expressed as activity per methanogenic cell, after a qPCR enumeration that was
192 carried out at the start of the assay (as per Petropoulos et al., (2019)¹⁷) as well as per gram of VS
193 (assay VS). For the activity fuelled from the wastewater, the same cell-based approach as above was
194 followed, where the methane produced through qPCR enumeration intervals was divided by the
195 average number of cells measured between the two data points (as per Petropoulos et al., (2017)²⁴)
196 – the experimental days for that were: 6, 39, 50, 60, 68, 130 and 181.

197 3. Results and discussion

198 3.1. Batch trials

199 Prior to continuous operation the reactors were operated as batch fed reactors with internal recycle,
200 to evaluate the salient process bio-conversion rates (hydrolysis/fermentation, sulphate reduction,
201 methanogenesis and COD removal). Overall, the initial performance showed that cold adapted cells
202 in the inoculum can utilize the substrate present in wastewater (Figure 1). The rate limiting step
203 during this trial was hydrolysis/fermentation, a result that was in line with those of previous batch
204 studies using similar cold-adapted inocula.²⁴ These scoping experiments showed that COD removal is
205 mainly a combination of methane production and sulphate reduction with a combined rate equal to
206 $12.0 \text{ mgCOD.day}^{-1}$. The importance and competence of the sulfate reducing bacteria (SRB) at low
207 temperatures has been previously highlighted by Virpiranta et al., (2019)⁴⁴ and Madden et al.,
208 (2014)⁴⁵ whilst their importance at wastewater treatment processes has been previously
209 commented by van den Brand et al., (2018)⁴⁶. The biological COD reduction processes accounted for
210 65% of the reduction, yielding a mass balance gap of $6.6 \text{ mgCOD.day}^{-1}$. Similar gaps have been
211 observed before,^{16, 17, 24} and were attributed to accumulation of un-hydrolysed matter, which is
212 challenging to detect since such compounds are usually associated with biomass and typically not
213 sampled for COD measurements.

214 3.2. Acclimation period

215 *Acclimation period:* After the initial batch fed period, the reactors were operated using a continuous
216 feeding strategy, incorporating a membrane unit (MBR) in one of the two replicates. Their operation
217 was monitored for 181 days. Operational consistency in most of the parameters monitored started
218 appearing from day 40 onwards. This presumably signified the initiation of acclimation of the cells to
219 both substrate and temperature.

220 3.2.1. Redox potential and pH during operation

221 Specifically, the reduction of the redox potential (ORP) to levels typical for anaerobic environments
222 was apparent after day 40 ($< -100 \text{ mV}$). The reduction was observed for both systems (UASB and
223 AnMBR), (Figure 2a), but at slightly different rates with the sharpest of the trends appearing for the
224 AnMBR – signifying faster acclimation over the UASB. The rate of the redox potential reduction was
225 approximately -1.28 and -1.43 .day^{-1} for the UASB and the AnMBR respectively. Overall, the redox
226 potential was higher than previously observed in similar methanogenic/sulphate reducing
227 bioreactors operating at low temperatures (i.e. $\approx -300 \text{ mV}^{47}$). Should the redox potential continue to
228 fall we anticipate that they would reach levels like those reported after no more than 200 days for

229 both reactor setups. The pH initially increased, indirectly indicating acids' utilization, and over time
230 stabilized at a pH 7.2 ± 0.3 (Figure 2a) which is optimal for anaerobic processes, and suggests that
231 expected hydrolysis/fermentation were limiting. An unexpected pH peak was observed on day 96, an
232 event that cannot be reconciled with any of the monitoring parameters and is likely related to the
233 wastewater nature.

234 3.2.2. Operational loading

235 From day 40 onwards, the OLR (Organic Loading Rate) was essentially constant (Figure 2b), at an
236 average of $0.1 \text{ kgCOD} \cdot \text{m}^{-3} \cdot \text{day}^{-1}$, or $0.2 \text{ kgCOD kgVSS}^{-1} \cdot \text{day}^{-1}$ as SLR (Sludge Loading Rate). This would
237 be relatively low for conventional mesophilic operation. However, a good deal of the biomass in the
238 reactor was expected relatively inert plant material. The load per bacterium was probably a great
239 deal higher. For example, for approximately 8×10^4 methanogenic cells $\cdot \text{ml}^{-1}$ (Figure 6) and assuming
240 10^{-12} grams VSS $\cdot \text{cell}_{\text{methanogenic}}^{-1}$ (Rittman and McCarty, 2001⁴⁸) the methanogenic sludge was 0.04
241 $\text{gVS} \cdot \text{m}^{-3}$. This corresponds to a methanogenic sludge volumetric loading (SLR) of approximately 2.5
242 $\text{kgCOD} \cdot \text{kgVSS}^{-3} \cdot \text{day}^{-1}$. This SLR is comparable with what McKeown et al., (2009)¹³ applied (0.4 - 0.5
243 $\text{kgCOD} \cdot \text{m}^{-3} \cdot \text{day}^{-1}$) at a methanogenic reactor, fed with VFA intermediates, at a similar temperature
244 and after 1150 days of operation (versus 40 days in this study). This highlights the advantage of
245 using cold-adapted inocula rather than adapting conventional mesophilic ones.

246 3.3. Continuous operation – Wastewater treatment and process limitations

247 3.3.1. Wastewater treatment

248 The COD effluent quality among the two systems was found equally good, especially after
249 acclimation (days 0-40) (Figure 3a). Specifically, after day 40 both systems had an effluent that
250 consistently met the COD regulations (UWWTD, COD: $<125 \text{ mg/L}$)⁶ with only the UASB requiring
251 some more time to reach this level. Similar were the results for sCOD (Figure 3b) where lysis of
252 particulate COD was slightly more robust in the AnMBR compared to the UASB (Figure 3c, 3d) and
253 this apparently assisted in the formation of a diverse biofilm. The effluent COD between the two
254 systems differed only prior (day 40). This suggests that after acclimation both systems are capable in
255 removing the COD that could “realistically” be removed; hence, for both AnMBR and UASB the OLR
256 could have been increased from this point without risking effluent quality. The status of the solids in
257 the effluent followed a similar trend with robust efficiency for the AnMBR (Figure 3e). Increased
258 solids' concentration at the early stage for the UASB indirectly indicates the likelihood of biomass
259 washout, a conjecture also supported by the qPCR results presented later. Surprisingly though, some
260 solids also appeared in the AnMBR effluent. This may be related to small macromolecules, proteins
261 and/or lipids having passed through the membrane. The COD removal efficiency obtained here
262 means that in practice when the effluent is further treated for ammonia removal, additional input of
263 oxygen for the removal of already removed COD and sCOD (and subsequently BOD in domestic
264 wastewater,²) is not required, reducing the theoretical input of oxygen by $1.5 \text{ kgO}_2 \cdot \text{kgBOD}^{-1}$.⁴⁹

265 Inclusion of a membrane in a UASB accelerates start-up but comes with an increased CAPEX (capital
266 cost). However, membranes can reduce operational cost especially during start-up, as in many cases
267 collection and treatment of sub-standard effluent (until the consent is met) will be required. Hence,
268 a key factor of an MBR setup at low temperatures is solely the trade-off between membrane cost

269 and cost for effluent trucking during the start-up; after that a membrane is no longer necessary (as
270 also has been demonstrated at 15°C).¹⁷

271 In the AnMBR the mixed liquor contributed to the COD treatment by 54.0±8.9%, whilst the rest of
272 the organic matter was removed by the biofilm (based on COD inlet, COD mixed liquor (ML) and COD
273 effluent). The mixed liquor removal mechanism for the UASB contributed to the overall treatment by
274 87.1±9.9% (whilst the rest was removed in the upper part of the UASB). Similarly, for the sCOD,
275 55.5±8.8% and 70.3±4.8% was removed by the mixed liquor of the AnMBR and the UASB
276 respectively (Figure 3a, b, c and d in the manuscript). This shows that the biofilm on the membrane
277 was active; however, the activity was not as critical as previously observed by Smith et al., (2014)¹⁹.
278 This may be attributed to the long HRT as well as the low LMH that allowed most of the treatment to
279 take place in the mixed liquor.

280 Throughout operation, granulation was not apparent, and indeed not expected, due to the
281 operational temperature and loading.⁵⁰⁻⁵² In principle, granulation at low temperature is feasible, but
282 the slow metabolic rates negatively impact cell-based agglomerates and making their preservation
283 challenging.⁵³

284 3.3.2. *Gases production and energy balance*

285 Methane production rate had an increasing pattern over time for both AnMBR and UASB. The two
286 systems operated equally well with the 1st achieving a slightly faster acceleration as per Figure 4a.
287 The rates were improving by a rate of 0.0035 and 0.0031 mmol per HRT for the AnMBR and UASB
288 respectively (R^2 shown on the corresponding figure). As expected, at this temperature a large
289 amount of methane was found in the effluent (14.6±5.2 and 22.9±9.6% for the AnMBR and the UASB
290 respectively). This amount was higher than what was expected at equilibrium conditions whilst
291 similar phenomena were observed at Smith et al., (2013)¹⁸. The increased dissolved methane in the
292 UASB begs the question whether the AnMBR partially operates as a gas stripping mechanism that
293 increases available biogas, plausibly due to the microturbulence generated around the membrane
294 due to a pressure drop over the membrane resulting a gas stripping (from the fluid trying to pass
295 through bacterial colonies, EPS (extracellular polymeric substance) and other particles.

296 Sulphate reduction contributed in the COD removal as expected,⁵⁵ also shown at the preliminary
297 batch phase. On Figure 4b it is observed that sulphate reduction was higher at the AnMBR compared
298 to the UASB, this is likely related to the SRB (Sulphate Reducing Bacteria) originated from the
299 wastewater that due to the membrane remain in the system, acclimate and contribute to treatment
300 (whilst in the case of the UASB such cells will plausibly shock from the conditions and washout (ΔG^0 -
301 47 compared to -31 kJ per sulphate reduction and methanogenesis respectively).

302 The overall methane production from both systems was poor, with only the UASB able to almost
303 reach energy neutrality (-0.001±0.012 kWh.m⁻³, as compared to the AnMBR with -0.3113±0.006
304 kWh.m⁻³) – under the assumption that all methane in the effluent can be recovered (detailed
305 references and life cycle assessment in Appendix Table S1). In the scenario where the SO₄ in the
306 influent is depleted or not absent, the balance becomes positive for the UASB, the AnMBR though
307 remains energy negative (0.0664±0.025 and -0.288±0.024 kWh.m⁻³ respectively). This highlights that
308 the COD in the domestic wastewater that can be methanised at 4°C will generally not be sufficient to
309 support energy neutrality in the case of advanced treatment (i.e. with membranes). Employing more

310 simple setups (i.e. UASB) can be a viable option, especially as this anaerobic (pre-)treatment
311 technology does not require energy for oxygenation, which was kept out of the above calculations.

312 3.3.3. Cell-specific WW treatment rates

313 The average WW-fuelled cell-specific methanogenesis rate (Figure 4c) of the continuous phase was
314 found comparable to the one achieved by Petropoulos et al., (2017)²⁴ also at low temperatures (5.93
315 and 6.93 fmol_{CH₄}.cell⁻¹.day⁻¹ for AnMBR and UASB respectively) where again a cold-adapted inoculum
316 was used. The improvement was more noticeable for the UASB-originated methanogenic activity.
317 These methanogenic rates are evidently higher with those typically achieved in conventional
318 mesophilic (37°C) digester);²⁹ however, in our case the methanogenic abundance was lower
319 (denominator, numerator is the methane production).

320 3.3.4. Treatment rates

321 The average rate of methanogenesis during the continuous phase, including start-up was similar to
322 those from batch phase (5.48±0.87 and 5.21±0.49 mg.L⁻¹.day⁻¹ for the AnMBR and UASB
323 respectively). For hydrolysis/fermentation though, the rate increased (3.91±2.43 and 4.52±3.1 mg.L⁻¹.
324 day⁻¹ for the AnMBR and UASB respectively) from almost zero during the batch. This highlights the
325 enrichment of hydrolysers over time and the fact that organisms that treat at 4°C do exist, number
326 and acclimation is subjected to time.

327 Due to the high error bars (rates above) it is unclear whether AnMBR or UASB hydrolysis occurred
328 consistently faster. The status of the COD and sCOD in the mixed liquor of the two systems (Figure
329 3c, 3d) indicates that hydrolysis of particulate matter in AnMBR is increased compared to for the
330 UASB. This is expected considering that more of the metabolically active cells remain in the reactor.
331 Another interesting observation is the abundance of *Bacteroidales* (also shown later), a robust
332 hydrolyser,⁵⁶ on the biofilm. The overall picture though shows that hydrolysis is crucial in these
333 temperatures but AnMBR has clear advantage over UASB only during the start-up.

334 3.3.5. Mass balance

335 Mass balances for both systems (Figure 5a, 5b) indicated the presence of a high COD gap that is a
336 typical issue at low temperature operation. This is typically attributed to unhydrolyzed particulate
337 matter that typically occurs in such systems operating at low temperatures^{14, 15, 57, 58} and previously
338 investigated for the current inoculum.¹⁶

339 It is crucial that the rate of gasification via methane or sulphate reduction increases over time (to
340 claim treatment and prevent solids' build up). The rates of methane production in the mass balance
341 were increasing faster than the sulphate reduction rates, a fact that might need further investigation
342 since this is thermodynamically unfavourable (plausible syntrophic interaction). The increase of the
343 number of the methanogenic cells though support this finding (Figure 6). The rate of increase of
344 methanogenesis in the balance is slightly higher for the AnMBR compared to the UASB (trends of:
345 AnMBR 2.2% increase day⁻¹; UASB 1.99% increase day⁻¹ (Figure 5a, b)). Overall, only a small amount
346 of organic matter turns into methane during the start-up of the reactors where the larger amount is
347 utilized for sulphate reduction (SRB). This is reversible for the UASB due to the fact that mainly
348 resilient cells remain in the reactor and adapt to the conditions.¹⁷

349 Interestingly, gas formation/sulphate reduction peaks between the two systems were aligned
350 signifying the importance of the wastewater composition in biodegradability and indirectly showing
351 that the qualitative parameters in the reactor (diversity) is similar, the quantity of the cells may not.
352 Thus, acclimation is related to the number of cells that can only increase at optimal conditions.

353 3.3.6. *The membrane operation - flux*

354 No significant reduction in the flux during the operation appeared. Throughout the operation, over
355 the 181 days, the flux only reduced by less than 10ml per square meter per HRT. This is considerably
356 lower than what was previously observed by Petropoulos et al., (2019)¹⁷ using a similar setup but at
357 a high operational flux and temperature. Higher operational flux can be maintained at such
358 temperatures;^{18,19} however, gas sparging may be required to mitigate fouling. Generally, this
359 observation highlights the importance of the conservative flux for sustainable operation of
360 membrane reactors at low temperatures.

361 3.4. Microbial diversity and dynamics

362 3.4.1. *Methanogenic cell enumeration*

363 The archaeal community grew faster in the AnMBR reactor during start-up (Figure 6 (< 120 days)).
364 The UASB took longer to reach similar numbers of methanogens. This is presumably due to the
365 presence of the membrane, which acts as a barrier, retaining the cells in the mixed liquor.^{17,20} In the
366 UASB, partly acclimated or less competitive cells inevitably would most likely wash out, especially
367 under challenging conditions where the substrate is limited (diluted wastewater) and becomes even
368 more scarce when only part of it readily available (i.e. presence of un-hydrolysed/non-degradable
369 material) and the cell abundance higher. This was evident in the early stages of the experiment (Days
370 6-39), where the UASB lost some of the methanogenic cells, whilst the AnMBR during the same
371 period achieved clear growth.

372 Generally, the overall number of methanogens was low. Populations in upflow reactors are typically
373 $\approx 10^9$ methanogens.ml⁻¹,^{59,60} whilst, there is no reason, in principle, why these numbers cannot be
374 reached in such reactors under such conditions, but it could take a very long time (>590 days as per
375 the equations from Figure 6). However, much lower numbers can lead to satisfactory treatment if
376 the cell specific activity is high enough.²⁴ It is not yet known what is the maximum capacity of the
377 reactor with regards to community size as a plateau in the methanogenic population has not been
378 observed; however, the lack of lag-phase in both cells or mass balance has not appeared, underlining
379 the slow growth at such conditions.

380 The methanogens in the AnMBR, grew consistently whilst in the UASB growth rates fluctuated.
381 Fluctuations are typical in biological reactors operating under harsh conditions where acclimation is
382 essential.¹³ In lab-scale, fluctuations after acclimation is not expected as conditions are consistent, in
383 reality though, conditions vary (diurnal and seasonal cycle), thus, acclimation must be rapid to cope
384 with treatment.

385 3.4.2. *Bacterial diversity in anaerobic reactors treating actual wastewater at 4°C*

386 The quality-filtered 16S rRNA sequence libraries provided an average of 1,048,000 reads. The
387 number of sequences in the highest and smallest libraries ranged between 35000 and 89000. Qiime2

388 pipeline analysis of the sequence libraries identified a total of 12850 bacterial taxa (accounting for
389 ~95% of sequence reads) and 142 archaeal taxa (~5.0% of reads).

390 There were little or no detectable differences between diversity indices in the mixed liquors in both
391 kinds of reactors. However, the diversity (richness) of the MBR biofilm was significantly lower ($p <$
392 0.05) than the MBR mixed liquor (Figure 7a, b). The diversity indices indicated that the community of
393 the wastewater was significantly lower in diversity (Figure 7a, b) and distinct ($p < 0.05$) from (Figure
394 7c, d) that of the reactors.

395 The composition of the archaea and the bacteria in the UASB and the MBR were reproducible within
396 the replicate samples taken (Figure 7 c, d; and S2a, b). There were small, but clear differences,
397 between the bacterial, but not in the archaeal composition of the reactors (Figure 7 c, d; Figure 8a, b
398 and S2a, b). We cannot say with certainty that treatment relied on biofilm since as we will see
399 above the treatment efficiencies between AnMBR and UASB were not tremendously different,
400 confirming that treatment can be independent of reactor regime after satisfactory acclimation.^{17, 24}
401 This explains why bio-augmentation could work when the inoculum amended is specialized on the
402 operational conditions (i.e. Cui et al., (2014)⁶¹ at 10°C).

403 However, archaea in the biofilms were the exception and were, for some reason, different. The
404 treatment communities in all reactors remained relatively distinct from the wastewater community,
405 suggesting that, even after 180 days, the latter had not had a substantial effect on the former.

406 Generally, the bacterial families dominating the reactor samples were *Rhodocyclaceae*,
407 *Comamonadaceae*, *Anaerolinaceae*, *Xanthomonadaceae*, and unassigned *Bacteroidales* (Figure 8a;
408 further details on Figure S4 (top 20)). Most of these families are, as mentioned, common families in
409 anaerobic digesters. *Rhodocyclaceae* is a common family able to produce H₂ with main presence in
410 the biofilm.⁶² This indirectly highlights that syntrophic interactions may be promoted in the biofilm⁶³
411 considering that typical hydrogenotrophs (i.e. *Methanomicrobiales*, *Methanosarcina*) were abundant
412 mainly in the biofilm. *Anaerolinaceae* on the other hand is a typical acetate producer cell,
413 intermediate that can be utilized by both acetotrophic methanogens (i.e. *Methanosaeta*) that were
414 abundant in both mixed liquor and biofilm but also sulphate reducers (i.e. *Costridiales* and
415 *Desulfovibrionaceae*; *Longlinea* at a genus level) (Figure 9a). *Xanthomonadaceae* were abundant in
416 the mixed liquor, heterotrophs able to produce EPS (xanthan) involved in biofilm formation;⁶⁴
417 however it is a surprise they were in low abundance at the biofilm. Interesting is the predominance
418 of *Bacteroidales* in the biofilm, a family with reputable hydrolytic activity, especially for substrates
419 like lignin and lipids;⁵⁶ lipids is a common bottleneck in such temperatures¹⁶ with some technological
420 breakthroughs appearing only recently.⁶⁵ Interesting is the presence of the *Sulfuri* genus at the MBR
421 reactor, especially on the biofilm, this may be the cause of the increased Sulphate reduction at the
422 AnMBR setup; this genus was not present at the wastewater. From the top 20 families/genus,
423 nothing unique was observed in the wastewater, however, communities present in the reactors
424 were not in high abundance in the substrate. Interestingly, the presence of the *Comamonadaceae*, a
425 typical VFA-oxidizer⁶⁶ in anaerobic digesters was observed predominating at the AnMBR setup, as
426 also observed by Vincent et al., (2018).⁶⁷

427 3.4.3. *Composition and dynamics of the archaeal community*

428 Figure 9b shows that the archaeal composition of the reactors was mainly dominated by five
429 assigned archaeal genera: *Methanosarcina Methanospiri*, *Methanobrevibacter*, *Methanosaeta*,
430 *Methanobacter*, *Methanosarcina* and *Methanomethylovorans*, and three unassigned archaeal
431 genera of *Methanomassilliococcaceae*, *MBGB*, and a *Candidatus*, consistent with the core archaeal
432 microbiome of anaerobic digestion.⁶⁸ Interestingly, *MBGB* genus, a Crenarchaeotal-related lineage,
433 was found abundant on the biofilm, in low density from the mixed liquor and absent wastewater.
434 *MBGB* has been previously reported as an organism that operates in the sulphate-methane
435 transitional zone,^{69, 70} and is capable of utilizing complex intermediates.⁷¹ This could provide AnMBR
436 with a hydrolytic advantage compared to the UASB. Similarly, *Methanomicrobiales*, *Methanosarcina*
437 and *Candidatus* were abundant in the biofilm than in sludge of MBR and UASB. Other methanogens
438 found on the biofilm were, *Methanomethylovorans*, and *Methanomicrobiales*, most of them typical
439 hydrogenotrophs, interacting with the hydrogen producing bacteria. At the mixed liquor of both
440 setups, hydrogenotrophic and acetotrophic methanogens like *Methanospirillum* and *Methanosaeta*
441 respectively were detected revealing the double route of carbon to methane.

442 3.4.4. Cell-specific activity (biodegradability and methanogenesis)

443 Cell specific activity at 4°C showed a significant differentiation between the methane production
444 rates achieved from the cells of the AnMBR and the UASB (Figure 9). The main difference was that
445 hydrogenotrophic methanogenesis can be achieved at higher rates from the cells originated from
446 the UASB than those from the AnMBR (net rate of 1.0 and 0.0 fmol_{CH₄}.cell⁻¹.day⁻¹ respectively),
447 observation aligned with what was showed previously at 15°C.¹⁷ This disagrees with what was
448 observed at the sequencing where hydrogenotrophs were predominating both reactors. This can be
449 justified by a) either the hydrogenotrophic rates are low compared to the acetotrophic; or b) the
450 overall active cell numbers are low; or c) more complex pathways are involved including acetate
451 oxidation followed by hydrogenotrophic methanogenesis (as directly hydrogenotrophic
452 methanogenesis may not be favourable in the presence of sulphate reducers). Essential is that as
453 expected, methanogenesis is feasible when fuelled with direct intermediates, even at temperatures
454 as low as 4°C, from microbial communities that can be developed in conventional wastewater
455 treatment setups. Comparing the activity rates between WW- and VFA intermediate- fuelled
456 methanogenesis we observed a difference of up to two-folds. This highlights the impact of hydrolysis
457 imitation in such cold conditions.

458 At 37°C the pattern did not change, with acetotrophy the predominant pathway and
459 hydrogenotrophy mainly achieved from the cells originated from the UASB but not at a net rate
460 (removing the activity from the unamended) (Figure 9). Interestingly, hydrogenotrophic
461 methanogenesis is mainly feasible at 4°C whilst at 37°C the activity is 'covered'. This signifies that
462 cold-adapted methanogens can be developed in more dynamic setups, like the UASB, where sludge
463 washout of less acclimated species is feasible (observation aligned with qPCR data in this study as
464 well as with Petropoulos et al., 2019)¹⁷.

465 Comparing the results with those of Petropoulos et al., (2019),¹⁷ we see that the two systems, as
466 expected, operate slower at 4°C compared at 15°C. When at 37°C though the activity from the
467 inoculum acclimated at 4°C is up to 8 times higher than at 15°C (reference as above). This supports
468 the hypothesis that acclimation at low temperatures forms a robust methanogenic community that

469 can operate as well or better than biomass originally acclimated at higher temperatures. Similar
470 behaviour has been observed for lipid hydrolysers enriched at low temperatures.¹⁶

471 3.4.5. *Implementing low temperature anaerobic wastewater treatment at low temperatures*

472 Low temperature anaerobic wastewater treatment is challenging; after the removal of the organic
473 matter in the main reactor, some downstream processes are still required (i.e. strip of the dissolved
474 methane from the effluent). Often, additional processes for the removal of inorganic nitrogen are
475 necessary. Nitrifying organisms may find it challenging to cope with low temperature, hence,
476 extensive MBBR (moving bed bio-reactors),⁷² often densely populated with anammox cells,⁷³ or
477 other even more innovative hybrid approaches⁷⁴ are required. Tailored research focussing on these
478 downstream processes is indispensable if low temperature wastewater treatment is to become a
479 realistic bio-engineering approach for sustainable water purification.

480 4. Conclusions

481 Continuous anaerobic treatment of domestic wastewater is feasible at temperatures as low as 4°C.
482 Under these harsh conditions, treatment efficiency and rate of methanogenesis were not affected
483 by the introduction of a membrane in a UASB setup and treatment is dependent on the biomass.
484 Microbial community analysis in the UASB with and without a membrane confirmed the congruence
485 of the two set-ups. The only, and striking, difference between the two was the microbial community
486 on the membrane itself, which was markedly different from the communities in the mixed liquor.
487 Conspicuous presence of *Methanosaeta* and *Methanosarcinales* suggests that acetoclastic
488 methanogenesis was more prevalent on the membrane and by inference suggests that the acetate
489 oxidizing pathway was prominent in the mixed liquor of the two systems. The presence of a
490 distinctly different microbial community on the membrane is expected to make the system more
491 efficient and resilient, but this seems irrelevant to cold conditions.

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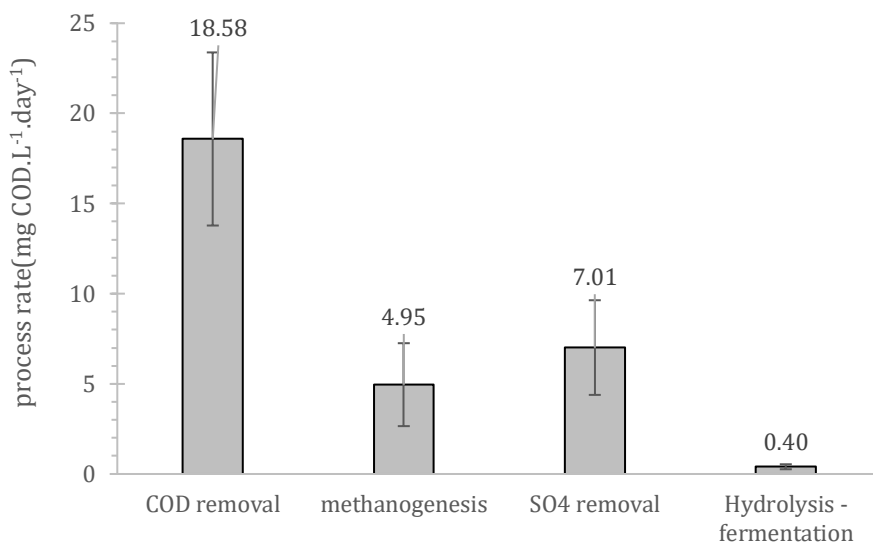
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704 **Figures**

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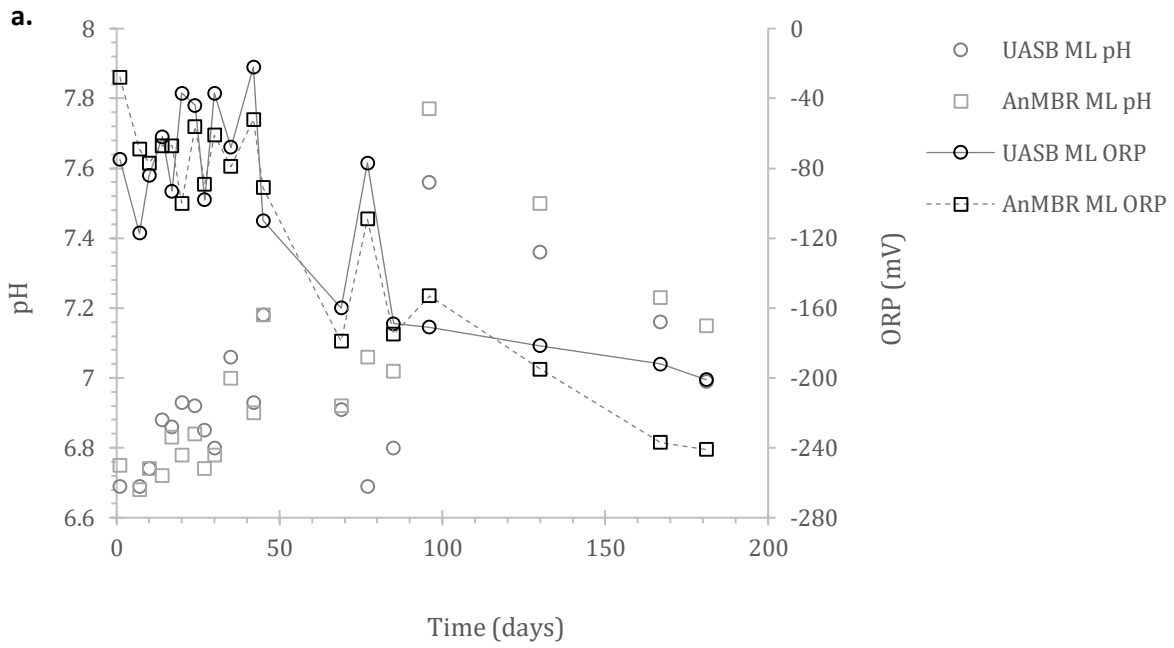
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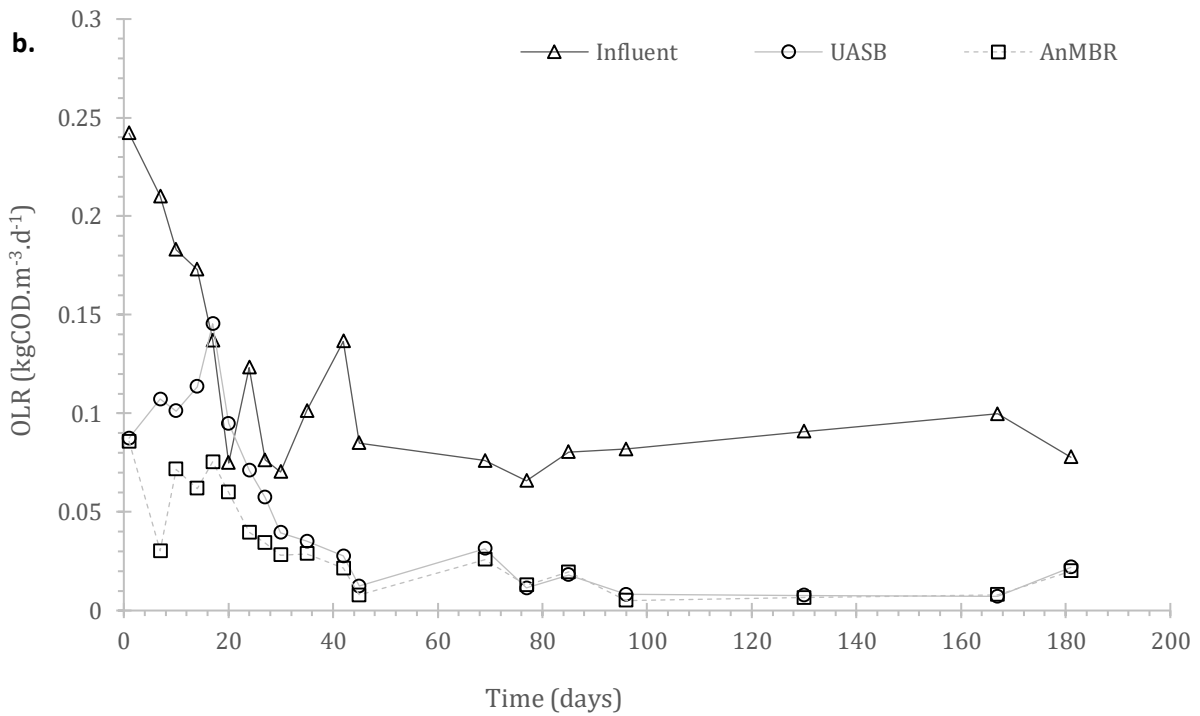
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708 Figure 1 – Average daily process efficiency rates obtained during the batch fed operation of the UASB reactors
709 (2×); processes refer to (from left to right): overall COD removal rate, methane production rate
710 (methanogenesis), sulphate reduction rate (SO₄ removal), hydrolysis/fermentation rate; error bars refer to
711 standard error (n=4 (duplicates samples per replicate UASB reactors)).

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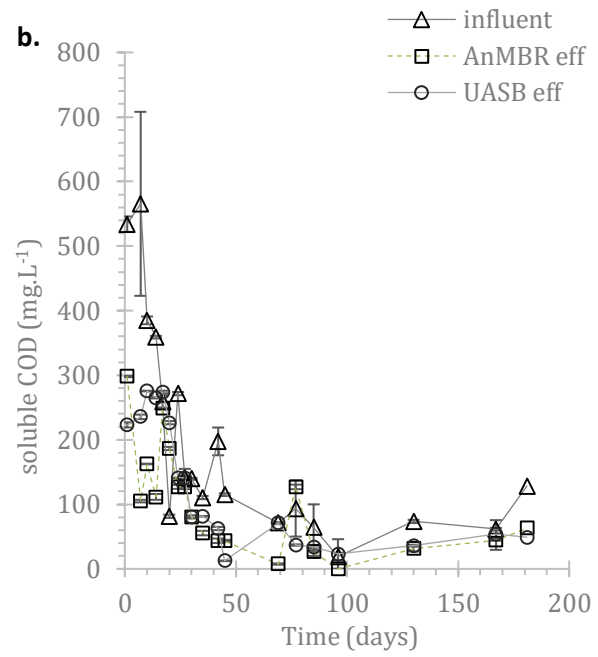
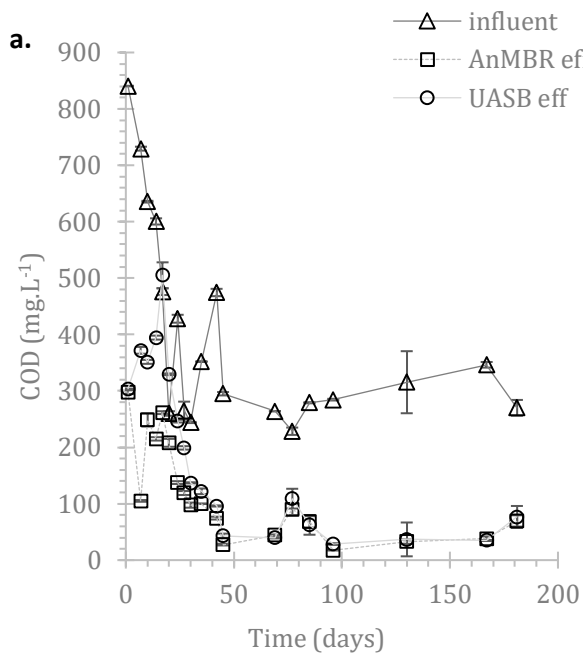
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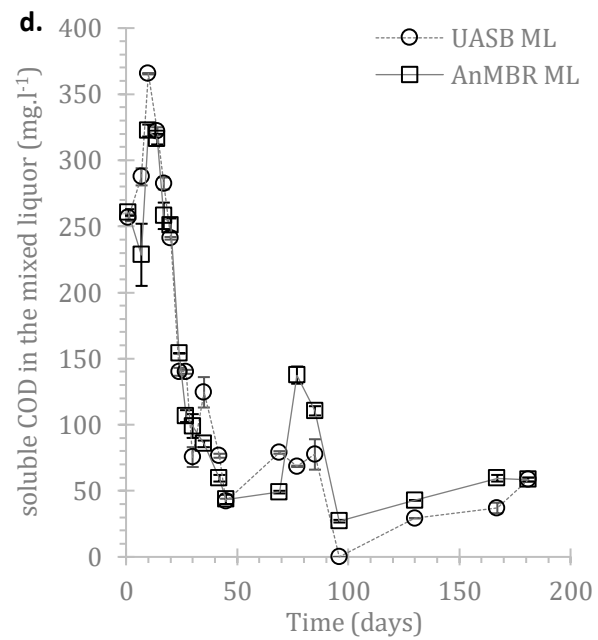
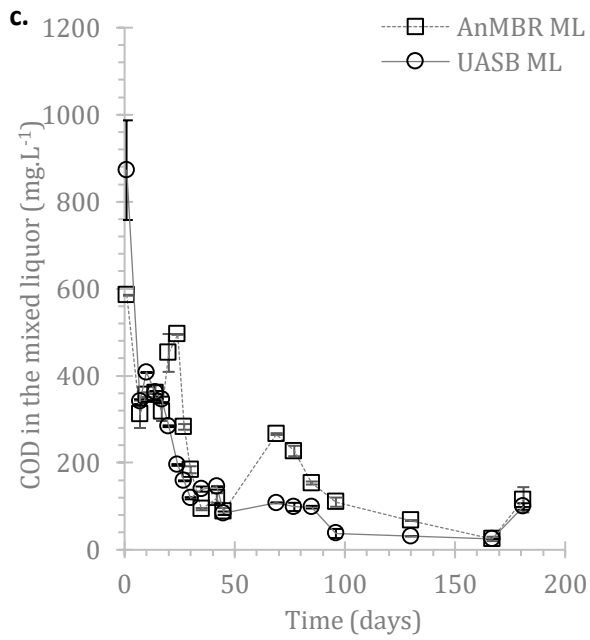
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715 Figure 2 – Time series of a) redox potential (ORP) and pH in the two reactors; and b) the organic loading rate
 716 (OLR) in the two reactors (the effluent is expressed as OLR for scenarios of downstream tertiary treatment).

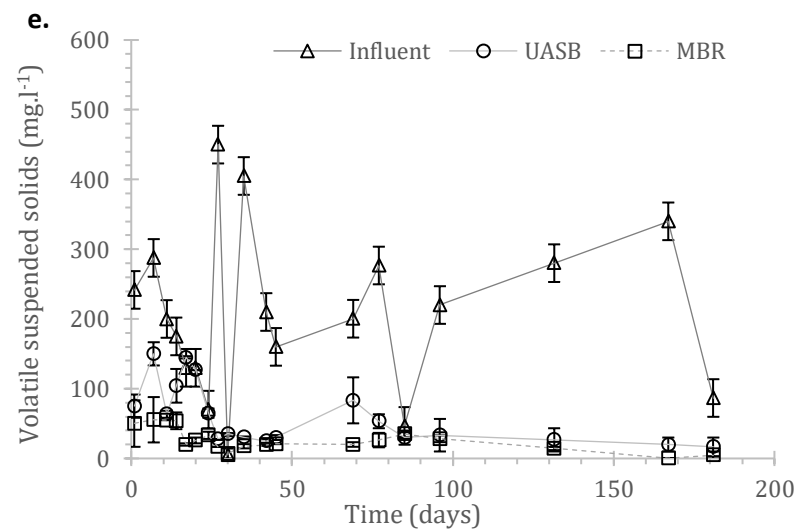
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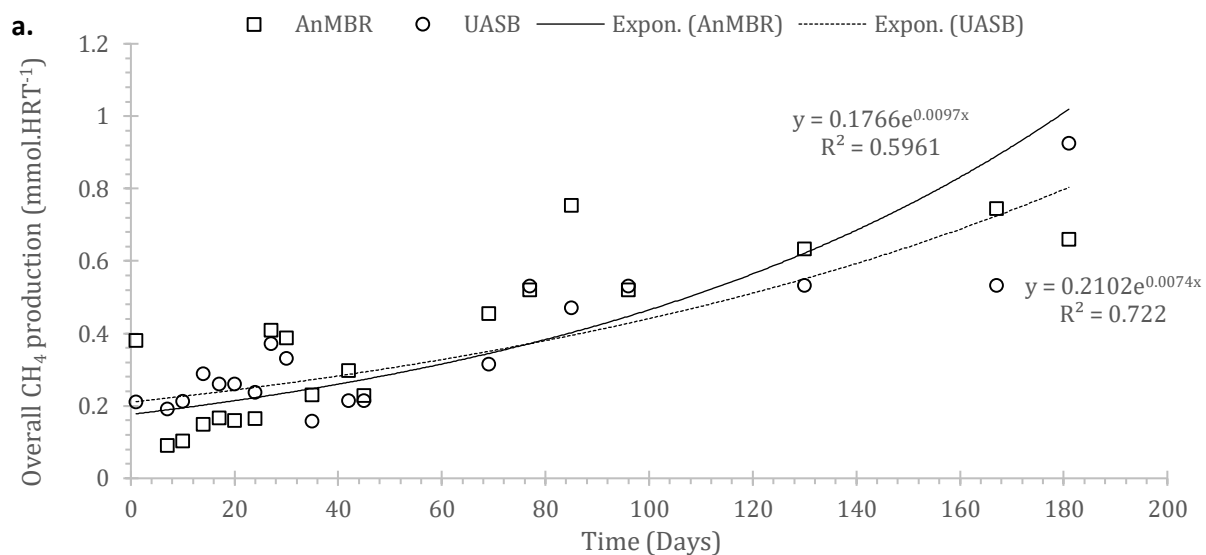


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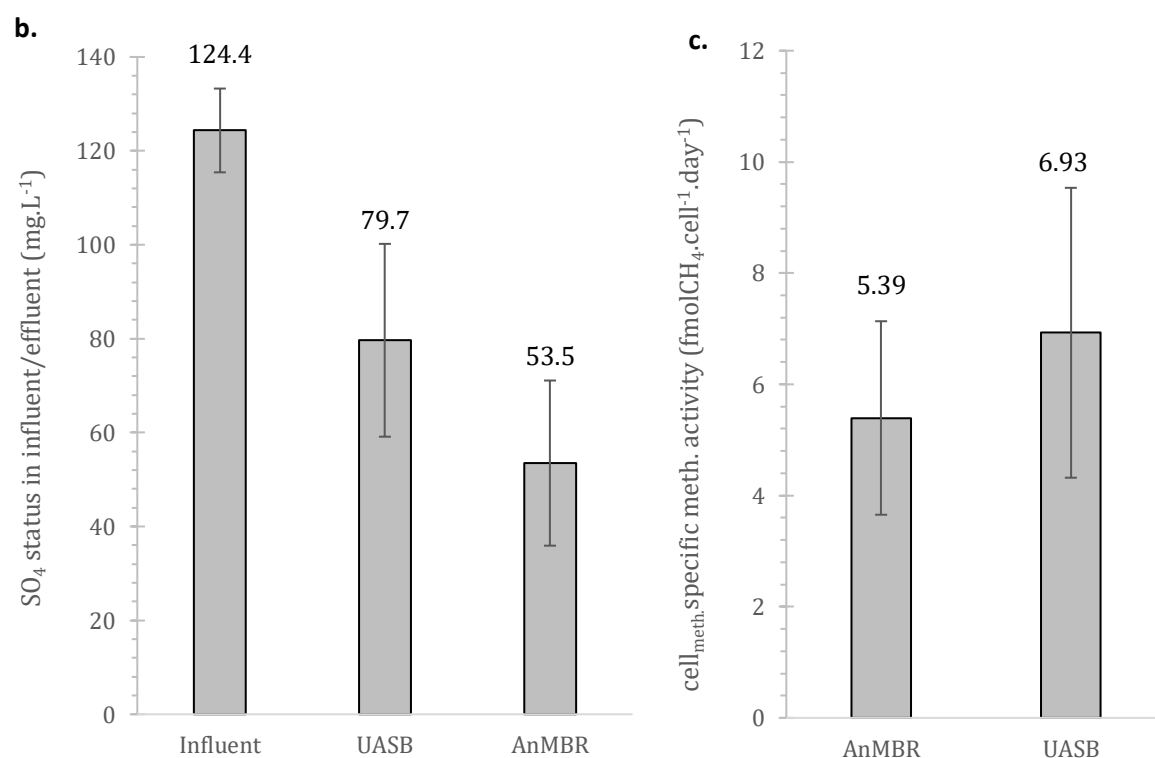


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Figure 3 – Evolution of the influent and effluent a) COD and b) sCOD; similarly, mixed liquor c) COD and d) sCOD; and e) the status of the solids in both influent and effluent; all error bars stand for standard errors, $n = 2$



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722

723 Figure 4 – a) Methane (CH₄) production rate expressed as volume over time for both systems (AnMBR and
 724 UASB); methane is a summation of the gaseous methane in the headspace as well as the aqueous methane in
 725 the effluent and the mixed liquor); b) average sulphate concentration for the influent and the effluents of both
 726 the AnMBR and UASB (error bars stand for standard error; n = 16); c) methanogenic cell specific wastewater-
 727 fuelled methanogenesis rates for the two treatment systems (error bars stand for standard error; n = 7).

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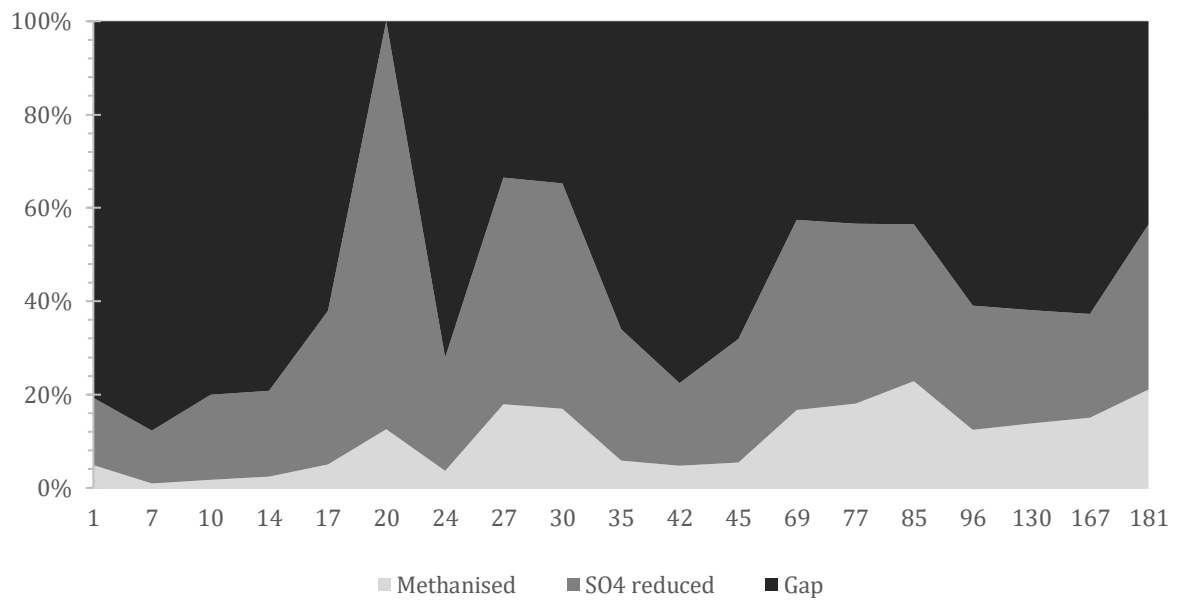
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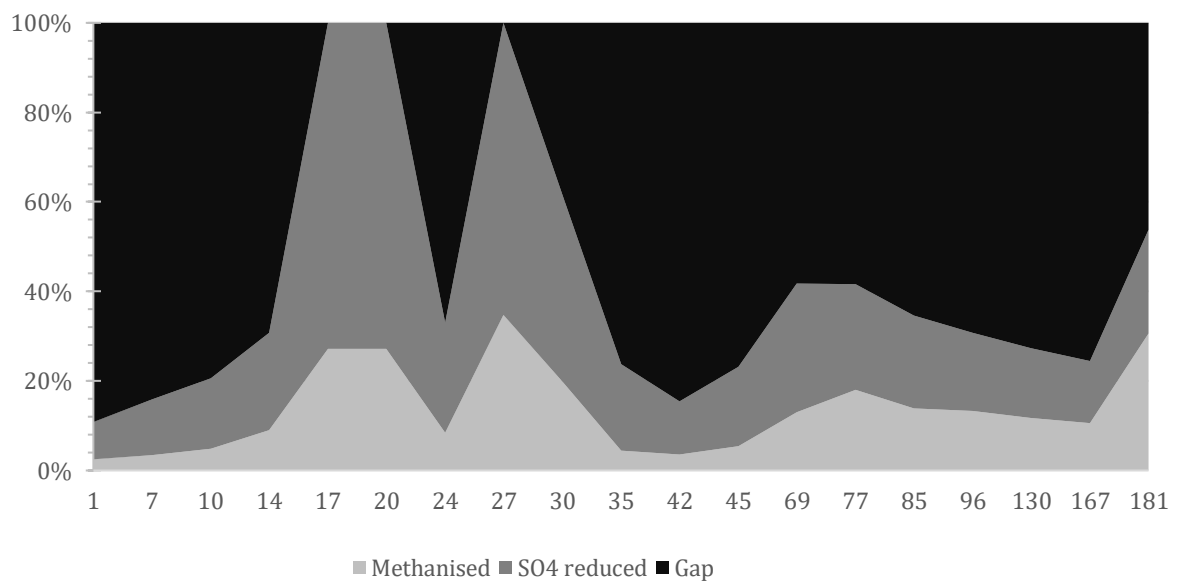
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a.



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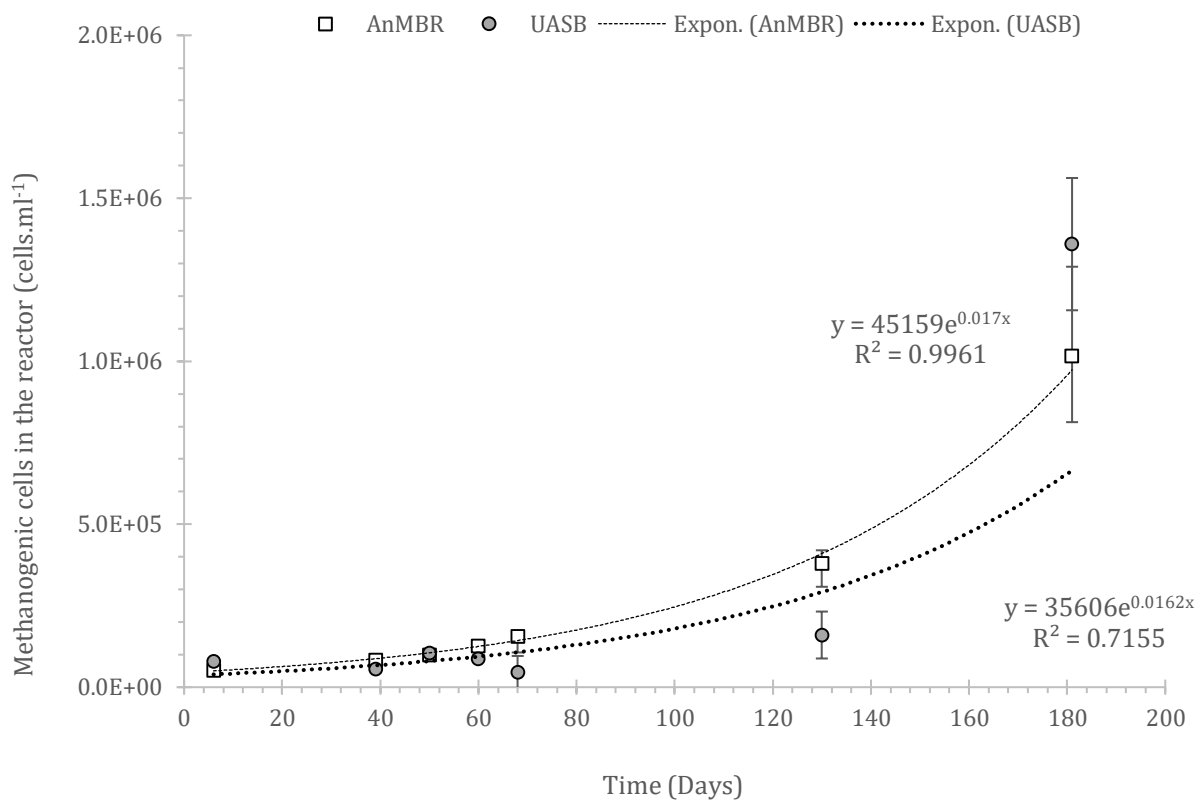
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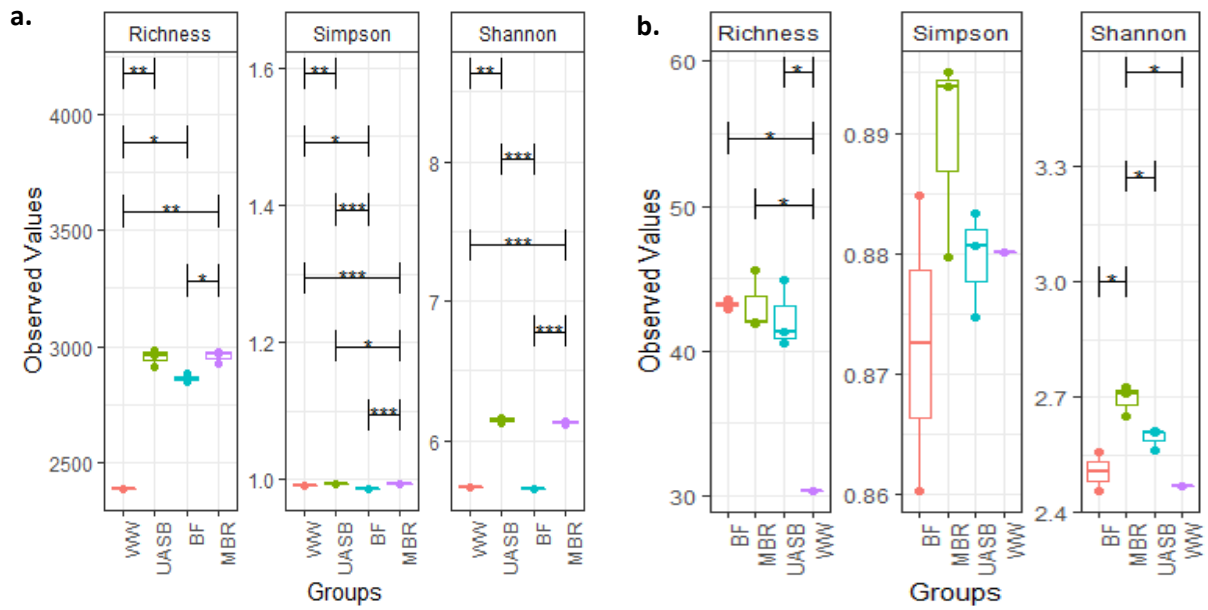
735 Figure 5 – mass balance with focus on i) COD methanised; ii) COD used for sulphate reduction; and iii)
736 presumably accumulated/un-hydrolysed COD for the a) AnMBR and b) UASB reactors.

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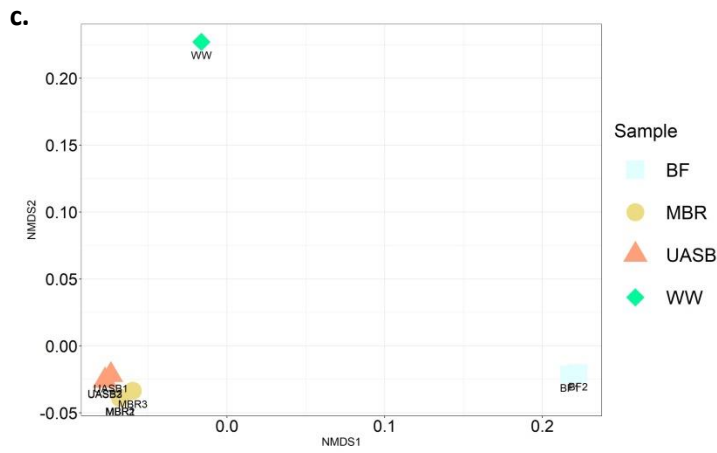


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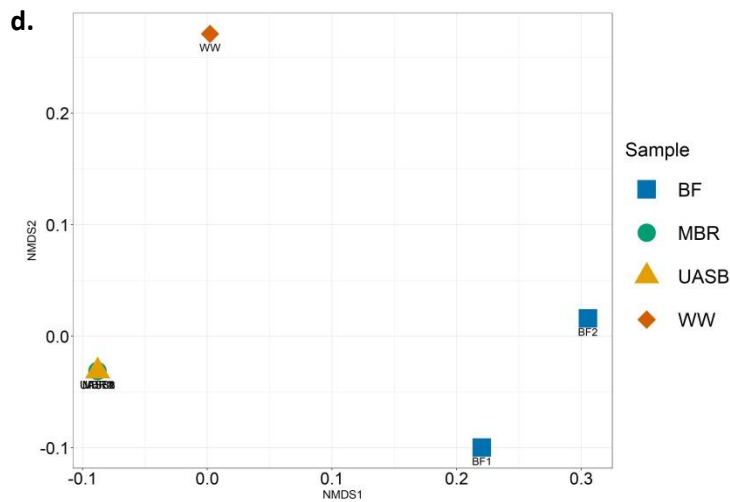
740 Figure 6 – Population of the methanogenic cells developed in the two reactors (AnMBR, summation of the cells
 741 grown in both biofilm and mixed liquor); error bars stand for standard error, n = 3.
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746 Figure 7 – Box-plot for diversity indices as per richness, simpson and shannon for a) whole microbial taxa and
 747 b) total archaeal taxa from the abundance data obtained from sequencing analysis. Asterisks stand for the
 748 statistical significance of the differences: *: $p=0.05$; **: $p=0.005$; *** $p=0.0005$; NMDS analysis on Unifrac
 749 distances for a) total bacterial taxa, and b) total archaeal taxa from the abundance data obtained from
 750 sequencing analysis (BF and WW correspond to the membrane’s biofilm and the wastewater respectively).
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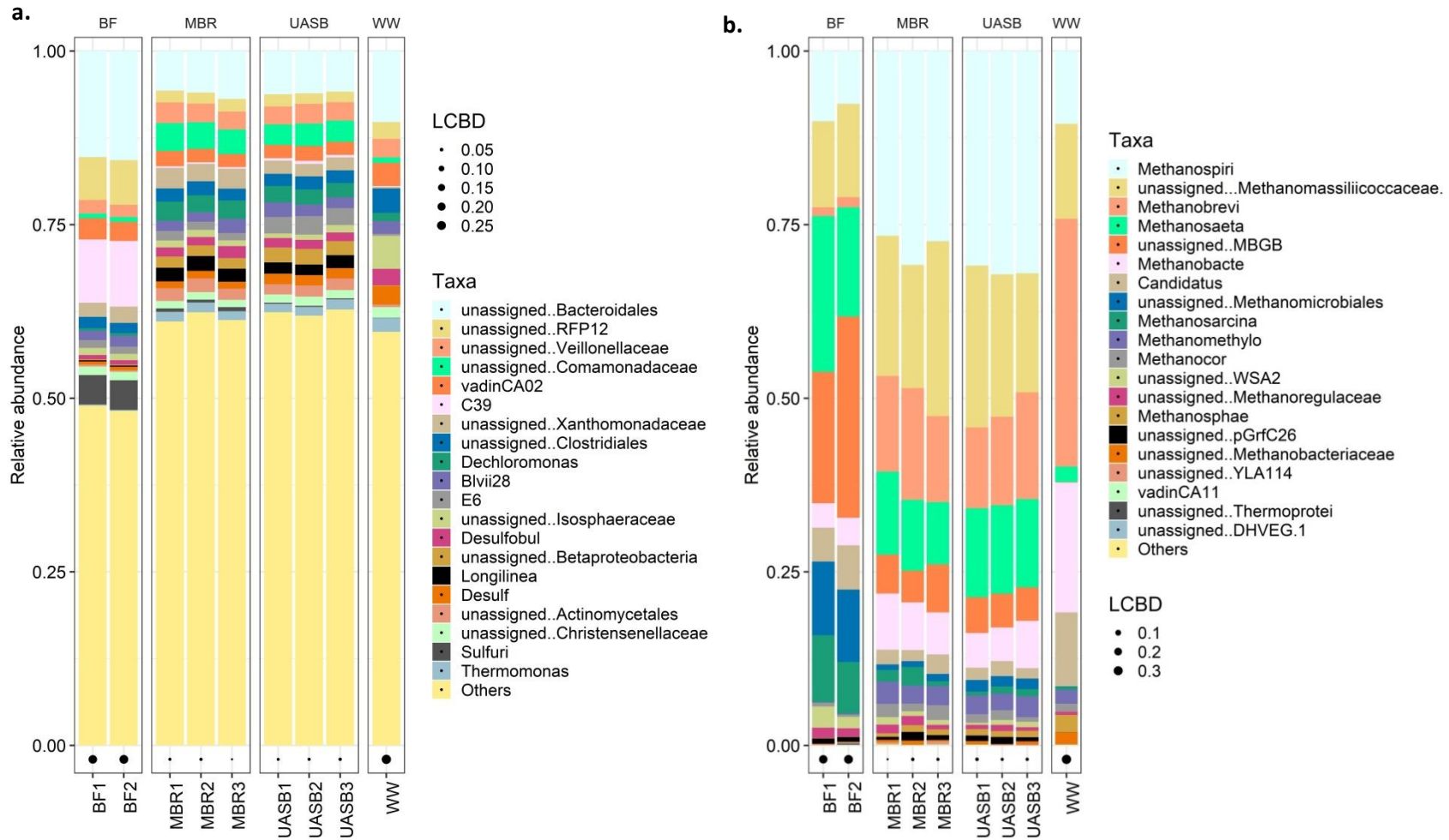


Figure 8 - Bar plot for the relative abundance of a) top 20 bacterial families and b) top 20 archaeal genera whilst; the rest of the less abundant taxa are displayed as a 'Others'. Black dots stand for the LCBD (local contribution of beta diversity) of the community; (WW stands for wastewater; BF stands for biofilm; UASB stands for the Upflow Anaerobic Sludge Blanket reactor; MBR stands for the Anaerobic Membrane Bio-Reactor); numbers 1, 2, 3 next to the sample ID stands for replicates.

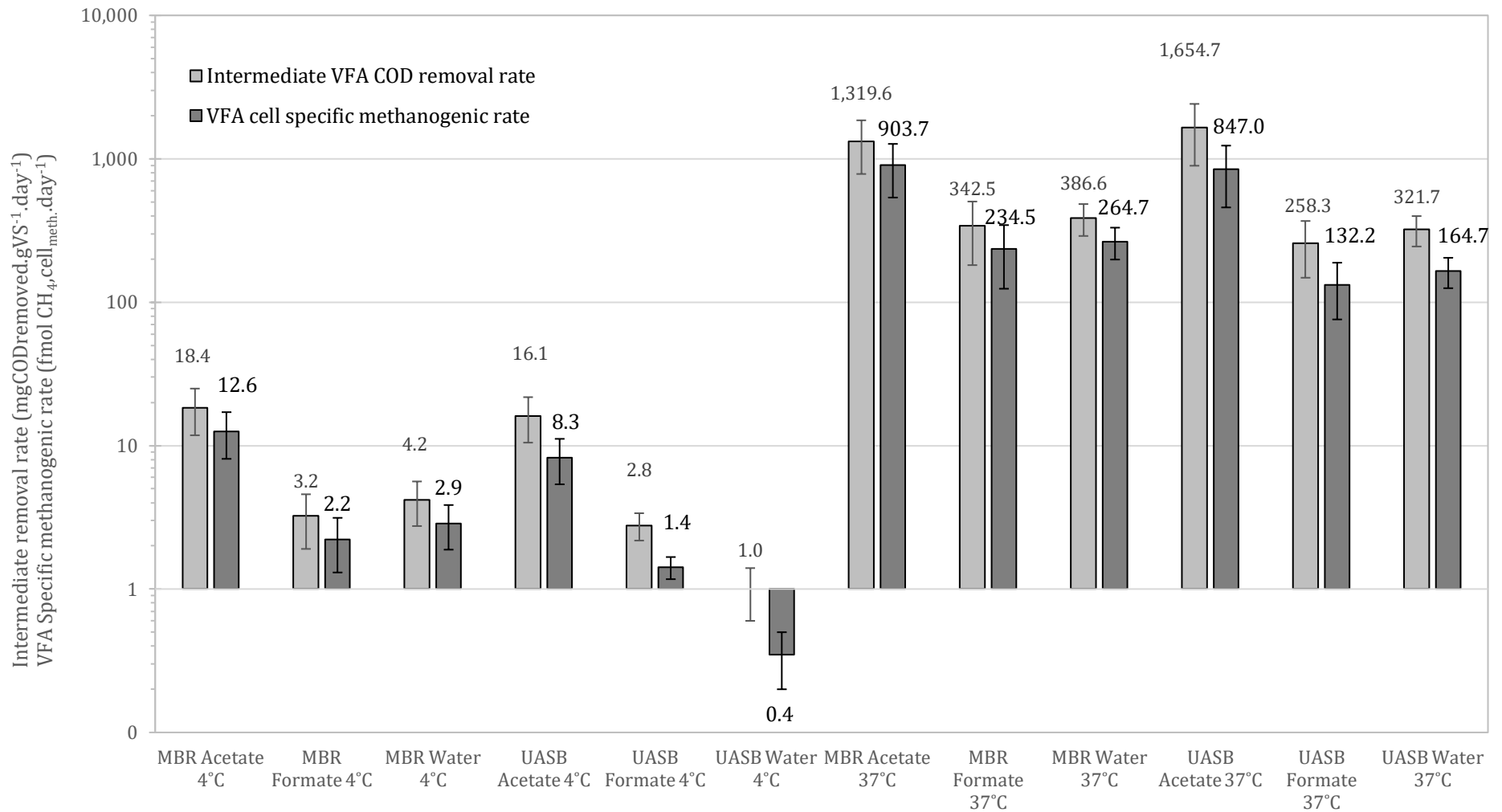


Figure 9 – Methanogenic cell specific methanogenic activity as per the activity trials including the activity from the un-amended controls (controls presented as ‘water’ treatments). Activity expressed in both mgCODremoved.gSS_{inocula}⁻¹.day⁻¹ and mmolCH₄.cell_{meth.}⁻¹.day⁻¹ for the better understanding of the treatment capacity of the inoculum at direct intermediates (error bars stand for standard error, n = 8).