

# Purines for Rapid Identification of Stroke Mimics

## (PRISM): study protocol for a diagnostic accuracy study

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47 **Abstract**

48 **Background**

49 Rapid treatment of stroke improves outcomes but accurate early recognition can be  
50 challenging. Between 20 to 40% of patients suspected to have stroke by ambulance and  
51 emergency department staff later receive a non-stroke ‘mimic’ diagnosis after stroke specialist  
52 investigation. This early diagnostic uncertainty results in displacement of mimic patients from  
53 more appropriate services, inappropriate demands on stroke specialist resources and delayed  
54 access to specialist therapies for stroke patients. Blood purine concentrations rise rapidly  
55 during hypoxic tissue injury, which is a key mechanism of damage during acute stroke but is not  
56 typical in mimic conditions. A portable point of care fingerprick test has been developed to  
57 measure blood purine concentration which could be used to triage patients experiencing  
58 suspected stroke symptoms into those likely to have a non-stroke mimic condition and those  
59 likely to have true stroke. This study is evaluating test performance for identification of stroke  
60 mimic conditions.

61

62 **Methods**

63 *Design:* Prospective observational cohort study

64 *Setting:* Regional UK ambulance and acute stroke services

65 *Participants:* A convenience series of two populations will be tested: adults with a label of  
66 suspected stroke assigned (and tested) by attending ambulance personnel and adults with a  
67 label of suspected stroke assigned at hospital (who have not been tested by ambulance staff).

68 *Index test:* SMARTChip Purine assay

69 *Reference standard tests:* Expert clinician opinion informed by brain imaging and/or other

70 investigations will assign the following diagnoses which constitute the suspected stroke  
71 population: ischaemic stroke, haemorrhagic stroke, TIA and stroke mimic conditions.  
72 *Sample size:* Ambulance population (powered for mimic sensitivity): 935 participants; hospital  
73 population (powered for mimic specificity): 377 participants.  
74 *Analyses:* Area under the Receiver Operating Curve (ROC) and optimal sensitivity, specificity,  
75 negative and positive predictive values for identification of mimic conditions. Optimal threshold  
76 for the ambulance population will maximise sensitivity, minimum 80%, and aim to keep  
77 specificity above 70%. Optimal threshold for the hospital population will maximise specificity,  
78 minimum 80%, and aim to keep sensitivity above 70%.

79

## 80 **Discussion**

81 The results from this study will determine how accurately the SMARTChip purine assay test can  
82 identify stroke mimic conditions within the suspected stroke population. If acceptable  
83 performance is confirmed, deployment of the test in ambulances or emergency departments  
84 could enable more appropriate direction of patients to stroke or non-stroke services.

85

## 86 **Trial registration**

87 Registered with ISRCTN (identifier: ISRCTN22323981) on 13/02/2019.

88 <http://www.isrctn.com/ISRCTN22323981>

89

## 90 **Keywords**

91 Stroke, Mimic, Purine, SMARTChip, diagnostic accuracy study

## 92 **Background**

93 In the UK stroke is the third leading cause of death and the single largest cause of adult  
94 disability with an overall economic impact of approximately £9 billion per year <sup>1</sup>. The cause of  
95 stroke is either cerebral ischaemia (85%) or haemorrhage (15%) and evidence of cost-effective  
96 disability reduction exists for early access to specialist services (all patients: NNT 20) <sup>2</sup>,  
97 intravenous thrombolysis (IVT) for ischaemic stroke <4.5hrs since onset (10-15% patients: mean  
98 NNT 7)<sup>3,4</sup> and intra-arterial mechanical thrombectomy (MT) for large vessel occlusion stroke  
99 (LVO) < 6hr since onset (5-10% patients: NNT 3)<sup>5</sup>. To improve access to treatments, national  
100 policy is driving the creation of regional neuroscience centres (also called comprehensive stroke  
101 centres) with thrombectomy capability or hyperacute stroke units (HASU; also known as  
102 primary stroke centres) which deliver thrombolysis and provide stroke unit care<sup>6</sup>. Increasing  
103 numbers of patients with suspected stroke are being redirected past the nearest hospital in  
104 order to access specialist care. Although outcomes are best when stroke patients are treated  
105 rapidly in a HASU or regional neuroscience centre, the emergency care pathway for admission is  
106 inefficient because accurate initial diagnosis is often difficult.

107  
108 Ambulance practitioners, as the first point of contact in the clinical pathway for the majority of  
109 suspected stroke admissions, use a validated assessment to identify stroke symptoms and make  
110 a decision about where to take the patient<sup>7</sup>. Clinical guidelines specify that any suspected  
111 stroke should be conveyed directly to the nearest specialist stroke care centre<sup>7</sup>. The most  
112 widely used assessment is the Face Arm Speech Test (FAST), which records facial weakness, arm  
113 weakness and/or speech disturbance<sup>8</sup>. Although FAST has good sensitivity, poorer specificity,  
114 results in 30-50% of “FAST positive” patients later receiving a non-stroke “mimic” diagnosis (i.e.

115 false positives)<sup>8,9</sup>. At hospital emergency departments, emergency medical staff can quickly  
116 identify more non-stroke mimic conditions during clinical assessment than ambulance  
117 practitioners, but the mimic rate is still 20-30%<sup>10</sup> resulting in frequent referral of mimics to  
118 stroke units rather than to appropriate alternative services according to the underlying  
119 condition.

120

121 This early diagnostic uncertainty can therefore result in displacement of mimic patients from  
122 more appropriate local hospitals or medical specialties within a hospital, inappropriate  
123 demands on finite stroke specialist resources, and delayed access to specialist care and time-  
124 critical reperfusion therapies for true stroke patients. For example, national audit in England  
125 consistently shows that 44% of confirmed stroke patients are not admitted to a HASU within  
126 4hrs of hospital arrival<sup>11</sup> and as it has been observed that 15-20% HASU beds are occupied by  
127 patients with mimic conditions for a typical stay of 2.5 days<sup>12</sup>, one explanation for the inability  
128 to achieve stroke service standards is the impact of “false positive” stroke admissions (ie  
129 mimics) upon finite specialist resources.

130

131 Blood biomarkers have potential advantages in emergency assessment but have not previously  
132 proven useful during the critical early stages of stroke as release occurs hours after onset and  
133 complex assays are required<sup>13-15</sup>. However, evidence is now accumulating that detection of  
134 whole blood purine concentration (WBPC) may be able to assist with stroke versus mimic  
135 identification<sup>16-19</sup>. Purines are short half-life natural by-products from energy producing  
136 metabolic pathways which accumulate rapidly during hypoxic tissue injury<sup>16</sup>. Hypoxia is a main  
137 pathophysiological mechanism when stroke is caused by ischaemia (due to arterial occlusion) or

138 haemorrhage (due to associated pressure effects and vasoconstriction), but for most common  
139 mimic conditions, significant tissue hypoxia is not involved (e.g. migraine). Whilst purines are  
140 not a tissue specific biomarker and are elevated in other hypoxic states, cerebral tissue is a  
141 particularly rich source because of its high metabolic activity and oxygen sensitivity. In the  
142 clinical context of suspected stroke, a significant WBPC difference is expected between  
143 common mimic conditions (low WBPC values) and true stroke (higher WBPC values).

144

145 A portable point of care novel biosensor assay 'SMARTChip Purine' has been developed to  
146 measure WBPC from a fingerprick drop of capillary blood<sup>19</sup>. The assay consists of enzymatic  
147 biosensors printed within a strip of carbon substrate and a bespoke reader device. A coupled  
148 cascade of three enzymes (adenosine deaminase, purine nucleoside phosphorylase and  
149 xanthine oxidase) quickly detect the combined concentrations of purines adenosine, inosine  
150 and hypoxanthine<sup>17</sup>. Figure 1 shows the SMARTChip technology.

151

152 Figure 1: SMARTChip technology

153

154 The SMARTChip assay could be used to triage patients with suspected stroke symptoms into  
155 those people likely to have a non-stroke mimic condition and those likely to have true stroke.  
156 The assay may have maximum impact if deployed pre-hospital but may also be of use on arrival  
157 at hospital for patients who do not present to the ambulance service, or if the technology is not  
158 available in the ambulance or was not deployed by ambulance practitioners because stroke was  
159 not suspected. In both settings, the assay result would be useful for determining whether  
160 patients with suspected stroke symptoms continue along the stroke emergency assessment

161 pathway or whether they should be directed to other more appropriate emergency services for  
162 further assessment and treatment ( i.e. the test result indicates that a patient is likely to have a  
163 non-stroke mimic condition).

164

165 The SMARTChip assay may also have an alternative purpose in the context of suspected stroke.

166 Due to the greater volume of brain tissue involved during LVO stroke, it is hypothesized that

167 WBPC readings will be elevated higher and for a longer time period when compared to both

168 mimic conditions and those stroke patients without LVO. Sequential SMARTChip assay readings

169 may therefore be able to assist in identification of patients with LVO stroke. As time critical

170 mechanical thrombectomy treatment is only available in a small number of regional

171 neuroscience centres (comprehensive stroke centres), patients with LVO suitable for

172 thrombectomy typically require secondary transfer from a HASU. Rapid identification of

173 mechanical thrombectomy eligible patients could facilitate transfer and expedite access to

174 treatment.

175

176 The main aim of this study is to evaluate the diagnostic accuracy of the SMARTChip assay for

177 identification of stroke mimic conditions amongst the suspected stroke population when used

178 in either the pre-hospital or hospital environment. An exploratory sub-study will be conducted

179 to assess whether serial WBPC values could be useful for the identification of LVO stroke.

180

181



182 **Methods**

183 **Study objectives**

184 1. To determine the diagnostic accuracy of SMARTChip assay WBPC readings for identification  
185 of stroke mimic conditions when a reading is obtained in the pre-hospital setting i.e. the test is  
186 conducted on patients suspected to have stroke by ambulance staff.

187

188 2. To determine the diagnostic accuracy of SMARTChip assay WBPC readings for identification  
189 of stroke mimic conditions when a reading is obtained in hospital i.e. the test is conducted on  
190 patients suspected to have stroke by hospital staff and when an ambulance test has not been  
191 undertaken.

192

193 3. To develop pre-hospital and hospital statistical models which combine routinely available  
194 clinical data with SMARTChip assay WBPC readings to predict a stroke mimic diagnosis.

195

196 4. To prospectively determine the diagnostic accuracy of the statistical models from objective 3.

197

198 5. To report the failure rate of the SMARTChip assay when used in the pre-hospital and hospital  
199 settings.

200

201 ***Sub study***

202 1.To explore the diagnostic accuracy of two sequential SMARTChip assay WBPC readings for  
203 identification of LVO stroke using a reading obtained in the pre-hospital setting and a second  
204 reading obtained in the hospital setting.

205

206 2.To develop and retrospectively explore the diagnostic accuracy of a statistical model which  
207 combines routinely available clinical data with pre-hospital and hospital obtained SMARTChip  
208 WBPC readings to predict the presence of LVO.

209

### 210 **Study design**

211 Two prospective blinded observational cohort studies will be conducted, one involving a  
212 convenience series of suspected stroke patients tested by hospital services and one involving a  
213 convenience series of suspected stroke patients tested by ambulance services. There will be 5  
214 phases:

215

216 Phase 1: Pilot cohort study in hospital to review key technical performance parameters of the  
217 SMARTChip assay.

218

219 Phase 2: Main hospital cohort study part 1. Once agreement is reached that technical  
220 performance is satisfactory, data will be collected to determine the diagnostic accuracy of  
221 WBPC assay readings for identification of mimic conditions (objective 2) and to build the  
222 statistical model (objective 3).

223

224 Phase 3: Main hospital cohort study part 2. Data will be prospectively collected to confirm the  
225 diagnostic accuracy of (i.e. validate) the hospital statistical model (objective 4).

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227 Phase 4: Ambulance cohort study part 1. Data will be collected to determine the diagnostic  
228 accuracy of WBPC assay readings for identification of mimic conditions (objective 1) and to  
229 build the statistical model (objective 3).

230

231 Phase 5: Ambulance cohort study part 2. Data will be prospectively collected to confirm the  
232 diagnostic accuracy of (i.e. validate) the ambulance statistical model (objective 4).

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234 Phases 4 and 5 may overlap with phases 2 and 3 depending on logistical factors e.g. time taken  
235 to commence ambulance service involvement, or availability of sufficient testing equipment.

236

237 Data collection to report the failure rate of the SMARTChip assay will run across phases 2-5.

238 Data collection for the sub-study is relevant to phases 4 and 5 only.

239

#### 240 **Study setting**

241 The hospital cohort study will take place within well-established acute stroke services with  
242 clinical access to CT or MR Angiography (CTA/MRA), and at least daytime presence of a  
243 specialist stroke team. The ambulance cohort study will be hosted by regional ambulance  
244 services feeding into these stroke services. The research environment will reflect the local care  
245 pathway for patients with acute stroke symptoms and including the scene of the incident,  
246 ambulance, emergency department and stroke service.

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248

249 **Study participants**

250 Both pre-hospital and hospital patients will fulfil the following criteria to undergo an assay  
251 reading:

252

253 Inclusion criteria:

- 254 • Aged 18 years and over.
- 255 • At least responsive to strong stimuli during assessment of conscious level (Alert, Voice or  
256 Pain on the Alert, Voice, Pain, Unresponsive (AVPU) scale)
- 257 • Face Arm Speech Test (FAST) positive or any observed new focal neurological symptoms  
258 indicating suspected acute stroke
- 259 • Persistence of the new stroke-like symptoms during the initial clinical assessment
- 260 • Believed to be within 6hrs of onset of the new stroke-like symptoms at the time of the first  
261 clinical assessment
- 262 • SMARTChip assay WBPC reading can be undertaken before receipt of any reperfusion  
263 therapies
- 264 • Pre-hospital patients will only be included if they are to be transported to a study hospital
- 265 • Patients will only be included in the hospital cohort if they have **not** had a pre-hospital  
266 reading attempted.

267

268 Exclusion criteria:

- 269 • Hypoglycaemia (capillary glucose <3.5mmol/l).
- 270 • External signs of significant acute trauma which are likely to need additional treatment  
271 (large haematomas, open wounds, limb deformity).

272 • Chemotherapy or radiotherapy treatment for cancer within the last 7 days

273

274 In order to provide a population to fulfil the objectives of the substudy, trained hospital staff  
275 (when available) will attempt an assay reading on the following subgroup of patients:

276 • Had an assay reading attempted by ambulance personnel

277 • The symptoms resulting in admission are believed to have commenced within 6 hours of the  
278 time that the hospital assay can be performed

279 • The hospital assay can be performed before IVT or MT if this treatment is indicated

280

### 281 **Participant identification and consent**

282 Ambulance and hospital personnel will determine suitability for the SMARTChip WBPC assay  
283 from their routinely conducted clinical assessments. Because the assessment of suspected  
284 stroke patients needs to be performed rapidly in order to minimize delays in accessing time-  
285 dependent treatments, patients will be approached about study enrolment after the initial  
286 emergency assessment and treatment processes, including the SMARTChip assay(s), have been  
287 completed. A formal research consent process performed in the ambulance or immediately on  
288 hospital arrival would cause unacceptable delays.

289

290 All patients who had either an ambulance and/or hospital WBPC reading attempted will be  
291 approached for study enrolment. An assay reading attempt will be defined as a fingerprick  
292 sample procedure being undertaken. As described below (see 'SMARTChip Purine assay (index  
293 test)'), there may be occasions when the assay technology fails to calibrate and progression to  
294 fingerprick sampling is not possible. In such cases, patients will not have undergone any

295 research procedures and approach for consent will not occur. However, as failed calibration  
296 provides important test usability information, non-identifiable data about the test attempt will  
297 be recorded and reported.

298  
299 Approach of patients for study enrolment will be by appropriately research trained clinical staff  
300 or NHS research support staff. Ideally, approach of patients will take place during their inpatient  
301 stay and as soon as possible after the emergency assessments and treatments have taken place  
302 such that a timely discussion about the study can be held. However, for a small number of  
303 patients this may not be possible because of early discharge, transfer or death and in these  
304 situations alternative consent methods will be used as described below.

305

## 306 ***Consent***

307 The consent process will seek permission for retention and analysis of WBPC assay data and  
308 collection of selected routinely recorded healthcare data which are essential to complete the  
309 study objectives. There are no additional study specific assessments.

310

### 311 ***Consent for patients who can be approached about study participation during their inpatient*** 312 ***stay***

313

#### 314 ***a. Consent for patients with mental capacity***

315 For patients with capacity to consent to research, a trained member of the clinical team or NHS  
316 research support staff will approach the patient to discuss the study and provide a patient  
317 information sheet. After allowing sufficient time for potential participants to decide whether to

318 take part in the study and an opportunity to ask questions, consent will be obtained in writing.

319 When a patient has mental capacity but is unable to sign the consent form (e.g. because of

320 weakness of the dominant hand following stroke), consent will be confirmed orally in the

321 presence of a witness (an individual not otherwise involved in the trial) and the witness will sign

322 and date the consent form on behalf of the participant.

323

324 If a potential participant is due to be discharged and wishes to have longer to consider the

325 information before making a decision, staff will provide a postal consent form and pre-paid

326 reply envelope which can be returned if a decision to take part is made.

327

328 ***b. Consent for patients with mild communication difficulties***

329 For patients with mild communication difficulties due to the effects of a stroke or mimic

330 condition, a set of 'easy access' study documentation will be used. After allowing sufficient time

331 for the information to be considered and an opportunity to ask questions, consent will be

332 obtained in writing using the 'easy access' consent form.

333

334 If a potential participant is due to be discharged and wishes to have longer to consider the

335 information before making a decision, an 'easy access' postal consent form and pre-paid

336 envelope are available for use. Staff will consider the appropriateness of such forms prior to

337 issue including the availability of a relative/friend to assist with completion. If a postal form is

338 judged to be inappropriate, the potential participant will be offered the opportunity to return

339 for further discussion and consent at a later date.

340

341 ***c. Consent for patients who lack mental capacity***

342 It is anticipated that approximately one third of study eligible patients will be unable to engage  
343 with an informed consent process due to the effects of stroke and mimic conditions upon  
344 communication and cognition. As exclusion of this group would drastically reduce the clinical  
345 relevance of the study, if a patient is unable to provide consent, a personal or nominated  
346 (professional) consultee will be approached as further described below.

347

348 It is anticipated that the majority of patients will be approached about participation within 24  
349 hours of admission which is typical for clinical trials of emergency stroke care. If at this time, a  
350 potential participant is believed to be lacking in capacity to consent to research, the staff  
351 making this first approach (appropriately trained clinical staff or NHS research support staff) will  
352 confer with the attending clinical team to determine the likelihood that this patient will  
353 improve and recover capacity by 48 hours after admission. If it is considered that the patient is  
354 unlikely to recover capacity in this time, staff will proceed to attempt to identify an appropriate  
355 personal consultee (usually the next of kin) to approach, discuss the study and provide a  
356 consultee information sheet. If a personal consultee is identified, after allowing sufficient time  
357 for him/her to consider the patient's wishes and feelings and an opportunity to ask questions,  
358 the consultee will be asked to complete a consultee declaration form if they believe that the  
359 patient would have no objection to taking part in the study. If the potential participant is due to  
360 be discharged and the personal consultee wishes to have longer to consider participation, staff  
361 will provide a postal personal consultee declaration form and pre-paid reply envelope which  
362 can be returned if a decision to take part is made.

363



364 In the event of being unable to locate an appropriate personal consultee by 48 hours after  
365 admission, an independent clinician (nominated consultee) will be approached to confirm that  
366 the patient lacks capacity for consent, and that study participation would not introduce a risk of  
367 harm or be against the patient's wishes from what is known about their character and beliefs.  
368 The independent clinician will sign a nominated consultee declaration form concerning study  
369 participation.

370  
371 If when a patient is first approached they are believed to be lacking in capacity to consent to  
372 research and after conferring with the clinical team it is considered that the patient is  
373 improving and therefore may recover capacity to discuss the study, approach about consent  
374 will be delayed for 24 hours. A further review of capacity will then be undertaken. If at this time  
375 the patient has recovered capacity, staff will proceed to seek consent directly from the patient.  
376 However, if the patient remains unwell and lacking in capacity, staff will proceed as described  
377 above to approach a personal consultee or independent clinician.

378

#### 379 ***d. Consent and early mortality***

380 The early mortality rate following acute stroke is approximately 10%. Some mimic conditions  
381 are also associated with a high mortality e.g. severe infection. Exclusion of patients that die  
382 soon after admission would reduce the study's relevance for the typical suspected stroke  
383 population.

384

385 If a patient who underwent a SMARTChip assay attempt dies before consent can be obtained  
386 using one of the approaches described above, local Principal Investigator will sign an Early

387 Mortality Declaration Form to confirm that the patient has died, and take responsibility for the  
388 use of routinely collected healthcare data for this research project.

389

390 ***Consent for patients who are only identified after discharge or transfer from the admitting***  
391 ***hospital***

392 Patients who are only identified as having undergone a SMARTChip assay after discharge or  
393 transfer from the admitting hospital will be invited to take part by post. An invitation letter,  
394 participant information sheet, consent form and pre-paid return envelope will be mailed. The  
395 letter will include a telephone number of the admitting hospital research team to answer any  
396 queries or discuss the study in more detail. Patients willing to take part in the study will be  
397 asked to return a completed consent form.

398

399 As it will not be possible to assess mental capacity or communication issues prior to a postal  
400 invitation, the invitation letter includes a specific section for a person reading the letter who is  
401 not the intended recipient but reading it on their behalf. The reader is informed that the  
402 recipient can take part in the research and is asked to contact the hospital team for discussion  
403 and further information. If contacted, the hospital team will discuss the study and offer either a  
404 face to face appointment to obtain consent or to post the appropriate form (i.e. the easy access  
405 postal consent form or the personal consultee postal declaration form).

406

407 For invited patients who have not returned a consent form within two weeks, or where there  
408 has been no other contact about an invited patient, the local hospital research team will follow  
409 up with one telephone call.

410

411 ***Changes in capacity to consent to research during participation in the study***

412 As there are no additional study specific assessments and only collection of routinely available  
413 healthcare data in this project after the SMARTChip assay, changes in capacity status will not be  
414 reviewed.

415

416 ***Consent not obtained***

417 If a patient or a consultee declines the invitation to be included in the study or a postal consent  
418 form is not returned, or if consent by one of the approaches above is not obtained for any other  
419 reason, collected data will be retained at the local site to document a measurement(s) was  
420 undertaken but no further study data will be collected. The researchers will be informed that a  
421 test was conducted but consent was not obtained and no further data will be provided.

422

423 Figure 2 summarises the decision process for obtaining study consent

424 Figure 2: Decision process for study consent

425

426 **SMARTChip purine assay (index test)**

427 The diagnostic technology under evaluation comprises four tiny electrochemical biosensors  
428 printed in carbon on strip of ceramic substrate (50 x 10 x 1 mm) (called 'SMARTChip Purine')  
429 and a bespoke portable reader device. A coupled cascade of three enzymes (adenosine  
430 deaminase, purine nucleoside phosphorylase and xanthine oxidase) detect the combined  
431 concentrations of the purines: adenosine, inosine and hypoxanthine. To take a measurement,  
432 the user inserts the SMARTChip into the reader, performs a calibration and buffer step, and  
433 then adds a drop of blood from a fingerprick sample. The procedure takes 6 to 8 minutes and

434 can be completed in parallel with other aspects of the standard emergency care pathway for  
435 suspected stroke. As the study is blinded, the assay reading is not displayed anywhere and is  
436 only accessible to the researchers in the data downloaded from the reader (see below).

437

438 There may be occasions when an assay step fails i.e. calibration, buffer or the WBPC  
439 measurement. If any step fails, to repeat the assay a new SMARTChip is required. In the pre-  
440 hospital setting, only one attempt using one SMARTChip will be permitted to avoid the  
441 possibility of causing delays to patient transport to hospital e.g. if calibration fails, the  
442 procedure will be abandoned at this point. For the hospital setting, if the calibration or buffer  
443 step fails, up to two further attempts will be permitted as this is unlikely to delay care (i.e. use  
444 up to three SMARTChips). However, only one fingerprick will be permitted and therefore only  
445 one attempt at the blood measurement step.

446

447 Following any assay attempt, the bespoke reader device will be connected to a designated  
448 password protected study laptop and reading data will be downloaded. Data will subsequently  
449 be provided to the research team (consented patients only) either manually via encrypted USB  
450 drives or over the internet. No patient identifiable data is added to the reader or downloaded  
451 into the study laptop.

452

### 453 ***Verification of WBPC assay results***

454 Each WBPC assay result combines readings from the four individual SMARTChip electrodes. Due  
455 to the conditions under which SMARTChip will be deployed during the study, including handling  
456 by users who are less familiar with the technology, it is possible that the electrical contacts,

457 electrodes or enzyme coating are damaged. Even though the SMARTChip passes the calibration  
458 stage, one or more of the four small electrodes may produce readings which are not  
459 physiologically or electrochemically plausible. The raw data from an assay passes through  
460 additional reader software to compute the WBPC result. This software will label the readings as  
461 electrochemically plausible ('verified') or not. All data will be used in the study analyses but  
462 verified and 'unverified' results will be handled separately (see 'Main ambulance and hospital  
463 study analyses' section).

464

465 In addition, staff from Sarissa Biomedical (technology manufacturer) will subject the biosensor  
466 current readings to an automated check using validated software. This is to review that the  
467 data quality controls within the reader are functioning appropriately. In the event that the  
468 automated check suggests a malfunction (for example a verified reading should be unverified or  
469 vice versa), a report will be prepared and presented to the study steering committee who will  
470 make a decision about whether there is justification to alter the reading accordingly. The  
471 automated check will occur without access to any clinical data and any alterations will be  
472 recorded.

473

474 Unverified readings may be related to malfunctioning SMARTChips or user error and this will be  
475 monitored by staff from Sarissa Biomedical. If there is a clustering of unverified readings  
476 associated with a particular production run of SMARTChips, then others from that batch will be  
477 replaced and local storage conditions reviewed. If the assay data generated by any user shows a  
478 pattern suggestive of incorrect SMARTChip use, the user will be invited to attend refresher  
479 training.

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**Reference standards (comparator)**

***Main study***

For the main study, reference standards are required to assign the following clinical diagnoses which constitute the suspected stroke population: ischaemic stroke, haemorrhagic stroke, TIA and stroke mimic conditions. Whilst brain imaging tests are available which objectively confirm haemorrhagic stroke, no single diagnostic test exists for ischaemic stroke, TIA and many stroke mimic conditions. Because of this, diagnoses will be assigned by a local hospital expert clinician and confirmed via independent adjudication as described in detail below.

Hospital expert clinician opinion informed by brain imaging +/- other investigations as clinically appropriate will be used to select a diagnosis from a pre-defined diagnosis framework (Table 1). This framework is being used because primary medical diagnoses recorded in medical records can vary according to the taxonomy used and the terminology preferred by individual clinicians e.g. chest infection is synonymous with pneumonia, bronchopneumonia and lower respiratory tract infection. Clinicians will be asked to select a 'definite' or 'probable' primary clinical diagnosis according to the framework. As diagnoses are sometimes uncertain for a time after admission to hospital, clinicians will be asked to provide the diagnosis assigned at 7 days after hospital admission, or at discharge/death if sooner. The framework includes an option for 'unclear' if the clinician cannot assign a diagnosis. In order to facilitate consistent completion of the framework, guidance has been developed to assist clinicians in allocation of a definite, probable or unclear diagnosis (Table 2).

503 Following assignment of a diagnosis, clinical and imaging data collected for the study, will be  
504 reviewed by an independent clinician at the study co-ordinating centre to determine if the  
505 diagnosis assigned and clinical/imaging information concur. If data do concur, the assigned  
506 diagnosis will be confirmed as appropriate. If the data do not concur, the case will be discussed  
507 by a Diagnostic Adjudication Committee which will comprise a stroke specialist from the study  
508 co-ordinating centre team, the local hospital clinician responsible for assigning the diagnosis  
509 and another local clinician who was not involved in making the diagnosis. All are blinded to the  
510 SMARTChip assay outcome. The committee will meet by teleconference and review  
511 anonymised routine clinical information available up to day 7 or discharge if sooner, to agree a  
512 diagnosis.

513

514 In addition, irrespective of whether the local diagnosis assigned, and clinical/imaging data  
515 concur or not, where 'unclear' or 'probable' stroke mimic diagnoses (categories B-J in the  
516 framework) are selected, Diagnostic Adjudication Committee review will take place. This is to  
517 check that there is reasonable evidence that these categories are appropriate as typically there  
518 will be greater reliance upon clinical judgement than objective information from any routine  
519 investigations.

520

521 For 'unclear' cases, if the committee cannot reach consensus (e.g. because of missing clinical  
522 information or lack of adjudicator consensus) the final diagnosis will still be listed as 'unclear'  
523 and these patients will not be included in the diagnostic accuracy analyses as it has not been  
524 possible to determine any diagnosis. Data for these participants will still be reported. All other  
525 diagnoses will be used in the analyses as described in the 'Statistical analysis' section.

526

527 ***Sub study***

528 For the sub study, a reference standard is required for ischaemic stroke with LVO. Angiography  
529 of the cerebral circulation via CT or MR imaging conducted as part of standard clinical care will  
530 be used. Angiography is not routinely performed for all suspected stroke patients as clinical or  
531 non-contrast radiological examination can decree it unneeded. It is usually performed for  
532 patients with National Institute for Health Stroke Scale (NIHSS)<sup>20</sup> score of >5 presenting within 6  
533 hours of symptoms onset, where plain CT has not shown a haemorrhage or another radiological  
534 diagnosis for the acute symptoms e.g. tumour.

535

536 For patients undergoing CT or MR angiography (CTA or MRA), LVO will be defined as present if  
537 angiography demonstrates reduced filling in any large branch of the anterior cerebral  
538 circulation as assessed using the Ten Point Clot Burden Score<sup>21</sup>. A score <10 will indicate the  
539 presence of LVO. All CTAs will be reported by a consultant neuro-radiologist blinded to patient  
540 and study information. Local clinical routine imaging reports will also be obtained if available  
541 but these will not alter the data to be used for the main study analyses. The frequency and  
542 nature of any discrepancies between the reports will however be reported on study  
543 completion.

544

545 For participants who do not undergo angiography because they do not meet the clinical criteria  
546 (e.g. mimic conditions, haemorrhagic stroke, mild ischaemic stroke with NIHSS < 6 and TIA) and  
547 the probability of LVO is remote, it will be assumed that they do not have LVO. However, there  
548 may also be participants with ischaemic stroke of sufficient severity to be potentially caused by



549 LVO (i.e. NIHSS >5), but who do not undergo angiography. This is usually because of an early  
550 clinical judgement that they would not be offered MT (e.g. due a low Alberta Stroke Program  
551 Early CT Score<sup>22</sup> or significant co-morbidities) or because MT is not available. For these  
552 participants it will not be possible to make a confident assumption about LVO status and  
553 therefore their data will not be included in diagnostic accuracy analyses concerning LVO.  
554 Available data for these participants will still be reported.

555

### 556 **Study data collection**

557 For participants who give consent for enrolment in this study, SMARTChip WBPC assay data,  
558 clinical diagnosis data, imaging data and routine healthcare data to confirm study eligibility and  
559 conduct study analyses will be collected. WBPC assay data will be recorded by the SMARTChip  
560 reader and subsequently harvested to be linked with clinical data. The SMARTChip assay  
561 process, imaging data, clinical diagnosis data and other routine healthcare data will be recorded  
562 onto study specific case record forms (CRFs) by ambulance personnel, NHS research support  
563 staff or other hospital clinical staff trained to deliver this research project.

564

565 Data from CRFs will be entered locally onto a secure online database. Patients will be  
566 identified by a unique study number only (for ambulance tested patients, the ambulance  
567 used SMARTChip ID number; for hospital only tested patients where calibration/buffer of  
568 more than one SMARTChip is permitted, the ID number of the SMARTChip which had the  
569 blood sample applied will be used). Where consent is not obtained, non identifiable data  
570 about the assay attempt only will be added to the study database to allow the total number  
571 of assay attempts to be reported.

572

573 ***Data related to pre-admission, collected if an ambulance reading attempt was undertaken,***  
574 ***recorded by ambulance staff***

575 *Data about ambulance SMARTChip assay:*

576 SMARTChip ID number (will be provided on sticky labels to add to study forms to avoid  
577 transcription errors); Date and time of completion of the SMARTChip assay; Any complications  
578 from finger-prick sampling (No/Yes: free text); Any reason for the SMARTChip assay process to  
579 be aborted before completion (No/Yes: free text).

580

581 *Data for confirmation of inclusion and exclusion criteria:*

582 Age; Symptom onset or last known to be well date and time (ambulance personnel judgement);  
583 Symptoms which suggest stroke (face weakness; arm weakness; speech disturbance; leg  
584 weakness; visual loss; eye deviation; double vision; other); First recorded conscious level (AVPU  
585 scale); First recorded capillary blood glucose reading; Any external signs of acute trauma  
586 (yes/no); Received chemotherapy or radiotherapy for cancer within the last 7 days (yes/no);  
587 Hospital conveying to.

588

589 *Other data related to pre-admission:*

590 Professional who suspected stroke (technician, paramedic, other ambulance role); First  
591 systolic blood pressure reading; First heart rate reading; First peripheral oxygen saturation  
592 reading; Aural temperature reading; Possible blackout today (yes/no/unknown); Possible  
593 seizure today (yes/no/unknown); Current headache (yes/no/unable to respond); Previous

594 medical history of epilepsy (yes/no/unknown); Previous medical history of migraine  
595 (yes/no/unknown); Date and times of 999 call, ambulance on scene and hospital arrival.

596

597 ***Data related to a hospital reading attempt and recorded by hospital staff***

598 Hospital SMARTChip ID number(s) (will be provided on sticky labels to add to study forms to  
599 avoid transcription errors); Date and time of completion of the hospital SMARTChip assay;  
600 Complications from finger-prick sampling for hospital SMARTChip assay (No/Yes: free text);  
601 Any reason for the hospital SMARTChip assay process to be aborted before completion  
602 (No/Yes: free text).

603

604 ***Data related to day 1 of admission, collected if either an ambulance and/ or a hospital  
605 reading attempt was undertaken and consent is obtained, recorded by hospital staff***

606 Demographic information (age; gender); Date and time of hospital admission; First recorded  
607 conscious level on admission (AVPU scale); First blood pressure reading on admission; First  
608 heart rate reading on admission; First temperature reading on admission; First peripheral  
609 oxygen saturation on admission ; First blood glucose reading on admission (capillary or serum  
610 glucose); Any external signs of acute trauma noted on first clinical examination (yes/no);  
611 Symptom onset or last known to be well date and time (hospital judgement); Previous vascular  
612 history (stroke; TIA; heart failure; atrial fibrillation; diabetes; hypertension); Previous  
613 neurological history (migraine; seizures; any diagnosis of dementia); Recent significant trauma  
614 history within the preceding 7 days (surgery; fractures; wounds); Recent inflammation history  
615 within the preceding 7 days (infections requiring new antibiotic treatment; intravenous  
616 chemotherapy treatment or radiotherapy received; acute exacerbation of a musculoskeletal

617 condition e.g. gout); Current medication history (dipyridamole; anticoagulants; allopurinol);  
618 Usual level of mobility (independent; independent with walking aid; physical assistance;  
619 cannot walk); Standard laboratory bloods on admission (renal function: creatinine, urea,  
620 sodium, potassium, glucose, C-reactive protein; full blood count: haemoglobin, leucocytes,  
621 platelets); Hospital admission (yes/no - discharged/no – transferred directly to another  
622 hospital/no –died in ED); Date and time left ED; First ward if admitted locally (stroke  
623 unit/medical admissions ward/other medical ward/trauma ward/surgical ward/other);  
624 Destination ward if transferred directly to another hospital (neurosurgical/stroke  
625 unit/trauma/other); Stroke symptom severity on admission (National Institute of Health Stroke  
626 Score<sup>20</sup>). This is routinely documented for most suspected stroke patients but if this is found to  
627 be missing from routine records, it will be completed by the NHS research team from direct  
628 assessment of the patient or using the clinical examination documented in routine records;  
629 Most likely clinical stroke subtype according to new symptoms (Oxford Community Stroke  
630 Project classification<sup>23</sup>); Intravenous thrombolysis treatment administered (yes/no); Date and  
631 time of bolus administration (if thrombolysis received); Mechanical thrombectomy treatment  
632 administered (yes/no); Date and time of arterial puncture (if thrombectomy received); If  
633 thrombolysis or thrombectomy were received, NIHSS recorded at 24-48 hours after treatment  
634  
635 *If a hospital only assay reading attempt was undertaken:*  
636 Symptoms which suggested stroke (face weakness; arm weakness; speech disturbance; leg  
637 weakness; visual loss; eye deviation; double vision; other); Professional who suspected stroke  
638 at hospital (ED nurse, ED junior doctor, ED senior doctor, stroke nurse, stroke junior doctor,  
639 stroke senior doctor).

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*If an ambulance assay reading was attempted but a hospital assay (for the sub-study) was not:  
Reason why Hospital WBPC assay was not attempted (patient >6hrs since symptom  
onset/admitted out of hours/trained assay user not available/Reader malfunction/no  
SMARTChip available/other).*

***Data related to day 7 of admission (or death/discharge if this is sooner than day 7) collected if  
either an ambulance and/or hospital reading attempt was undertaken and consent is  
obtained, recorded by hospital staff***

Deceased, inpatient or discharged alive at day 7; If deceased, cause of death according to death  
certificate; If discharged, discharge date; Confirmation that symptom onset date/time  
recorded on day 1 is still correct at day 7 or death/discharge (no change/change. If changed:  
new date/time); Length of stay on the stroke unit (0-7 days); COVID-19 status (if available); Primary  
clinical diagnosis for this attendance in place at day 7 as documented in the medical records  
(free text); Primary clinical diagnosis in place at day 7 according to a pre-defined framework;  
Description of the clinical rationale on which the primary clinical diagnosis (according to the  
pre-defined framework) was selected (free text to describe clinical features including vascular  
risk factors and investigations); For all participants, an anonymised copy of the discharge letter  
will also be requested. This will be used during independent adjudication of reference standard  
diagnoses.

662 ***Imaging data collected if both ambulance and hospital reading attempts were undertaken***  
663 ***and consent is obtained, recorded by hospital staff***

664 Brain imaging performed (yes/no); Brain imaging date(s), time(s) and modality  
665 (CT/MR/CTA/MRA/CTP) performed during the first 7 days of admission (or death/discharge if  
666 sooner); Brain imaging result(s) free text (formal reports/entries in the medical records if  
667 formal reports are unavailable); CT or MRI angiography performed on Day 1 (yes/no); CT  
668 perfusion imaging performed on Day 1 (yes/no); If perfusion imaging performed (according to  
669 the local radiological software output): Core volume (CV: ml), Penumbra volume (PV: ml),  
670 Cerebral blood volume (CBV: ml), Time to peak (TTP: seconds), Mean transit time (MTT:  
671 seconds), Cerebral blood flow (CBF: ml/s)

672  
673 For participants whose data will be included in the sub study (i.e. those where a hospital  
674 SMARTChip assay followed an ambulance assay), anonymised CT, CTA, MR and MRA images  
675 performed in the acute phase (i.e. < 12 hours since symptom onset) will also undergo separate  
676 blinded neuro-radiologist review using a checklist to record: Changes of cerebral ischaemia;  
677 Alberta Stroke Program Early CT Score<sup>22</sup>; Other pathological findings (e.g. subdural  
678 haematomas, tumours; subarachnoid blood); Ten Point Clot Burden Score<sup>21</sup>; Extended  
679 Thrombolysis in Cerebral Infarction scale<sup>24</sup>.

680  
681 **Blinding**  
682 Patients, clinicians and research support staff will be blinded to WBPC results. Clinicians  
683 responsible for adjudication and neuro-radiologists responsible for providing the independent

684 imaging reports will also be blinded to WBPC results. Checks on raw WBPC data conducted by  
685 Sarissa Biomedical staff will be without access to any clinical data.

686

### 687 **Staff training and awareness**

688 Study specific training will be provided for ambulance personnel, stroke teams and research  
689 support staff in sessions which will explain the study objectives, demonstrate use of the  
690 SMARTChip and Reader, and completion of the study documentation and database as  
691 appropriate.

692

### 693 **Study withdrawal**

694 No specific withdrawal criteria have been pre-set. Participants may withdraw from the study at  
695 any time for any reason. Data collected prior to withdrawal will be used in the study analysis  
696 unless the patient or their representative requests that this should not be the case. Should a  
697 decision to withdraw from the study be made, a reason for withdrawal will be sought but  
698 participants can choose to withdraw without providing an explanation.

699

### 700 **Safety evaluation**

701 This is a clinician-blinded observational study of a new diagnostic technology which will not  
702 change patient treatment. The finger-prick sampling procedure for the WBPC assay is already  
703 performed by ambulance and hospital staff during routine measurement of capillary blood  
704 glucose concentrations on all suspected stroke patients. The procedure for capillary sampling  
705 for the WBPC assay is identical to this routine clinical practice. Each SMARTChip is single use  
706 only. There will be no direct contact between patients and the portable Reader device, which

707 itself does not contain any biological or hazardous materials. The risks from participation should  
708 be no greater than standard clinical care, and there were no safety issues reported in previous  
709 studies.

710

711 Study data collection will include documentation of any complications following the blood  
712 sampling procedure or use of the SMARTChip reader device.

713

714 Should a medical event occur which is serious (results in death; is life-threatening; requires  
715 inpatient hospitalisation or prolongation of existing hospitalisation; results in persistent or  
716 significant disability or incapacity; consists of a congenital anomaly or birth defect; otherwise  
717 considered significant by investigator) and is perceived to be related to the use of the  
718 SMARTChip assay, a separate study Serious Adverse Event form will be completed. All such  
719 events will be considered 'unexpected' and reported to the chief investigator, study sponsor  
720 and Research Ethics Committee.

721

## 722 **Sample size**

723 1. Pilot study. There is no pre-specified sample size for the hospital pilot study. This phase will  
724 continue until agreement is reached about technical performance parameters as described  
725 above.

726

727 2. Hospital cohort study. In the hospital setting, we consider that test specificity for mimics is  
728 more important than sensitivity such that mimics are directed away from the emergency stroke  
729 pathway whilst minimizing removal of stroke patients in error. To detect a 90% (lower 95%



730 confidence limit: 80%) diagnostic specificity for mimic identification assuming a mimic rate of  
731 25% (i.e. 75% non-mimic or true stroke patients), one-tailed 5% type I error rate and 90%  
732 power, 167 participants (125 non-mimics) are required for the 'per-protocol' analysis. For  
733 validation of the hospital statistical model (combined clinical data and SMARTChip assay  
734 reading), 100 further non-mimics are needed i.e. a further 134 patients in total. This gives a  
735 sample size of 301 (225 non-mimics). However, this target anticipates a non-mimic rate of 75%  
736 in the test population. If the mimic rate is higher, additional patients will be required. Inflating  
737 for 20% for participants who cannot feature in the 'per-protocol' analysis (see definition below  
738 in 'Main hospital and ambulance study analyses) gives an initial target sample size of 377  
739 participants (including 281 non-mimics).

740

741 3. Ambulance cohort study. In the pre-hospital setting, we consider that test sensitivity for  
742 mimics is more important than specificity to maximize removal of mimics from the emergency  
743 stroke pathway for service efficiency. To detect a 88% (lower 95% confidence limit: 80%)  
744 diagnostic sensitivity for mimic identification assuming a mimic rate of 40%, one-tailed 5% type  
745 I error rate and 90% power, 498 study participants (199 mimics) are required for the 'per-  
746 protocol' analysis (Agresti-Coull method<sup>25</sup>). For validation of the statistical model (combined  
747 pre-clinical data and SMARTChip assay reading), 100 further mimics are needed<sup>26</sup> i.e. a further  
748 250 patients in total. This gives a sample size of 748 (299 mimics). However, this target reflects  
749 a typical FAST mimic rate of 40% amongst suspected stroke admissions. If the mimic rate is  
750 lower, additional patients will be required. Inflating for 20% of participants who cannot feature  
751 in the 'per-protocol' analysis (see definition below in 'Main hospital and ambulance study  
752 analyses') gives an initial target sample size of 935 patients (including 374 mimics).

753

754 In both the hospital and ambulance cohorts, the proportion of patients who are not eligible for  
755 inclusion in the per-protocol analysis will be monitored prospectively, and the sample size  
756 targets increased or decreased as required.

757

#### 758 **Pilot study analysis**

759 The technology supporting the SMARTChip WBPC assay has undergone modification for use in  
760 this study. Prior to embarking on a diagnostic performance evaluation, it is necessary to confirm  
761 that key technical aspects are functioning as expected.

762

763 The following will be monitored although this is not an exhaustive list and other issues may  
764 arise that will require review:

765

- 766 • Chip calibration failure rate
- 767 • Blood measurement failure rate
- 768 • Reader software malfunction
- 769 • The range of WBPC readings being obtained is consistent with an expected range for  
770 suspected stroke from previous studies. The range will be monitored without access to  
771 clinical data.

772

773 This pilot phase will not be time or sample size limited but driven by accruing data which will  
774 determine whether any action needs to be taken. The technology and/or user training may  
775 need to be revised in an iterative way necessitating pause in patient testing whilst this is

776 achieved. If any technology change would result in a change to the patient experience, the  
777 protocol and/or patient facing information will be amending accordingly and submitted for  
778 reapproval prior to resuming testing.

779

780 To facilitate any investigations, it may be necessary to share some clinical data collected (e.g.  
781 unblinded reference standard data) with Sarissa Biomedical and/or study investigators. Only  
782 non-identifiable information will be included.

783

784 The pilot phase will be considered completed and the study to have entered phase 2 (main  
785 hospital cohort part 1) once Sarissa Biomedical and the study investigator team are satisfied  
786 that accruing data indicates that the technology is functioning as expected. Data collected in  
787 the pilot phase which results in a pause to testing and alterations to the technology and/or  
788 training will not be used in any diagnostic performance evaluations (i.e. phase 2 onwards).

789

790 A record will be kept of all alterations made to the technology during the pilot phase.

791

#### 792 **Main hospital and ambulance study analyses**

793 All statistical analysis will follow quality assurance processes including taking account of  
794 relevant reporting guidelines such as STARD (Standards for the Reporting of Diagnostic  
795 Accuracy Studies) 2015<sup>27</sup>.

796

797

798 **i. Visual data exploration**

799

800 The data (i.e. WBPC measurements and clinical data) will be explored with visualization tools  
801 such as histograms, box and whisker plots, and scattergrams to assess distribution patterns,  
802 detect missing data, outliers, and look for associations and interactions between variables.

803

804 **ii. Analytical data exploration**

805

806 Data will be explored with analytic tools. Univariate analysis will be used to investigate the  
807 linearity of the relationships between the dependent and independent variable(s). If  
808 relationship(s) are not linear, the log transformation and squared transformation will be  
809 attempted. If a transformation significantly lowers the Akaike Information Criterion (AIC), then  
810 the variable will be transformed for use in the model selection step.

811

812 **iii. Analysis populations**

813

814 There will be two analysis populations:

815 a) A per-protocol (PP) group will only include participants who have a verified WBPC reading, a  
816 reference standard diagnosis (i.e. not 'unclear') and who met the inclusion and exclusion  
817 criteria. In the emergency pre-hospital or hospital setting, it is not uncommon for initial  
818 assessment information to be later considered inaccurate as further details emerge. Study data  
819 recorded about eligibility information will be reviewed and any tested patients who did not  
820 meet the eligibility criteria will not be included in the PP analysis. In addition, for ambulance

821 tested patients, data separately recorded at the hospital will be considered more accurate for  
822 age, symptom onset time and receipt of chemotherapy or radiology, and these data will be  
823 used instead of the ambulance recorded data to determine eligibility for the PP group for these  
824 criteria.

825 b) An intention-to-test (ITT) group will include participants who did and did not meet the  
826 eligibility criteria, who had either a verified or an unverified WBPC, and a reference standard  
827 diagnosis ('unclear' will not be included).

828

#### 829 **iv. Data not contributing to analysis populations**

830 For participants where it is not possible to assign a reference standard diagnosis (i.e. unclear),  
831 available data will be reported but will not contribute to diagnostic accuracy analyses.

832 Where the WBPC assay technology fails prior to the point of fingerprick sampling (ie calibration  
833 or buffer failure), only limited data about the test will be recorded. These data will be reported.

834

#### 835 **v. Statistical analyses**

836

837 **1. Objective 1: To determine the diagnostic accuracy of SMARTChip assay WBPC readings for**  
838 **identification of stroke mimic conditions when a reading is obtained in the pre-hospital**  
839 **setting i.e. the test is conducted on patients suspected to have stroke by ambulance staff.**

840

841 For the PP group, logistic regression analyses with binary diagnosis of mimic or stroke (with TIA  
842 grouped with stroke as the intended purpose of the test is to identify mimic) as the outcome  
843 variable and WBPC reading as the explanatory variable will be used to construct a Receiver

844 Operating Curve (ROC) for all possible test thresholds. Area under the ROC curve and optimal  
845 sensitivity, specificity, negative and positive predictive values, will be reported with 95%  
846 confidence intervals (CI). Optimal thresholds for sensitivity and specificity will be chosen. As we  
847 consider that test sensitivity is more important than specificity in the ambulance, the optimal  
848 threshold will be chosen to maximise sensitivity for mimics, minimum 80%, but aiming to keep  
849 estimated specificity for mimics above 70%. At lower levels of sensitivity and specificity, the test  
850 is unlikely to be considered of value.

851  
852 For the ITT group, sensitivity and specificity will be calculated using a two-by-two table. Patients  
853 with a verified WBPC reading will be assigned a 'test diagnosis' according to the optimal  
854 threshold established in the PP analysis and patients with an unverified WBPC will be assigned a  
855 test diagnosis of stroke. If the SMARTChip was deployed in clinical practice and an unverified  
856 reading was obtained, patients would continue to be managed as suspected stroke as there  
857 would be no extra information to exclude this possibility.

858  
859 **2. Objective 2: To determine the diagnostic accuracy of SMARTChip assay WBPC readings for**  
860 **identification of stroke mimic conditions when a reading is obtained in hospital i.e. the test is**  
861 **conducted on patients suspected to have stroke by hospital staff and when an ambulance**  
862 **test has not been undertaken.**

863  
864 For this objective, analyses as described above for objective 1 will be conducted. In terms of  
865 optimal thresholds, as we consider that test specificity is more important than sensitivity in  
866 hospital, the optimal threshold will be chosen to maximise specificity for mimics, minimum

867 80%, but aiming to keep estimated sensitivity for mimics above 70%. At lower levels of  
868 specificity and sensitivity, the test is unlikely to be considered of value.

869

870 **3. Objective 3: To develop pre-hospital and hospital statistical models which combine**  
871 **routinely available clinical data with SMARTChip assay WBPC readings to predict a stroke**  
872 **mimic diagnosis.**

873

874 Key variables will be added to the PP models described above to determine if this could

875 significantly increase their accuracy. Clinical variables that would be available to

876 ambulance/hospital staff will be included to reflect those available at the point of testing.

877 Stepwise regression with backward elimination will be used to select the clinical covariates that

878 most influence the diagnosis of mimics in conjunction with the WBPC readings.

879 A stepwise variable selection procedure will be used, and only variables that significantly

880 improve the AIC will be retained in the model. Sensitivity, specificity, ROC AUC (with confidence

881 intervals) and threshold will be reported if a suitable model is found.

882

883 **4. Objective 4: To prospectively determine the diagnostic accuracy of the statistical models**  
884 **from objective 3.**

885

886 The models derived under Objective 3 will be used to predict mimic/stroke status, and

887 sensitivity, specificity, negative and positive predictive values will be reported with 95%

888 confidence intervals (CI) for the PP population.

889

890 **5. Objective 5: To report the failure rate of the SMARTChip assay when used in the pre-**  
891 **hospital and hospital settings**

892

893 Reasons why a SMARTChip assay measurement was attempted but not obtained will be  
894 categorised and reported. This will include:

895

896 - failed SMARTChip calibration or buffer

897 - failed SMARTChip reading following successful calibration (i.e unverified reading)

898 - user reported clinical or operational reason for aborting the calibration or reading process

899

900 A failure rate will be calculated for pre-hospital and hospital settings.

901

902 **6. Subgroup and exploratory analyses**

903 Diagnosis accuracy calculations will be performed on a pre-specified subgroup of the PP

904 population consisting of only those patients with a reference standard diagnosis of 'Definite

905 Stroke' and 'Definite Mimic' (this subgroup analysis is being undertaken as it considers the

906 highest level of clinical confidence in the diagnosis and therefore allows exploration of the

907 SMARTChip assay performance under ideal conditions). Contingent on the results of the study,

908 it may be important to carry out some data-driven exploratory analyses. These will be

909 determined post hoc and reported as such.

910

911



912 **7. Sub-study analysis**

913

914 **a) Sub-study objective 1: To explore the diagnostic accuracy of two sequential SMARTChip**  
915 **assay WBPC readings for identification of large vessel occlusion stroke using a reading obtained**  
916 **in the pre-hospital setting and a second reading obtained in the hospital setting.**

917

918 This analysis will include only patients who have both a pre-hospital and hospital verified WBPC  
919 reading, a reference standard assigned and who meet all the eligibility criteria for both tests.

920

921 Logistic regression analyses with diagnosis (LVO) as the outcome, hospital WBPC reading as the  
922 explanatory variable and pre-hospital WBPC reading as a covariate, will be used to construct a  
923 Receiver Operating Curve (ROC) for all possible test thresholds. Area under the ROC curve and  
924 optimal sensitivity, specificity, negative and positive predictive values, will be reported with  
925 95% confidence intervals (CI). As for the main in-hospital study, the optimal threshold will be  
926 chosen to maximise specificity.

927

928 **b) Sub-study objective 2: To develop and retrospectively explore the diagnostic accuracy of a**  
929 **statistical model which combines routinely available clinical data with pre-hospital and**  
930 **hospital obtained SMARTChip WBPC readings to predict the presence of large vessel**  
931 **occlusion.**

932

933 Key variables will be added to the model described above to determine if this could significantly  
934 increase its accuracy. Clinical variables that would be available to ambulance and/or hospital

935 staff will be included to reflect those available at the point of testing. Multivariate logistic  
936 regression will be conducted, with diagnosis (LVO) as the outcome and pre-hospital and  
937 hospital WBPC readings along with variables considered to be of possible clinical importance  
938 (such as blood pressure, pre-hospital FAST symptoms, NIHSS) as the explanatory variables. A  
939 stepwise variable selection procedure will be used, and only variables that significantly improve  
940 the ROC AUC will be retained in the model. Sensitivity, specificity, ROC AUC (with confidence  
941 intervals) and threshold will be reported if a suitable model is found. As for the main in-hospital  
942 study, the optimal threshold will be chosen to maximise specificity.

943

#### 944 **c) Sub-study sub-group and exploratory analyses**

945 Contingent on the results of the study, it may be important to carry out some data driven  
946 subgroup or exploratory analysis. These will be determined post hoc and reported as such.

947

#### 948 **Study monitoring, quality control and quality assurance**

949 The Chief Investigator will have overall responsibility for study conduct. The local Principal  
950 Investigators will be responsible for the day-to-day study conduct at their individual NHS sites.  
951 The study will be managed by a co-ordinating centre based at Newcastle University who will  
952 provide training and day-to-day support for the sites. Quality control will be maintained through  
953 adherence to Newcastle Biomedicine Clinical Research Platform standard operating procedures,  
954 the study protocol and research governance regulations. The study may be subject to inspection  
955 and audit by Northumbria Healthcare NHS Foundation Trust under their remit as sponsor. A Study  
956 Steering Committee will be convened to provide oversight of the trial. This will comprise of the  
957 study investigators plus an independent member. This committee will aim to meet 6 monthly.

958

959 **Dissemination of results**

960 The study will be presented at national and international conferences and reported peer  
961 reviewed journals. Reports will be written for the study funder, sponsor and regulatory bodies.

962 A lay summary of the results will be available for study participants.

963

964 **Discussion**

965 Early diagnostic uncertainty about the cause of suspected stroke symptoms results in  
966 displacement of non-stroke mimic patients from more appropriate services, inappropriate  
967 demands on specialist resources, and delayed access to specialist care and time-critical  
968 reperfusion therapies for stroke patients. Blood biomarkers have not previously been shown to  
969 be useful in emergency stroke assessment due to delayed elevation and a need for complex  
970 assays<sup>13-15</sup>. In addition, markers of inflammatory response or vascular risk have not improved  
971 upon clinical assessment alone<sup>13,28</sup>. However, there is now evidence to suggest that blood  
972 purine concentration which rises rapidly during hypoxic tissue injury may be able to assist with  
973 urgent differentiation of stroke from mimic conditions<sup>16-19</sup>.

974

975 This study will determine the performance of a portable point of care fingerprick measurement  
976 of blood purine concentration for the identification mimic patients within the suspected stroke  
977 population. We will also consider whether blood purine readings show greater diagnostic  
978 accuracy when combined with other information available to clinicians such as symptom  
979 severity. A sub-study will explore whether serial purine readings could be an early indicator of  
980 large vessel occlusion which could be an alternative use of the test.

981  
982 If test performance to identify non-stroke mimic conditions is satisfactory, future deployment in  
983 ambulances and emergency departments could assist with urgent triage of patients with  
984 suspected stroke symptoms and enable more appropriate direction of patients to stroke or  
985 non-stroke services. Improved service access could consequently result in better outcomes  
986 through faster access to appropriate treatments.

987

## 988 **Study status**

989 At the time of submission of this manuscript recruitment to the hospital cohort is in progress.  
990 Protocol version 4 dated 14 July 2020 was used to prepare this manuscript.

991

## 992 **List of abbreviations**

<b>Abbreviation</b>	<b>Definition</b>
AVPU	Alert, Voice, Pain, Unresponsive
CRF	Case Record Form
CT	Computerised Tomography
CTA	CT Angiogram
CTP	CT Perfusion
FAST	Face Arm Speech Time
HASU	Hyperacute Stroke Unit
LVO	Large Vessel Occlusion
MRA	MR Angiography

MRI	Magnetic Resonance Imaging
MT	Mechanical Thrombectomy
NHS	National Health Service
NIHSS	National Institute of Health Stroke Scale
NNT	Number Needed to Treat
PI	Principal Investigator
ROC	Receiving Operating Curve
STARD	Standards for the Reporting of Diagnostic Accuracy Studies
TIA	Transient Ischemic Attack
WBPC	Whole Blood Purine Concentration

993

994 **Declarations**

995

996 **Ethics approval and consent to participate**

997 Ethical (NRES Committee North East - Newcastle & North Tyneside 1, reference: 18/NE/0307)

998 and NHS approvals have been granted. Written informed consent is obtained for patient

999 participation as detailed above.

1000

1001 **Consent for publication**

1002 Not applicable

1003

1004 **Availability of data and materials**

1005 Not applicable

1006

1007 **Competing interests**

1008 LS and CP receive non financial support from Cerebrotech Medical Systems Inc and a grant from

1009 Innovate UK.

1010 ND is CSO of Sarissa Biomedical and has a financial interest in this company. He is also an

1011 inventor on patents linking purine measurement to ischaemic disease.

1012 All other authors declare that they have no relevant competing interests.

1013

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1016 assay which is funded by Innovate UK. Innovate UK had no role in study design. Authors SG and

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1018 In Vitro Diagnostics Co-operative. The views expressed are those of the author(s) and not

1019 necessarily those of the NIHR or the Department of Health and Social Care.

1020

1021 **Authors' contributions**

1022 CP, ND, GAF, CR and CJS contributed to the funding application and design of this clinical study.

1023 CP and LS led the development of the study protocol, obtained ethical approval and lead

1024 delivery of the study. SG and CL provide methodological and statistical support. PW will provide

1025 neuroradiological adjudication expertise.

1026

1027 **Acknowledgements**

1028 Not applicable

1029

## 1030 **Figure legends**

1031 Figure 1: SMARTChip technology

1032 Figure 2: Decision process for study consent

1033

## 1034 **Table legends**

1035 Table 1: Primary diagnosis framework

1036 Table 2: Guidance for completion of the primary diagnosis framework

1037

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